

Turbulent Exchange Coefficients for Sensible Heat and Water Vapor under Advective Conditions¹

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ABSTRACT

Results are presented of micrometeorological measurements made over alfalfa and soybeans under conditions of sensible heat advection at Mead, Neb. The sensible heat advection phenomenon reported here is of a regional rather than a local nature. The exchange coefficient for sensible heat (K_H) is found to be generally greater than the exchange coefficient for water vapor (K_W). This result contradicts the usual assumption of equality of K_H and K_W under nonadvection (lapse or unstable) conditions when the net transfer of both sensible heat and water vapor are away from the earth's surface. Under advective conditions, however, heat and water vapor are transferred in opposite directions. Our results are supported by Warhaft's (1976) recently published theoretical analysis in which he concludes that the greatest departure of K_H/K_W from unity will occur when temperature and humidity gradients are of opposite sign.

1. Introduction

Reliable estimates of evapotranspiration are more difficult to obtain in semi-arid and sub-humid regions than in humid regions. In humid areas the evapotranspiration rate (at least the upper limit) is determined by the energy made available at the surface of the earth by the exchange of radiation (i.e., net radiation R_n). In the east central Great Plains, a sub-humid region, evapotranspiration by well-watered crops frequently exceeds the energy content of R_n by a factor as great as 2 (Rosenberg, 1969). The additional energy is provided by the advection of sensible heat from arid regions which are primarily to the south and southwest. Occasionally, areas to the north are sources of warm, dry air as well.

Of the many available meteorological techniques for estimating evapotranspiration, the Bowen ratio-energy balance (BREB) method, has been widely used with reasonable accuracy under nonadvective conditions (Tanner, 1960; Pruitt and Lourence, 1968; Denmead and McIlroy, 1970). In eastern Nebraska under advective conditions we find, however, that the BREB method consistently underestimates lysimetrically measured values of evapotranspiration from well-watered alfalfa by about 20–30% (Figs. 1 and 2). These results agree with findings of Blad and Rosenberg (1974) with

irrigated soybeans and with earlier findings (Rosenberg, 1969). Blad and Rosenberg (1974) explain that the underestimation of evapotranspiration by the BREB method, in their location, results from regional advection and not from factors associated with local advection due to insufficient fetch.³ That the occurrences of sensible heat advection reported here were also primarily regional in nature will be shown below.

The BREB method is described by

$$LE_{BR} = \frac{-(R_n + S)}{1 + \left(\frac{c_p P}{L\epsilon}\right) \left(\frac{K_H}{K_W}\right) \left(\frac{\partial T/\partial z}{\partial e/\partial z}\right)} = \frac{-(R_n + S)}{1 + \beta}, \quad (1)$$

where

$$\beta = \frac{A}{LE} = \frac{c_p P}{L\epsilon} \left(\frac{K_H}{K_W}\right) \left(\frac{\partial T/\partial z}{\partial e/\partial z}\right)$$

is the Bowen ratio, and A , LE_{BR} , R_n and S are the flux densities of sensible heat, latent heat, net radiation and soil heat, respectively;⁴ L is the latent heat of vaporization, E the water vapor flux, c_p the specific heat of air at constant pressure, P atmospheric pressure, ϵ the ratio of molecular weights of water (M_w) to dry air (M_a); K_H and K_W are the turbulent exchange coefficients for sensible heat and water vapor; and $\partial T/\partial z$

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³ Local and regional sensible heat advection has been studied by several workers, e.g. Sutton (1953), Philip (1959), Dyer (1963), Rider *et al.* (1963), McIlroy and Angus (1964), Rao *et al.* (1974).

⁴ The sign convention employed here is such that all energy streams toward the crop or ground surface are positive and all away from the surface are negative.

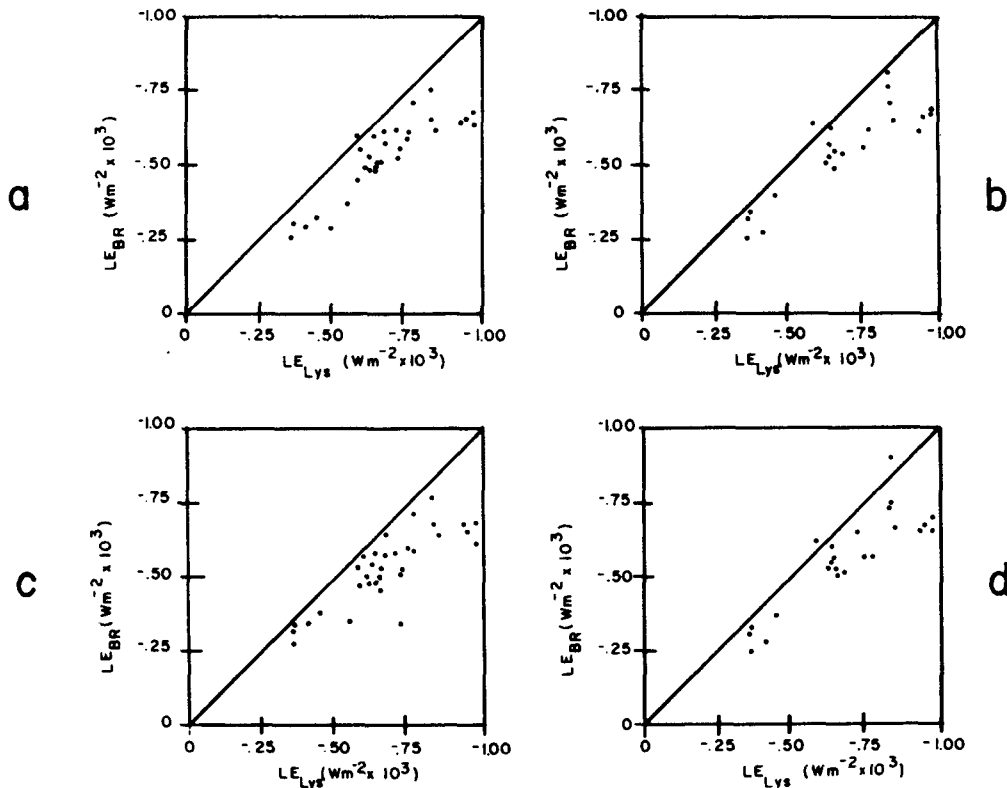


FIG. 1. BREB estimated LE compared with lysimetrically measured LE during Study 76-1 (5-22 June 1976) over alfalfa: (a) station 4, levels 1-2; (b) station 5, levels 1-2; (c) station 4, levels 1-3; (d) station 5, levels 1-3. (Refer to Section 2 for details.)

and $\partial e/\partial z$ are gradients of air temperature and vapor pressure, respectively.

A basic assumption of the BREB method is the equality of exchange coefficients of K_H and K_W . Several previous investigations (e.g., Swinbank and

Dyer, 1967; Denmead and McIlroy, 1970) have presented evidence which supports this equality of K_H and K_W under nonadvective (lapse) conditions. Other investigators (e.g., Webb, 1970; Oke, 1970) have shown evidence of near equality of K_H and K_W under nocturna

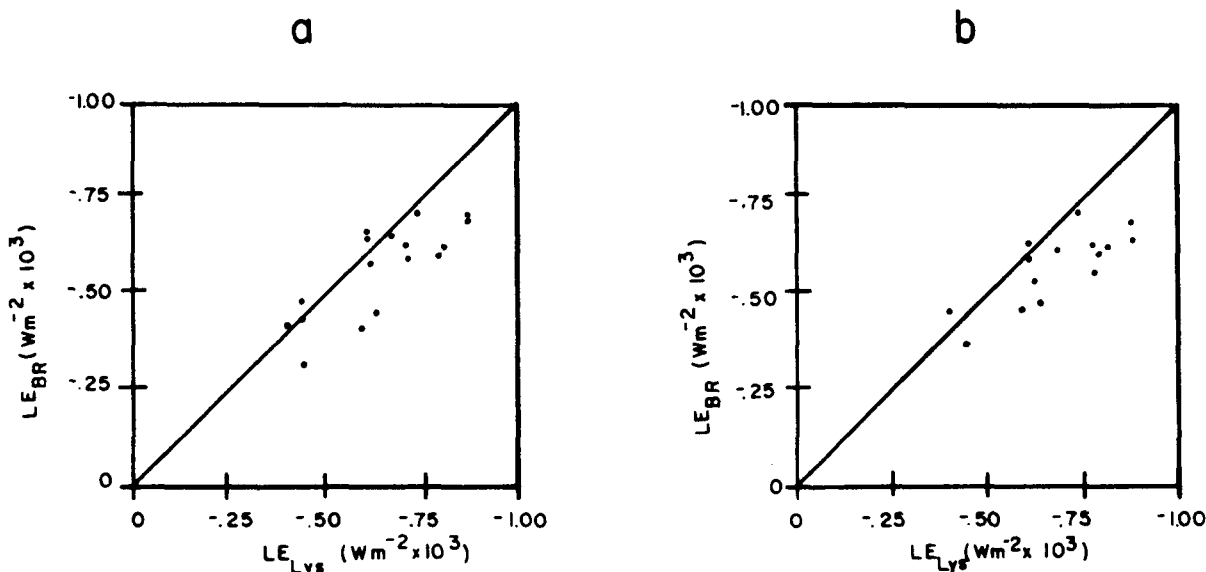


FIG. 2. BREB estimated LE compared with lysimetrically measured LE during study 76-2 (10 July-10 August 1976) over alfalfa: (a) station 5, levels 1-2; (b) station 5, levels 1-3. (Refer to Section 2 for details.)

TABLE 1. Psychrometer elevation and crop height.

Study	Level	Height above ground (m)		Crop height (m)
		5-10 June	11-22 June	
76-1	1	0.50	0.75	0.32-0.43 (5-10 June)
	2	0.75	1.00	
	3	1.00	1.25	0.43-0.55 (11-22 June)
76-2	1	10-22 July	22 July-10 August	0.19-0.45 (10-22 July)
		0.50	0.75	
		0.75	1.00	
	2	10-22 July	22 July-10 August	0.45-0.65 (22 July-10 August)
		0.50	0.75	
		0.75	1.00	
	3	10-22 July	22 July-10 August	
		0.50	0.75	
		0.75	1.00	

inversion conditions. Blad and Rosenberg (1974), however, have questioned whether that assumption remains valid under advective conditions. In a recently published theoretical analysis of heat and moisture transport processes Warhaft (1976) concluded “. . . it appears that the causes for which the largest departure of K_H/K_W from unity will occur are when either T or e is acting as a passive additive or when the temperature gradient is of opposite sign to the humidity gradient . . .”⁵ Under advective conditions the temperature and humidity gradients are, indeed, of opposing sign. The objective of the research presented in this paper is to examine, experimentally, the K_H/K_W ratio under conditions of sensible heat advection.

2. Methods

a. Experimental details

Measurements of micrometeorological parameters were made over well-watered alfalfa (Dawson cultivar, planted in spring 1975) at the University of Nebraska Agricultural Meteorology Laboratory at Mead (41°09' N, 96°30' W, 354 m MSL) during June, July and August 1976 (Study 76-1: 5-22 June; Study 76-2: 10 July-10 August). The main experimental plot was surrounded by other alfalfa fields. Data presented here were obtained only during those periods when fetch from the edge of the main field to the two micrometeorological instrumentation locations (Stations 4 and 5) was considered adequate (see Section 3).

Evapotranspiration rate was measured directly with two van Bavel-Myers type precision weighing lysimeters (modified by Rosenberg and Brown, 1970). The lysimeters are equipped with ceramic candle manifolds (bubbling pressure $\sim \frac{1}{3}$ bar) which permits the removal of excess water and helps to maintain a soil moisture profile similar to that in the adjacent undisturbed soil. No visible differences were noted between the alfalfa

(1976) and soybeans (1970) growing in the lysimeters and growing in the field. Soil moisture conditions, as determined by neutron probe measurements, were similar in both field and lysimeters. The two lysimeters also agreed quite well, generally within 10%. These forms of evidence indicate that the environment of the crop growth in the lysimeters was similar to that in the surrounding field (also discussed in Blad and Rosenberg, 1974). Air temperature and vapor pressure profiles were measured at six elevations in the 2 m air layer above the canopy with self-checking thermocouple psychrometers described by Rosenberg and Brown (1974). Profiles of wind speed were measured at seven elevations in the 2 m air layer above the canopy with three-cup light-chopping Casella anemometers [model 442(2)]. Wind direction was measured by means of a wind vane coupled to a variable resistor. Net radiation was measured with two Swisstecò net radiometers (type S-1). Soil heat flux was measured with thermal flux plates (designed and produced at the Volcani Institute, Bet Dagan, Israel) buried about 4 cm deep in the soil. Temperature, vapor pressure, net radiation and soil heat flux were measured every 7 min during Study 76-1 and every 30 sec during Study 76-2. Wind speed and lysimeter weight change were integrated over 15 min periods. Data were logged on an automated analog-to-digital data recording system. The data were converted from the digital emf or count record of individual sensors into parametric forms with a series of computer programs. All data were averaged over 30 min periods in Study 76-1 and over 15 min periods in Study 76-2.

Measurements of evapotranspiration and microclimate from an irrigated soybean field in 1970 were also used for comparative purposes. Details of that study are given in Blad and Rosenberg (1974).

b. Theoretical details

The exchange coefficient K_W for water vapor was obtained from

$$K_W = \frac{LE_{Lys}}{\frac{M_w/M_a}{P} \frac{\partial e}{\partial z}}, \quad (2)$$

⁵ Warhaft also points out that a necessary condition for K_H/K_W to be different from unity is that the cross-correlation coefficient of the temperature and humidity fluctuations must be small but this often occurs in the atmospheric boundary layer (e.g., Phelps and Pond, 1971; Thorp *et al.*, 1973).

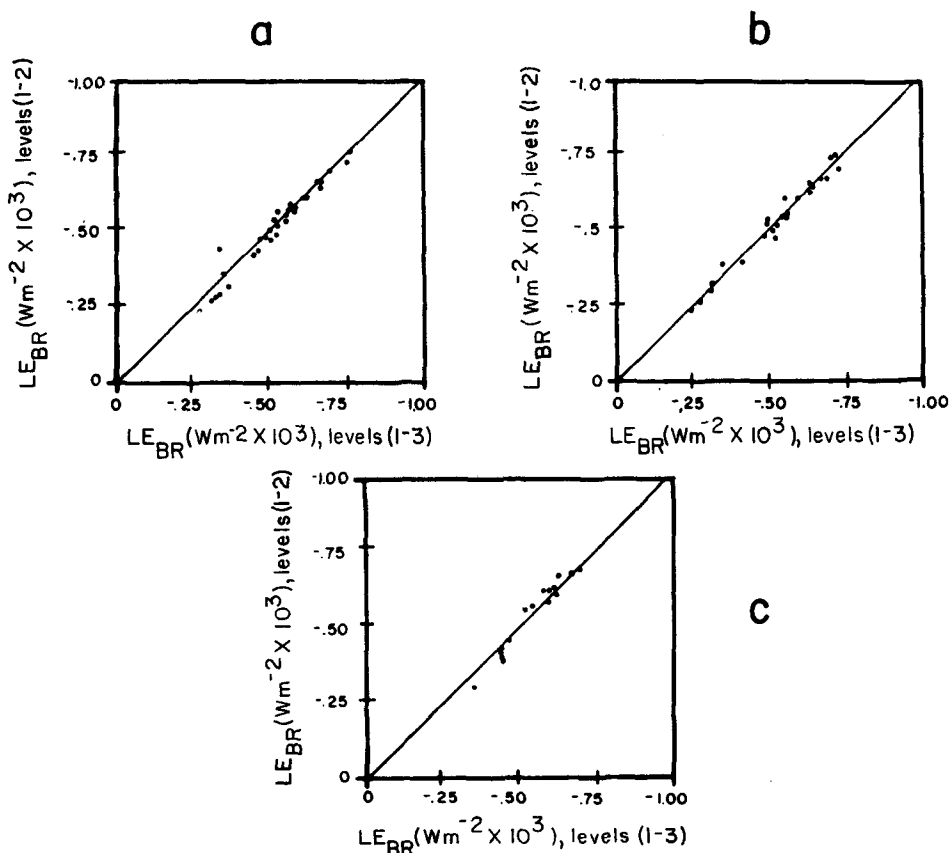


FIG. 3. LE calculated by BREB method for different height intervals: (a) Study 76-1, station 4; (b) Study 76-1, station 5; (c) Study 76-2, station 5.

where LE_{Lys} is the lysimetrically measured latent heat flux and ρ is air density. All other terms have been previously defined.

The exchange coefficient K_H for sensible heat was obtained from

$$K_H = \frac{A}{\rho c_p \frac{\partial T}{\partial z}} = \frac{-(Rn + LE_{Lys} + S)}{\rho c_p \frac{\partial T}{\partial z}}, \quad (3)$$

where the energy balance concept ($Rn + LE + S + A = 0$) was utilized to determine sensible heat flux A .

The gradients of air temperature ($\partial T / \partial z$) and vapor pressure ($\partial e / \partial z$) were computed from air temperature and vapor pressure profiles measured at the three lowest psychrometric levels. Details are given in Table 1. LE_{BR} computations were also based on the psychrometric data from the levels indicated in Table 1.

Gradients (or derivatives with respect to height z) for various profiles of e , T and U were evaluated using finite differences in the manner suggested by Panofsky (1965). If Ψ is a profile variable, then the gradient is given by

$$\frac{\partial \Psi}{\partial z} = \frac{\Psi_2 - \Psi_1}{(z_1 z_2)^{\frac{1}{2}} \ln \frac{z_2}{z_1}},$$

where z_1 and z_2 are heights above the zero plane displacement. This approximation is, of course, rigorous for logarithmic profiles.

3. Results and discussion

Values of K_H and K_W were computed using Eqs. (2) and (3). During Study 76-1 micrometeorological measurements were made at two locations (stations 4 and 5). In each case two different sets of elevations (levels 1-2 and levels 1-3) were used for computation of $\partial T / \partial z$ and $\partial e / \partial z$. Reasonably good agreement between latent heat fluxes (LE_{BR}) calculated for levels 1-2 and levels 1-3 (Fig. 3) indicated that the fetch to height⁶ ratio (about 140:1 at station 4 and 200:1 at station 5) was sufficient.⁷ A typical set of air temperature profiles measured on a 16 m meteorological tower under advective conditions is shown in Fig. 4. The fact that these profiles are inverted up to at least 10-16 m above ground illustrates that the sensible heat advection phenomenon, reported here, is of a regional rather than local nature.

⁶ Height above zero plane.

⁷ More stringent requirements for fetch to height ratios have been suggested for relatively smooth surfaces. For measurements over crops such as alfalfa and soybeans this requirement can be relaxed.

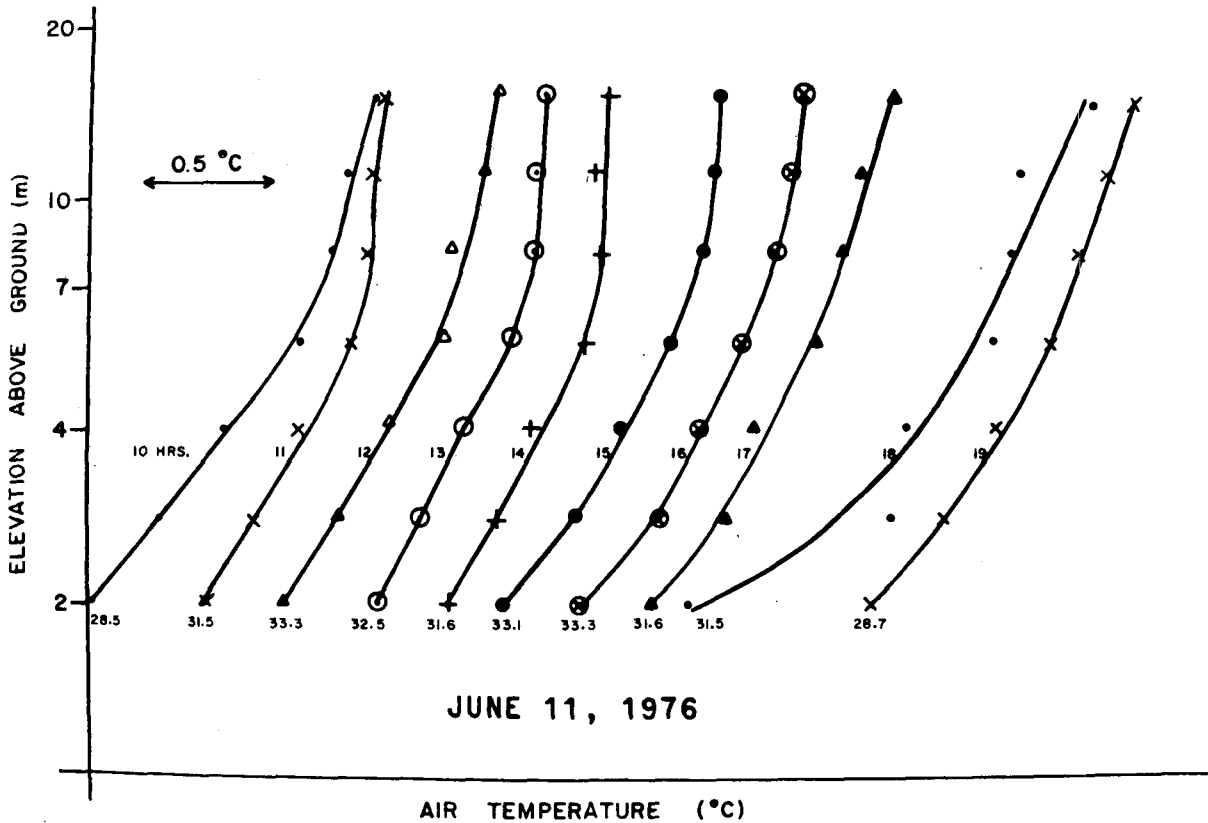


FIG. 4. Progression of air temperature profiles with time of day for 11 June 1976 at Mead, Nebr.

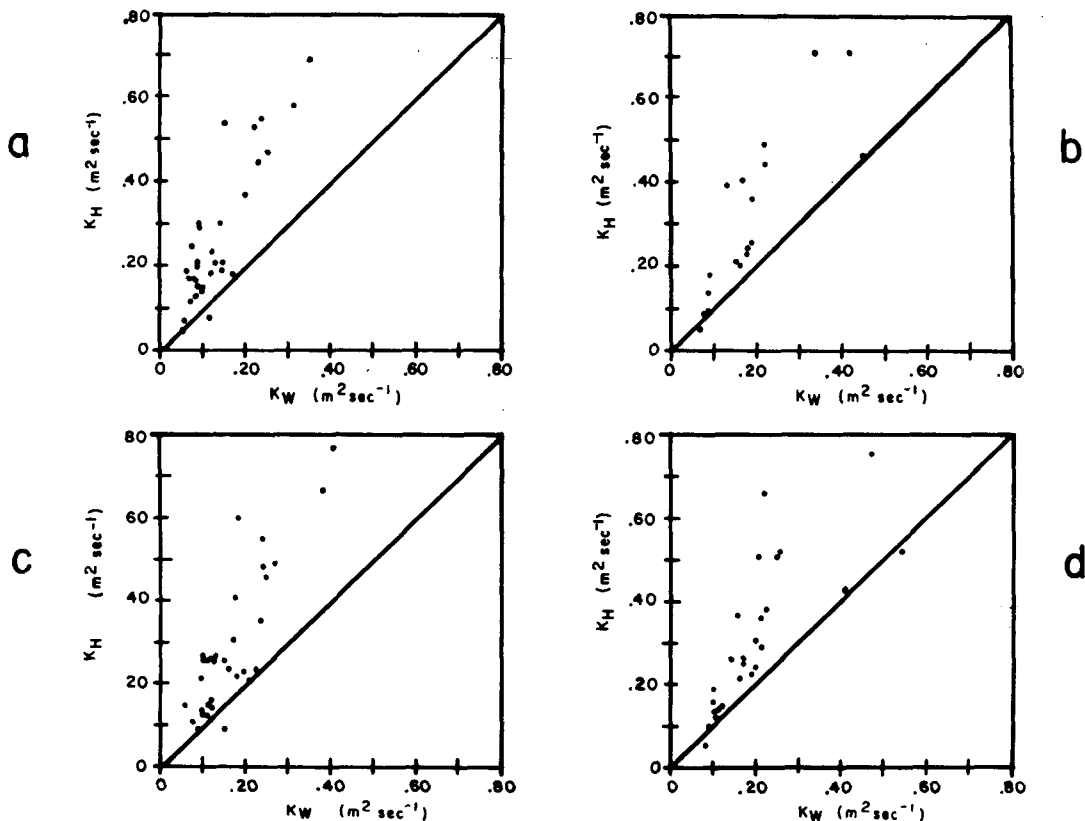


FIG. 5. The exchange coefficient for sensible heat (K_H) compared with the exchange coefficient for water vapor (K_W) during Study 76-1 over alfalfa: (a) station 4, levels 1-2; (b) station 5, levels 1-2; (c) station 4, levels 1-3; (d) station 5, levels 1-3.

Results obtained in Study 76-1 (Fig. 5) indicate that, under advective conditions, K_H values are generally greater than K_W . Similar results were obtained from measurements over the same crop made during Study 76-2.

Measurements in an irrigated soybean field at Mead during 1970 (26 June–22 July)⁸ were subjected to the same analyses. The relationship between K_H and K_W (Fig. 6) is similar to those developed for the 1976 alfalfa crop.

At this point it might be interesting to study the similarity of air temperature and vapor pressure profiles under advective conditions. If we designate by x and y the respective specific concentrations of two quantities which are transported vertically by turbulence, then identity of transfer mechanisms requires that the profiles of x and y be similar (Swinbank and Dyer, 1967; McVehil, 1962; Webb, 1970). The air temperature and vapor pressure profiles are similar if $(\partial T/\partial z)/(\partial e/\partial z)$ is constant through the constant flux layer. This ratio can be computed for different levels from observed profiles. An index (I) of similarity, therefore, can be defined by

$$I = \frac{(\Delta T/\Delta e)_u}{(\Delta T/\Delta e)_e} = \frac{(\Delta T)_u/(\Delta T)_l}{(\Delta e)_u/(\Delta e)_l}, \quad (4)$$

where the subscripts u and e refer to an upper and lower layer, respectively, through which the differences can be measured. The index will be equal to 1.0 if the profiles are similar. Typical results of an analysis of air temperature and vapor pressure profile shapes under

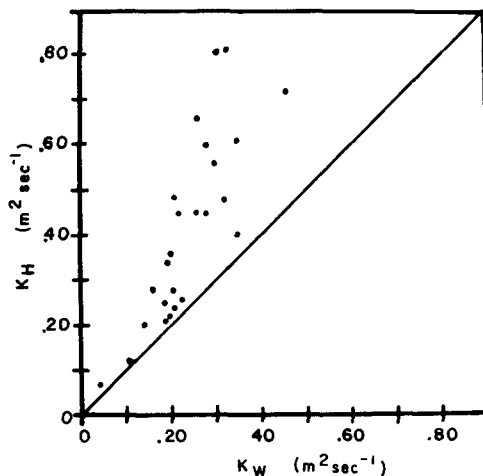


FIG. 6. The exchange coefficient for sensible heat (K_H) compared with the exchange coefficient for water vapor (K_W) during 1970 study over soybeans: 75–100 cm (26 June–6 July), 125–150 cm (6–10 July) and 125–150 cm (14–22 July) [see Blad and Rosenberg (1974) for details].

⁸ Eqs. (2) and (3) were used with the data reported by Blad and Rosenberg (1974).

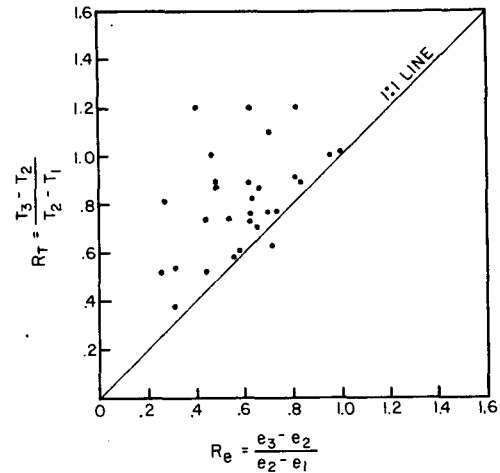


FIG. 7. Ratios of air temperature gradients and vapor pressure gradients under advective conditions for Study 76-1, station 4. Subscripts 1, 2 and 3 refer to psychrometric levels defined in Table 1.

conditions of sensible heat advection are presented in Fig. 7, where $R_T = (T_3 - T_2)/(T_2 - T_1)$, $R_e = (e_3 - e_2)/(e_2 - e_1)$, and subscripts 1, 2 and 3 refer to psychrometric levels defined in Table 1. The systematic deviation from similarity between air temperature and vapor pressure profiles under advective conditions is striking.

In order to further elucidate the relationship of K_H and K_W , the dependence of K_H/K_W on a number of variables was studied. These variables are as follows:

- 1) Gradient Richardson number Ri defined by

$$Ri = \frac{g}{T_v} \frac{(\partial T_v/\partial z)}{\left(\frac{\partial U}{\partial z}\right)^2}, \quad (5)$$

where g is the acceleration due to gravity, and T_v and U are the virtual temperature and wind speed at an elevation z above ground.

- 2) $LE_{Lys}/(Rn + S)$, where LE_{Lys} , Rn and S are flux densities of latent heat (lysimetrically measured), net radiation and soil heat.

- 3) $(\partial T/\partial z)/(\partial e/\partial z) = \Delta T/\Delta e \approx T_2 - T_1/e_2 - e_1$, where subscripts 1 and 2 refer to the bottom and top of the air layer being considered.

Fig. 8 shows the ratio K_H/K_W plotted as a function of Ri , $LE_{Lys}/(Rn + S)$ and $\Delta T/\Delta e$ (typical for 1976 studies). Advective conditions are generally accompanied by strong winds, resulting in relatively small Ri values. Ri has been used in the micrometeorological literature as a good scaling parameter (index of stability) for nonadvective (unstable) and nocturnal inversion (stable) conditions. Under advection-inversion conditions, however, no dependence of K_H/K_W on Ri is apparent.

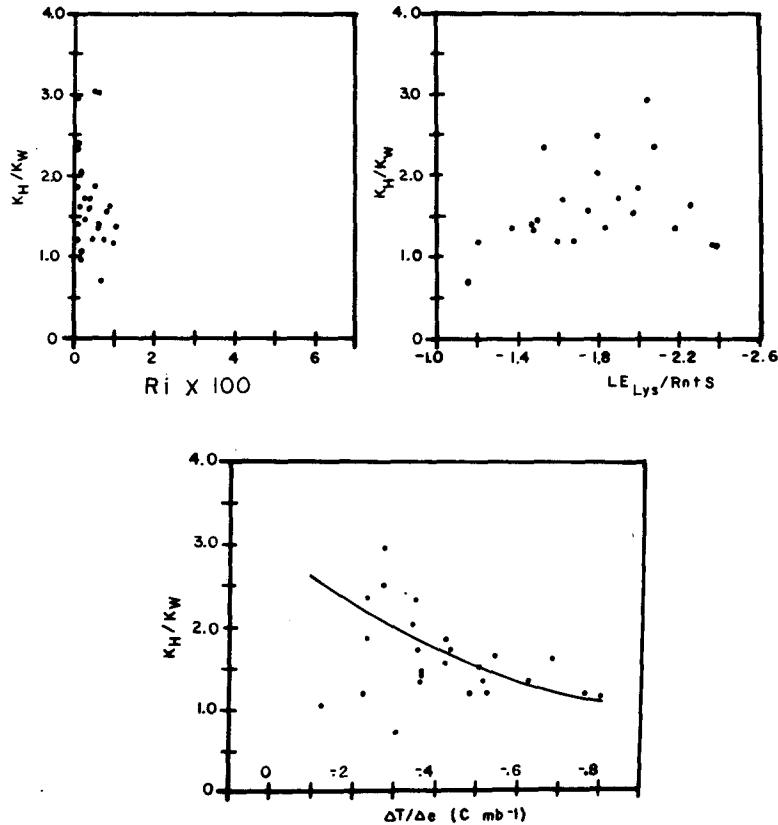


FIG. 8. The ratio of exchange coefficients K_H/K_W plotted as a function of Richardson number Ri , $LE_{Lys}/(Rn+S)$ and $\Delta T/\Delta e$ for Study 76-1 over alfalfa, station 5, levels 1-3.

The other independent variables ($LE_{Lys}/(Rn+S)$ and $\Delta T/\Delta e$) seem more useful. $LE_{Lys}/(Rn+S)$ will be equal to -1 if the radiant energy available (Rn) is consumed primarily in LE and S . Under advective conditions additional energy is available from sensible heat and therefore $LE_{Lys}/(Rn+S) < -1$ (or $|LE_{Lys}/$

$(Rn+S)| > 1$). The quantity $\Delta T/\Delta e$, on the other hand, is a ratio of temperature and vapor pressure gradients and is proportional to the Bowen ratio if $K_H = K_W$. $\Delta T/\Delta e$ is negative under advective conditions since the transfer of sensible heat and water vapor occurs in opposite directions.

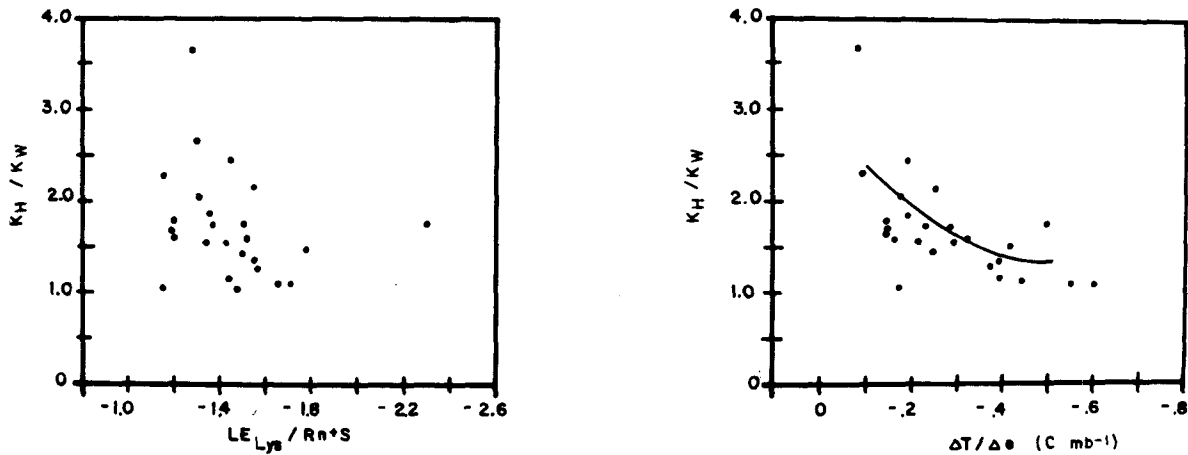


FIG. 9. The ratio of exchange coefficients K_H/K_W plotted as a function of $LE_{Lys}/(Rn+S)$ and $\Delta T/\Delta e$ for 1970 study over soybeans, 75-100 cm (26 June-6 July), 125-150 cm (6-10 July) and 125-150 cm (14-22 July) [see Blad and Rosenberg (1973) for details].

TABLE 2. Coefficients for regressions of the ratio of exchange coefficients as functions of $\Delta T/\Delta e$ and $LE_{Lys}/(Rn+S)$. Values of $\Delta T/\Delta e$ are in $^{\circ}\text{C mb}^{-1}$.

Study	Location and elevation	$\frac{K_H}{K_W} = A_0 + A_1 \left(\frac{\Delta T}{\Delta e}\right) + A_2 \left(\frac{\Delta T}{\Delta e}\right)^2$				$\frac{K_H}{K_W} = B_0 + B_1 \left(\frac{LE_{Lys}}{Rn+S}\right) + B_2 \left(\frac{LE_{Lys}}{Rn+S}\right)^2$			
		A_0	A_1	A_2	Correlation coefficient r	B_0	B_1	B_2	Correlation coefficient r
76-1	Station 4, levels 1-2	3.37	4.91	2.48	0.78	4.77	2.39	0.44	0.41
76-1	Station 4, levels 1-3	2.43	1.43	-0.44	0.68	3.07	1.09	0.19	0.26
76-1	Station 5, levels 1-2	3.47	5.96	3.75	0.65	0.10	-1.63	-0.38	0.22
76-1	Station 5, levels 1-3	2.51	2.57	1.09	0.56	-0.52	-2.10	-0.48	0.39
76-2	Station 5, levels 1-2	2.61	3.51	1.90	0.68	0.64	-0.96	-0.27	0.35
76-2	Station 5, levels 1-3	2.69	3.50	1.64	0.76	1.86	0.16	-0.05	0.36
1970*		2.99	6.66	6.71	0.61	3.68	2.15	0.56	0.20

* See Blad and Rosenberg (1974) for details.

Typical plots of K_H/K_W vs $LE_{Lys}/(Rn+S)$ and vs $\Delta T/\Delta e$ for the 1970 study over soybeans are presented in Fig. 9. There occurs a significant scatter in plots of K_H/K_W vs $LE_{Lys}/(Rn+S)$ (Figs. 8 and 9) and no distinct relationship is apparent. Even though there is some scatter in K_H/K_W vs $\Delta T/\Delta e$ plots, K_H/K_W values appear much better correlated with $\Delta T/\Delta e$ [see Table 2 for correlation coefficients obtained from the regression of K_H/K_W data on $\Delta T/\Delta e$ and $LE_{Lys}/(Rn+S)$].

The ratio K_H/K_W is plotted as a function of $\Delta T/\Delta e$ and LE_{Lys} in Fig. 10. It appears that high LE values are associated with the lower $|\Delta T/\Delta e|$ values. This in turn indicates that low $|\Delta T/\Delta e|$ values may be associated with strongly advective conditions. A detailed interpretation of the significance of $\Delta T/\Delta e$ values is not yet ready.

The regressions of K_H/K_W on $\Delta T/\Delta e$ for each of the

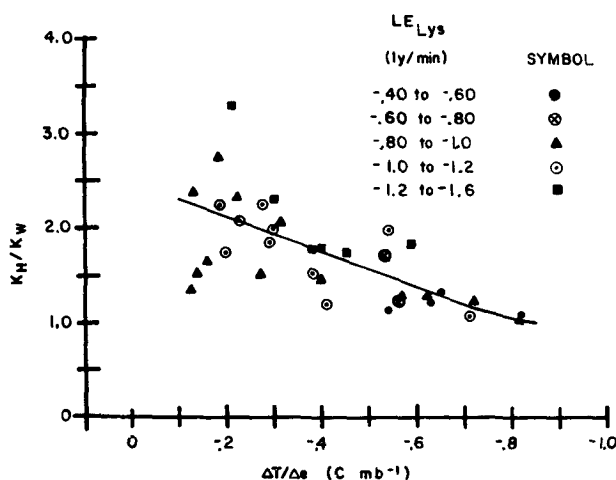


FIG. 10. K_H/K_W plotted as a function of $\Delta T/\Delta e$ and LE_{Lys} for Study 76-1, station 4, levels 1-3.

three studies are plotted in Fig. 11. Reasonably good agreement in the nature of these relationships is found for all of the three studies (76-1 and 76-2 over alfalfa and the 1970 study over soybeans).

4. Summary and conclusions

Micrometeorological measurements made over alfalfa and soybeans have shown that, under advective conditions, the exchange coefficient for sensible heat is generally greater than the exchange coefficient for water vapor. This result is at variance with the time-honored assumption of equality between K_H and K_W . Indeed it has been well-established that K_H and K_W are normally equal under nonadvective (lapse or unstable) conditions when both sensible heat and water vapor are trans-

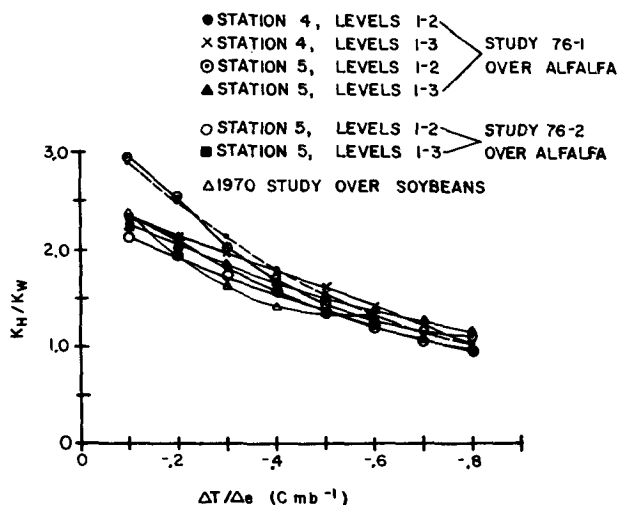


FIG. 11. The regressions K_H/K_W on $\Delta T/\Delta e$ for Studies 76-1 and 76-2 over alfalfa and for 1970 study over soybeans.

ferred away from the earth's surface. Under advective conditions, however, heat and water vapor are transferred in opposite directions. Recent theoretical analysis by Warhaft (1976) indicates that such a situation is one under which large departures of K_H/K_W from unity will occur. The data presented here and Warhaft's newly available theory support our contention that $K_H \neq K_W$ under conditions of sensible heat advection.

This nonequality of exchange coefficients is particularly significant with respect to the Bowen ratio-energy balance method of evapotranspiration estimation, which is based upon the assumption that $K_H = K_W$. Use of this assumption results in a consistent underestimation of evapotranspiration rates under advective conditions.

Values of K_H/K_W appear to be well correlated with $(\Delta T/\Delta e)$, the ratio of temperature and vapor pressure gradients. Regression equations, relating K_H/K_W to $\Delta T/\Delta e$, obtained for 1976 studies over alfalfa, compared favorably with the equation fitted to data from a 1970 study over soybeans. In a forthcoming paper these K_H/K_W relationships will be incorporated into the Bowen ratio equation to make its use under advective conditions more effective.

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