Stratospheric Trailing Gravity Waves from New Zealand

QINGFANG JIANG AND JAMES D. DOYLE

Marine Meteorology Division, U.S. Naval Research Laboratory, Monterey, California

STEPHEN D. ECKERMANN

Space Science Division, U.S. Naval Research Laboratory, Washington, D.C.

BIFFORD P. WILLIAMS

Boulder Division, GATS, Boulder, Colorado

(Manuscript received 26 September 2018, in final form 4 February 2019)

ABSTRACT

Gravity waves are frequently observed in the stratosphere, trailing long distances from mid- to high-latitude topography. Two such trailing-wave events documented over New Zealand are examined using observations, numerical simulations, and ray-tracing analysis to explore and document stratospheric trailing-wave characteristics and formation mechanisms. We find that the trailing waves over New Zealand are orographically generated and regulated by several processes, including interaction between terrain and mountaintop winds, critical-level absorption, and lateral wave refraction. Among these, the interaction between topography and low-level winds determines the perturbation energy distribution over horizontal scales and directions near the wave source, and accordingly, trailing waves are sensitive to terrain features and low-level winds. Terrain-forced wave modes are filtered by absorption associated with directional wind shear and Jones critical levels. The former plays a role in defining wave-beam orientation, and the latter sets an upper limit for the permissible horizontal wavelength of trailing waves. On propagating into the stratosphere, these orographic gravity waves are subject to horizontal refraction associated with the meridional shear in the stratospheric westerlies, which tends to elongate the wave beams pointing toward stronger westerlies and shorten the wave beams on the opposite side.

1. Introduction

Many early studies of gravity waves forced by flow over terrain [aka mountain waves (MWs)] have focused on the troposphere, where the dynamics are often associated with dramatic local weather phenomena such as downslope windstorms, rotors, or flight-level clear-air turbulence. Commonly observed tropospheric MWs have relatively short horizontal wavelengths (~50 km or less) even over major barriers such as the European Alps (e.g., Smith et al. 2002) and Sierra Nevada (e.g., Doyle and Jiang 2006; Grubišić and Billings 2008). They produce either two-dimensional ridge-parallel plane waves, or three-dimensional waves induced by isolated peaks, resembling ship waves (Smith 1980). A catalogue of typical MW patterns and atmospheric conditions is shown in Fig. 2 of Smith (2002).

It has been recognized for more than half a century that MWs play an important role in the global momentum budget and general circulations of the stratosphere and mesosphere (e.g., Lindzen and Holton 1968; Fels and Lindzen 1974; Lindzen 1981; Fritts and Alexander 2003). Over the past decade or two, MWs entering the stratosphere have received increasing attention because of new observations from emerging remote sensing tools (Fritts et al. 2016; Pautet et al. 2016) and advances in numerical weather prediction models that allow for the use of deep domains (Kruse and Smith 2015). In the high-latitude Southern Hemisphere during austral winter and spring, significant wave activity in the stratosphere was documented by several groups using observations from satellite-mounted sensors (e.g., Wu and Waters 1996; Eckermann and Preusse 1999; Jiang et al. 2002; Hendricks et al. 2014). Local maxima in stratospheric wave perturbation
amplitudes, or “hot spots” (Hoffmann et al. 2013), were found in the vicinity of major barriers such as the Southern Andes and Antarctic Peninsula, implying orographic origins. However, some maxima are located over the Southern Ocean far from underlying terrain, and their sources are still not well understood. Possibilities include small-island terrain such as the South Georgia Island (e.g., Alexander et al. 2009; Alexander and Grimsdell 2013; Vosper 2015) and the Auckland Islands (Eckermann et al. 2016), synoptic-scale instabilities associated with baroclinic storm systems (Hendricks et al. 2014), and long downstream propagation of waves from terrain sources such as the Southern Andes (Preusse et al. 2002; Shutts and Vosper 2011; Sato et al. 2012; Jiang et al. 2013, 2014). These hot-spot maxima over the Southern Ocean derived from satellite observations may be related to the “missing drag” inferred near 60°S in general circulation and climate models as the source of a cold-pole bias that delays vortex breakdown in the stratosphere (McLandress et al. 2012; Garfinkel and Oman 2018).

The nonlocal orographic source hypothesis has been supported by several recent studies, which discovered quasi-linear isolated wave groups, or “beams” (Gregory and Sutherland 2010), extending thousands of kilometers downstream of terrain and toward 60°S, in the stratosphere from either satellite imagery or numerical simulations (e.g., Wu and Eckermann 2008; Alexander and Teitelbaum 2011; Shutts and Vosper 2011; Jiang et al. 2013; Ehard et al. 2017). These so-called stratospheric trailing waves (STWs; Fritts et al. 2016; Ehard et al. 2017) share the following common characteristics, mostly in contrast to MWs in the troposphere. First, they have much longer horizontal wavelengths (~100–600 km), about one order of magnitude longer than typical MWs in the troposphere. Second, they can extend up to 1000 km from their plausible wave source, unlike propagating MWs (i.e., untrapped MWs) in the troposphere, which remain close to their parent terrain. Third, in contrast to classical left–right-symmetric (i.e., with respect to the mean winds) three-dimensional hydrostatic or trapped MWs over an isolated peak (Smith et al. 2002), STWs are highly asymmetric and often observed only on one side of the terrain. Furthermore, STWs are most commonly observed over topography located to the south of 40°S, where stratospheric winds are characterized by strong westerlies with a significant meridional shear. These wave beams seemingly emit from topography and extend southeastward toward the stratospheric jet maximum (or northeastward from terrain, located poleward of 60°S, such as the Antarctic Peninsula).

While STWs have been documented in recent studies, overall, they are far less studied than their counterparts in the troposphere, and key questions remain unanswered regarding their origin, characteristics, formation mechanisms, and significance in transferring momentum into the stratosphere and mesosphere. One of the objectives of the Deep Propagating Gravity Wave Experiment (DEEPWAVE) that took place over New Zealand (NZ) area in the austral winter of 2014 was to improve our understanding of STWs near the Southern Alps of NZ (Fritts et al. 2016), which extend along the South Island with multiple peaks over 3 km above sea level (MSL). During the 6-week-long field observations, STWs were documented extending from NZ to the east during several DEEPWAVE intensive observational periods [IOPs; see Table 4 of Fritts et al. (2016)]. Two such STW IOPs are examined here, namely, IOPs 3 and 6, with more emphasis on the latter. To deepen our understanding of STW dynamics, an in-depth analysis of two STW events is presented using observations and real-data numerical simulations.

The remainder of this paper is organized as follows. Section 2 includes a brief description of the model configuration and the synoptic conditions for the two STW events. The characteristics of simulated STWs are illustrated in section 3 based on observations and numerical simulations. The vertical variation of STWs and associated momentum flux are analyzed in section 4. The sensitivity of STWs to underlying topography and low-level wind directions is investigated in section 5 using multiple sensitivity simulations and spectral analysis. The role of meridional shear of the horizontal wind in changing the characteristics of STWs is examined in section 6 based on ray-tracing calculations. Section 7 contains concluding remarks.

2. Model configuration and synoptic conditions

a. Model configuration

The atmospheric and adjoint components of the Coupled Ocean–Atmospheric Mesoscale Prediction System (COAMPS; Hodur 1997; Doyle et al. 2011) were applied to the DEEPWAVE study area to provide real-time forecast guidance during the field campaign period (Fritts et al. 2016). COAMPS is a fully compressible, nonhydrostatic terrain-following mesoscale model. The finite-difference schemes are of second-order accuracy in time and space in this application. The boundary layer and free-atmospheric turbulent mixing and diffusion are represented using a prognostic

---

1 COAMPS is a registered trademark of the Naval Research Laboratory.
equation for the turbulence kinetic energy budget following Mellor and Yamada (1974) and Thompson and Burk (1991). The surface heat and momentum fluxes are computed following Louis (1979) and Louis et al. (1982). The gridscale evolution of the moist processes is explicitly predicted from budget equations for cloud water, cloud ice, rainwater, snowflakes, and water vapor (Rutledge and Hobbs 1983), and the subgrid-scale moist convective processes are parameterized using an approach based on Kain and Fritsch (1993). A δ-four-stream approximation is used for the short- and longwave radiation processes (Fu et al. 1997).

The initial fields for the model are created from a three-dimensional variational data assimilation (i.e., 3DVAR) method (Daley and Barker 2001). Lateral boundary conditions for the outermost grid mesh are derived from Navy Global Environmental Model (NAVGEM) forecast fields (Hogan et al. 2014). The computational domain contains two horizontally nested grid meshes of 256 × 150 and 433 × 358 grid points, and the corresponding horizontal grid spacings are 36 and 12 km, respectively.

The IOP 3 took place on 14 June, associated with a shallow trough to the south of NZ, which directed northwesterly (NW) flow toward the southern tip of the South Island (Fig. 3a), in contrast to the prevailing SSW flow in the troposphere during IOP 6 (cf. Fig. 2a). Under the influence of a cutoff low located to the east, the mountaintop wind speed is relatively weak over the northern half of NZ. The northwesterly jet over the southern tip of NZ extends to the tropopause (Fig. 3b), above which the winds become more westerly (Fig. 3c), implying a 45° anticlockwise turning from the troposphere to the stratosphere. In the midstratosphere, the winds are predominantly westerly with a strong negative meridional shear (Fig. 3d), qualitatively similar to those during IOP 6.

3. STW characteristics and model validation

STWs are evident in 2-hPa brightness temperature perturbation fields derived from measurements obtained by the Atmospheric Infrared Sounder (AIRS) onboard the National Aeronautics and Space Administration (NASA) Aqua satellite on 14 and 19 June 2014 (Fig. 4).

The AIRS imagery reveals several ascent–descent pairs (note that the warmer colors correspond to higher brightness temperatures, or downward displacement of isentropes, and colder colors correspond to the lower brightness temperatures and upward isentropic displacement), associated with gravity wave–induced vertical motion. Several aspects of the STWs revealed by the
AIRS imagery are worth noting: 1) They appear to be located near NZ terrain, implying their likely orographic origin. 2) These waves have relatively long horizontal wavelengths compared with tropospheric MWs. The wavelengths estimated from the distance between two adjacent temperature maxima (or minima) are between 200 and 400 km, which are one order of magnitude larger than those of MWs observed in the troposphere over midlatitude barriers such as the Southern Alps (Lane et al. 2000), the Alps (Smith et al. 2002; Volkert et al. 2003), and the Sierra Nevada (Doyle and Jiang 2006; Grubišić and Billings 2008). 3) While there are STW beams on both sides of NZ, the ones on the southeastern side are significantly broader than their counterparts on the northern side. This north–south asymmetry is more striking in Fig. 4c (i.e., IOP 6) with the southern STW beams visible more than 1500 km away from NZ topography. Their counterparts to the north only extend a few hundred kilometers from NZ. 4) These waves persist for 10 h or longer with relatively small changes in their characteristics. 5) It is interesting to compare the imaged STWs in IOP 3 and IOP 6. There are fewer visible beams in IOP 3 than in IOP 6, and the orientations of the STW phase lines are noticeably different between the two events as well. For IOP 3, phase lines are oriented southwestward, approximately 60°–70° from the east. For IOP 6, this angle is much smaller (i.e., ~40°).

As depicted in Fig. 5a, the NSF/NCAR Gulfstream V High-performance Instrumented Airborne Platform for Environmental Research (GV HIAPER) aircraft flew a box pattern over the downstream (i.e., southeastern) side of NZ (see Fig. 5a) during IOP 6 as research flight 7 (RF07). Flight-level temperatures (z ~ 12 km) on leg 3, located closest to NZ, show clear evidence of gravity waves with horizontal wavelengths ~200 km (Fig. 5d), especially within the region of flight-leg distances ~400–900 km where strong STWs are also seen both in AIRS (Figs. 4c,d and 5c) and in upper-stratospheric lidar temperatures measured from the aircraft (Fig. 5b). For the three flight legs (legs 5–7) farther to the southeast in Fig. 5a, clear STW oscillations are again seen in aircraft lidar temperatures (Fig. 5e) and in AIRS brightness temperatures (Fig. 5f), whereas wave signals are noticeably weaker in the flight-level temperatures in Fig. 5g.
In Fig. 6, model-simulated wind and potential temperature profiles are compared with those derived from radiosondes launched from Lauder (see Fig. 1 for location) on 19 June 2014 during IOP 6. The wind profiles indicate strong persistent west-southwesterlies throughout most of the troposphere with wind speed ranging from 30 m s\(^{-1}\) near the mountaintop level to 45 m s\(^{-1}\) near the 10-km level. Following Reinecke and Durran (2008), we computed the vertically averaged upstream wind speed and buoyancy frequency over the lowest 3 km to be 20 m s\(^{-1}\) and 0.01 s\(^{-1}\), respectively. Using \(h_m = 3\) km for the average peak mountain height, we obtain the nondimensional mountain height \(\hat{h} = Nh_m/U \sim 1.5\). This value implies a strong nonlinear wave response, with possible flow splitting to the north and south and enhanced “high drag” MW forcing near the surface (e.g., Smith 1989; Eckermann et al. 2010). In the stratosphere, the wind speed increases from a local minimum of ~30 m s\(^{-1}\) at the tropopause to ~100 m s\(^{-1}\) at 45 km MSL. Directional wind shear is evident across the upper troposphere–lower stratosphere (UTLS; located between ~10 and 20 km). The potential temperature profile exhibits a sudden increase near 12 km, where the tropopause is located. The three radiosondes only reached ~25 km MSL, below which the agreement between radiosonde measurements and COAMPS simulations is satisfactory.

The vertical velocity fields obtained from the COAMPS simulations at four different levels for IOPs 6 and 3 are shown in Figs. 7 and 8, respectively. It is evident that for both IOPs, the simulated \(w\) patterns at the 45-km level are in qualitative agreement with the AIRS observations (Figs. 4, 7d, and 8d). For example, the simulated STW wavelengths and phase-line orientations for both IOPs compare favorably with those from the AIRS imagery. COAMPS results in Figs. 7c and 7d show that STWs do not extend far to the southeast with significant amplitude at 15 km, but do at 45 km. This is consistent with the RF07 observations in Fig. 5, which showed strong wave responses at 12 km only for the flight leg close to the terrain (cf. Figs. 5d and 5g), whereas both AIRS and the lidar data showed strong wave responses near 45 km on all flight legs (Figs. 5b, c, e, f).
The agreement between the COAMPS simulation and observations from AIRS, RF07, and radiosondes implies that COAMPS captures both the large-scale flow structure and mesoscale gravity wave response in the stratosphere reasonably well.

4. Vertical variations of wave momentum fluxes

Encouraged by the agreement between the real-data COAMPS simulation [referred to as control (CNTRL)] and observations, we further examine the dynamics and characteristics of these STWs and their role in vertical momentum transfer through diagnosis of the COAMPS simulations.

We begin by examining the vertical variation of the simulated wave fields during IOP 6 (Fig. 7). In the mid- and upper troposphere, the winds over the Southern Alps are southwesterly, approximately parallel to the main Southern Alps ridge (cf. Fig. 2 and 6). The vertical velocity in the troposphere is characterized by quasi-two-dimensional waves directly over NZ with phases aligned northwest–southeastward. The horizontal wave vector points southwestward, approximately opposite to the tropospheric wind direction (Figs. 7a,b). The vertical velocity exhibits multiple maxima directly over NZ and decays rapidly with offshore distance. Careful inspection of the actual terrain suggests that there is close correspondence between the wave phase lines and high peaks.
underneath, suggesting that individual peaks are likely sources of these waves. In the UTLS, the wave fields extend farther offshore and are more parabolic (Fig. 7c), resembling typical hydrostatic waves over an isolated peak (e.g., Smith 1980), while approximately maintaining their left–right symmetry with respect to the primary terrain ridge. Directly over and to the northwest of NZ, the waves are qualitatively similar to their tropospheric counterparts. On the downwind side, the wave fronts extend southeastward over a distance of ~2000 km at the 45-km level (Fig. 7d), taking on the form of ribbonlike STWs. The vertical velocity amplitudes become much larger at the 45-km level because of the decrease of the background air density $r_a$ with height.

For IOP 3, the modeled tropospheric waves include shorter ridge-parallel waves over NZ and longer waves emitted from the southern tip of the South Island (Figs. 8a,b). In the midstratosphere, while the ridge-parallel waves become less pronounced, the northwest–southeast-oriented waves become longer and more intense with increasing altitude, developing into STWs in the midstratosphere (Figs. 8c,d).

From a gravity wave drag parameterization perspective, two critical questions are how much momentum STWs carry and where it is dissipated. The wave-induced vertical fluxes of horizontal momentum $\mathbf{F} = (F_x, F_y)$ and total energy $\mathbf{E} = (E_x, E_y, E_z)$ are defined as (e.g., Kruse and Smith 2015)

$$\langle F_x, F_y \rangle = \bar{p}_a \langle \bar{u}' \bar{w}', \bar{v}' \bar{w}' \rangle$$

and

$$\langle E_x, E_y, E_z \rangle = \langle \bar{u}' \bar{p}', \bar{v}' \bar{p}', \bar{w}' \bar{p}' \rangle,$$

where $(u', v', w')$ and $p'$ denote the wave-induced perturbation velocity and pressure, respectively, and $\bar{p}_a$ is the horizontally averaged air density at a given height. Here the primed terms are defined as $\bar{f}(x, y) = f(x, y) - \bar{f}$, where the overbar denotes a moving average over a circle centered at $(x, y)$ with a radius of $R = 300$ km. Trial calculations using $R = 250$ and 350 km suggest that wave fluxes are relatively insensitive to the chosen $R$ value.

The vertical fluxes of zonal momentum $F_z$ at four different levels, namely 9 (below tropopause), 15 (above the tropopause), 25 (midstratosphere), and 45 km (upper stratosphere), are shown for IOP 6 in Fig. 9. In the troposphere, $F_z$ is negative, corresponding to upward-propagating quasi-stationary MWs transporting easterly wave momentum against the westerly mean flow. There are two localized $|F_z|$ maxima in Fig. 9a; one is located right over the Southern Alps, apparently associated with MWs excited by the NZ terrain, and the other is located to the east in the vicinity of the subtropical jet, suggesting its origin could be from jet stream or frontal imbalances. Just above the tropopause, the momentum flux maximum over NZ in Fig. 9b resembles its counterpart below the tropopause but with a reduced magnitude (Fig. 9b). In addition to the maximum over NZ, a weak region of negative momentum flux now extends southeastward, consistent with the
downstream propagation of trailing-wave activity. In the middle stratosphere and above (Figs. 9c and 9d), this southeastward extension of negative momentum flux intensifies in accordance with the STW beams in Figs. 4c, 4d, and 7d. While the primary maximum shifts slightly eastward, two more maxima appear ~400 km to the east and ~1500 km to the southeast of NZ, respectively. The corresponding vertical fluxes of meridional momentum and energy (not shown) are characterized by similar horizontal patterns and vertical variations, except that, while the meridional momentum flux is negative, the energy flux is positive, indicative of upward wave energy propagation.

Figure 10 shows vertical profiles of the fluxes between 6- and 45-km altitude for IOP 6, averaged over the horizontal areas plotted in Fig. 7. Both the zonal and meridional momentum fluxes are negative, associated with southwesterlies in the troposphere. In general, the magnitude of the momentum fluxes is much larger in the troposphere (40%-50% decrease between 10 and 20 km), a layer characterized by significant directional wind shear (Fig. 6). The filtering effect on stratospheric waves of directional shear has been emphasized in previous studies as well (e.g., Eckermann et al. 2007; Krisch et al. 2017). The meridional flux is larger than its zonal counterpart in the troposphere and is smaller in the stratosphere, where the winds are close to westerly. According to linear wave theory, the momentum fluxes associated with steady gravity waves should be constant with height in the absence of critical-level absorption, wave breaking, or other nonlinear dissipative processes (Eliassen and Palm 1961). The vertical flux of wave energy is positive and exhibits a substantial decrease between 10 and 20 km, consistent with the momentum flux profiles. It is interesting that the vertical energy flux actually increases between 20 and 30 km, implying energy extraction from the ambient flow by gravity waves, associated with positive vertical wind shear. Also shown in Fig. 10 is the profile of $-\rho_u(U\bar{u}'\bar{w}') + V\bar{v}'\bar{w}')$, which, according to
the Eliassen and Palm theorem, should be equal to the vertical wave energy flux for linear stationary MWs. There are noticeable differences between the two energy flux terms below ~15 km, suggestive of contributions from nonstationary (likely nonorographic) waves or synoptic processes in the troposphere. The two energy terms track very well in the stratosphere in Fig. 10, suggesting that the dominant contribution in the stratosphere comes from steady quasi-linear MWs. The horizontal wave energy fluxes are shown in Fig. 10c. Both the zonal and meridional fluxes are negative, implying that the mean wave energy vector points into the ambient wind in the troposphere, which is balanced by mean-flow advection. In the stratosphere, both energy flux components are substantially smaller, in accordance with the decrease in the vertical momentum and energy fluxes.

In summary, the simulated STWs are vertically coherent steady waves for IOP 6. In the troposphere, the waves are largely confined directly over NZ, and take on more parabolic shapes above the tropopause with their vertices aligned along the ridge axis, resembling classical hydrostatic wave solutions over an isolated peak (Smith 1980). The momentum fluxes are largely localized with a maximum directly over the Southern Alps. Strong asymmetry develops in the stratosphere, where the meridional shear of the zonal winds is pronounced, with the southern wave beams extending southeastward and forming STWs. The wave momentum (energy) fluxes are spread along these STW beams. While the magnitude of wave momentum fluxes is reduced sizably across the tropopause, the variation of the wave momentum and energy fluxes with height is broadly consistent with the Eliassen–Palm theorem in the stratosphere.

5. Terrain and low-level wind effect

Broadly speaking, the formation of stratospheric STWs can be attributed to two processes: namely, wave generation and propagation. The orographic wave generation is examined in this section with emphasis on the
sensitivity of STW characteristics to terrain and low-level winds, based on a set of five terrain sensitivity simulations and spectral analysis.

a. Terrain sensitivity of trailing waves

Orographic waves are generated by the interaction between topography and low-level winds. To shed light on the role that terrain plays in STW formation, we have carried out an additional set of five sensitivity simulations. The model configurations for these simulations are identical to the control run of IOP 6 except that the NZ terrain is replaced with modified or idealized terrain. These simulations include a no-terrain (NOTRN; terrain height over the New Zealand is set to 0.1 m with the nonzero elevation used to distinguish land and water surfaces), a half-terrain (HFTRN; i.e., the terrain height is reduced to half of the true terrain height), a smooth ridge (RIDGE), and two idealized multiple peak (i.e., PEAK1 and PEAK2) simulations. The idealized terrain height in the last three simulations takes the analytical form

$$h(x', y') = \frac{h_m \cos^2 (2\pi y'/L_y)}{[1 + (x'/a)^2 + (y'/b)^2]^{3/2}},$$  \hspace{1cm} (3)

where $h_m = 3500 \text{ m}$ is the maximum ridge height, $a = 700 \text{ km}$ is the main ridge length, $b = 150 \text{ km}$ is the ridge width, and $\alpha_0 = -36^\circ$ is the tilting angle of the ridge with respect to the zonal direction. These parameters are chosen based on the real NZ terrain profile. In Eq. (3), the cosine-squared term represents small-scale variations along the main ridge. The length-scale parameter $L_y = \infty$ (i.e., no small-scale variation), 400,
and 200 km, for the RIDGE, PEAK1, and PEAK2 simulations, respectively (see black contours in Fig. 11), leading to a greater number of narrower peaks in PEAK2 (i.e., 7) than in PEAK1 (i.e., 3).

We first compare the wave patterns at 45 km MSL from HFTRN and NOTRN to the corresponding fields from the CNTRL simulation shown in Fig. 7. In the absence of the NZ terrain (i.e., NOTRN run), no distinguishable trailing waves are observed over or in the lee of NZ (not shown). The trailing-wave (TW) patterns in the HFTRN simulations (Fig. 11a) are nearly identical to those in the control except that the amplitude of the vertical velocity is much reduced. These simulations confirm, not surprisingly, that the simulated STWs over NZ are indeed orographically generated, with wave amplitude increasing with terrain height. Replacing the real terrain with RIDGE results in fewer and weaker trailing waves with noticeably wider spaces between adjacent wave beams (Fig. 11b) relative to CNTRL. For PEAK1 and PEAK2, the STWs are substantially stronger than those in the other simulations (Figs. 11c,d). With narrower terrain peaks, PEAK2 results in stronger and more trailing-wave beams with shorter horizontal wavelengths (~100 km as opposed to ~200 km for PEAK1). In addition, there is an approximate 10° difference in the TW beam orientation between PEAK1 and PEAK2. Nevertheless, STWs are present in all these simulations (except for NOTRN) and exhibit strong left-right asymmetry with respect to the main ridge axis, with elongated wave beams confined to the southeast side of the terrain and much more restricted wave activity to the northwest side.

The vertical profiles of wave energy fluxes $E_z$ averaged over the same subdomain as in Figs. 7 and 8, valid at 1200 UTC 19 June 2014, are shown in Fig. 12 for the altitude between 10 and 45 km, derived from the CNTRL, RIDGE, PEAK1, and PEAK2 simulations, with the corresponding $E_z = -\overline{\rho (u'w' + v'w')}$ included as dashed curves. It is evident that the energy fluxes from the CNTRL and RIDGE simulations are much smaller than those from PEAK1 and PEAK2, and that the variations of momentum and energy fluxes with
height is consistent with the Eliassen and Palm theorem. For PEAK1 and PEAK2, the energy flux $E_z$ is larger than $E_z^*$ between 10 and 20 km, presumably associated with strong nonlinearity in these two simulations.

In summary, the terrain sensitivity simulations support the finding that the STWs over and downstream of NZ are of orographic origin and suggest that these waves are sensitive to terrain features. Some STW characteristics, such as the dominant wavelength and the number of and orientation of wave fronts, are largely controlled by the terrain. On the other hand, the most salient feature of trailing waves, namely the strong north–south asymmetry with long wave beams extending southeastward in the stratosphere, appears in all simulations except for NOTRN, which implies that the atmospheric environment plays a key role in modulating these stratospheric trailing waves.

**b. Terrain and low-level wind interaction**

The spectra of perturbation fields such as the vertical velocity near the wave source provide useful information about the distribution of perturbation energy over scales and wave vectors. Applying the linearized impermeable boundary condition at the terrain surface, the terrain-induced vertical motion can be written as

$$w(x, y, z)|_{z=0} = \mathbf{V} \cdot \nabla h,$$

where $h = h(x, y)$ is the terrain profile. For practical purposes, the mountaintop winds are often used as an approximation of low-level winds. The nearly proportional relationship between wave fluxes aloft and the cross-mountain wind speed at the mountaintop level has been confirmed by a number of field observations (e.g., Smith et al. 2002; Doyle and Jiang 2006). For a given terrain profile and a horizontally uniform wind, $\mathbf{V} = (U, V)$, the vertical velocity in the wavenumber space $\mathbf{w}$ can be

---

**Fig. 10.** Profiles of (a) vertical fluxes of zonal (solid) and meridional (dashed) momentum, (b) vertical wave energy flux (solid), and (c) horizontal energy fluxes in the zonal (solid) and meridional (dashed) directions, between 6 and 45 km and valid at 1200 UTC 19 Jun 2014 (i.e., IOP 6). In (b), the profile of \( \langle E_z \rangle = -[\text{Re}(U F_x + V F_y)] \) is shown as the dashed curve. The angle brackets here denote horizontal averages over the subdomain shown in Figs. 7 and 8.
conveniently obtained by performing two-dimensional Fourier transform on the right-hand side of Eq. (5):

\[ \hat{w}(k, l) = i (U_k + V_l) \hat{h}, \]

where \( \hat{h} \) is the terrain spectrum and \((k, l)\) denotes the zonal and meridional wavenumber pair. The power spectra of \( \hat{w} \), \( P = \hat{w} \hat{w}^* \), where \( \hat{w}^* \) denotes the complex conjugate of \( \hat{w} \), are shown in Fig. 13, as a proxy of the perturbation energy near the wave source. Figures 13a–c display the normalized \( w \) spectra using the same terrain profile as used in the COAMPS 12-km grid in the CNTRL simulation and three different mountaintop wind directions, namely, (Fig. 13a) SSW (245°), (Fig. 13b) west-northwesterly (WNW; 205°), and (Fig. 13c) NW (135°). The dotted circles correspond to the total horizontal wavenumbers, \( K = \sqrt{k^2 + l^2} \), of 2π/100 and 2π/200 km\(^{-1}\), respectively. It is evident that the perturbation energy can project onto all directions and a wide range of length scales but the precise partitioning varies substantially with the upstream wind direction.

These forced perturbations are subject to the atmospheric filtering as they propagate away from the terrain, so only a fraction of this perturbation energy is able to propagate into the stratosphere and contribute to STW formation. Examples of these filtering processes are depicted schematically in Fig. 13. First, for steady MWs, the intrinsic frequency \( \Omega = V \cdot K = -(U_k + IV) \) should be greater than zero, corresponding to waves propagating against the mean winds. Therefore, only perturbations with wavenumbers located to left of the dashed line \( \text{AA}'\) (i.e., \( \Omega = V \cdot K = 0 \) along \( \text{AA}'\)), are considered here. Second, as waves propagate through a directional shear layer, they continuously encounter critical levels where \( \Omega = U_k + IV \) becomes zero and these wave modes are absorbed. According to Fig. 6a, substantial directional shear exists in the UTLS (i.e., \( \sim 10–20 \) km) during IOP 6, above which the winds are primarily westerly. The dash–dotted line \( \text{BB}' \) corresponds to \( \Omega = 0 \) for westerlies. In Fig. 13a, the wave modes in the fourth quadrant between \( \text{AA}' \) and \( \text{BB}' \) are subject to critical-level
Fig. 12. The profiles of vertical wave energy flux $E_z$ derived from four simulations: CNTRL, RIDGE, PEAK1, and PEAK2. The fluxes are averaged over the trailing-wave subdomain shown in Figs. 7 and 8. The corresponding profiles of $E_z = -(Uf_x + Vf_y)$ (dashed lines) are included for comparison.

absorption as the wind direction turns from SSW to westerlies aloft. Another necessary condition for a wave to propagate vertically is that $\Omega^2 - f^2 > 0$, where $f$ is the Coriolis parameter. A wave is either absorbed or reflected when encountering a layer where $|\Omega| = |f|$, which is often referred to as a Jones critical level (Jones 1967; Kitchen and McIntyre 1980). This condition can be written as $|\mathbf{V}|K\cos(\alpha) > |f|$, where $0^\circ < \alpha < 90^\circ$ is the angle between the wave vector and the wind direction at a given level. Accordingly, we can define a cutoff wavenumber as $K_{\text{cutoff}} = |f|/|\mathbf{V}\cos(\alpha)|$, and below this, threshold waves would be absorbed. The variation of the cutoff wave-number with wave vector angle is schematically shown in Fig. 13 as the thin dashed curve $C$ and only those modes with wave vectors located to the left side of the $C$ curve can propagate into the stratosphere.

In Fig. 13a, the mountaintop wind is from the SSW, and the $w$ spectrum is characterized by two maxima in the inner circle (i.e., horizontal wavelengths longer than 200 km) and to the lower left of curve C. The two maxima correspond to the northeastward (labeled “1”) with $k < 0$ and $l > 0$) and southeastward (labeled “2”) with $k < 0$ and $l < 0$) oriented wave beams, respectively. The maximum in the fourth quadrant (labeled as “3”) may exist in the troposphere but could not enter into the stratosphere because of critical-level absorption. It is worth noting that the STW vectors for IOP 6 estimated from Figs. 4 and 7d are approximately oriented along maximum 2 in Fig. 13a. When the low-level ambient wind is WSW, there are two maximum lobes located to the left of the dashed curve $C$, corresponding to waves that may enter the stratosphere (Fig. 13b). More perturbation energy is projected onto maximum 1 (i.e., the northeast-oriented waves) and a much smaller fraction onto maximum 2 (i.e., potential STWs), suggesting that likely STWs become weaker as the mountaintop wind direction changes from SSW to WSW given all other conditions the same. Finally, if the ambient winds are northwesterlies such as in IOP 3, there are two maxima located to the upper left of the $C$ curve (Fig. 13c). The wave vectors of the primary maximum are oriented in the opposite direction of the mountaintop wind, corresponding to the ridge-parallel waves in Fig. 8, and the wave vectors associated with maximum 2 are related to STWs.

Also shown in Fig. 13 are the $w$ power spectra using the idealized terrain in RIDGE, PEAK1, and PEAK2 for SSW winds. Over a smooth ridge, most perturbation energy is confined in the inner circle with horizontal wavelengths larger than 200 km. Only a minor maximum is present to the lower left of curve C, likely associated with the southern ridge tip (Fig. 13d). Accordingly, the trailing waves are very weak in the RIDGE simulation. For PEAK1, perturbations forced by flow over individual peaks dominate, and the primary maxima are located outside of the inner circle (Fig. 13e). The center of the maximum corresponds to a wavelength of roughly 180 km, which is consistent with the trailing waves in the PEAK1 simulation in Fig. 11c. Only a small fraction of energy is projected onto maximum 2, and consequently, waves in PEAK 1 exhibit strong north–south asymmetry. For PEAK2, the primary maxima are centered approximately at $K = 2\pi/93$ km$^{-1}$, corresponding to a substantially shorter wavelength than in PEAK1. In addition, the wavenumber ratio $|l/k|$ along the maximum is larger than in PEAK1, implying that the trailing-wave phase lines in PEAK2 should have a smaller angle from the zonal direction, which is consistent with the simulations (Figs. 11c,d).

There are a few caveats in this analysis that are worth mentioning. First, wave refraction associated with horizontal wind shear is not considered here and will be addressed in the next section based on ray-path calculations. Second, the energy spectra vary with the model grid spacing, and more perturbation energy is likely projected onto smaller scales if the model grid spacing is finer, although those smaller-scale waves are beyond the scope of this study. Finally, nonlinear processes such as upstream blocking, flow splitting (Smith et al. 2002), and boundary layer adjustment (Jiang et al. 2008) may play an important role in MW generation and are not taken into account in Eq. (5). Still, we believe the above linear analysis
FIG. 13. (a)–(c) The normalized vertical velocity spectra (grayscale, from 0.1 to 1 and increment = 0.1; contours, from 0.01 to 0.1, interval: 0.01) derived from the linear lower boundary condition in Eq. (5) using 15-km NZ terrain and hypothetical wind directions. The wind directions are (a) south-southwesterlies (245° from east), (b) west-southwesterlies (215°), and (c) northwesterlies (135°). (d)–(f) The spectra of $w$ from the interaction between SSW and idealized topography for RIDGE, PEAK1, and PEAK2, respectively. Bold arrows represent mountaintop wind directions; dashed lines $AA'$ and dash–dotted lines $BB'$ correspond to the $\Omega$-critical levels at the mountaintop level and above UTLS, respectively; the two dashed circles correspond to two reference wavenumbers, $2\pi/100$ and $2\pi/200$ km$^{-1}$, and dashed curve C corresponds to the Jones critical level. The thin arrows in (a) and (c) correspond to the wavenumber vectors estimated from IOP 6 and 3 simulations, respectively.
is relevant for the following reasons. The purpose of
the analysis is to understand the qualitative variation of
two-dimensional wave spectra (not the wave amplitudes)
to low-level winds and terrain interaction. According to
Smith et al. (2002), in the presence of upstream blocking
and/or airflow spitting over high hills, linear wave theory
is still applicable after replacing the real terrain with an
effective terrain profile $h_{\text{eff}} = h - h_{\text{cutoff}}$, where $h_{\text{cutoff}}$
represents the blocked layer depth (Eckermann et al. 2010).
Physically, this approach implies that only terrain
above the blocked (or flow splitting) layer contributes to
wave generation. For the NZ terrain profile, the use of
$h_{\text{eff}}$ in Eq. (5) has relatively insignificant impact on the
normalized STW spectra (i.e., waves with wavelength
between 100 and 600 km) and has likely more substantial
impact on longer waves. Nevertheless, this simple
spectral analysis is in qualitative agreement with the
nonlinear COAMPS simulations and provides useful
insights into the dependence of the STW characteristics
(i.e., wavelength, wave phase-line orientation, and wave
amplitude) on terrain characteristics and low-level wind
direction.

6. Wave refraction and ray-tracing calculations

In addition to critical-level absorption, gravity waves
are subject to reflection, refraction, instability, and break-
down associated with vertical or lateral wind shears and
sharp stratification variations when propagating through a
deep atmosphere. In this section, we aim to provide further
insight into the possible role of a horizontal wind shear
in shaping trailing waves through ray-path calculations
using the simulated wind and buoyancy-frequency fields
from IOP 6.

Each ray is launched from the location of the highest
peak of the Southern Alps and 6 km MSL at 1200 UTC
18 June 2014. The ray path for a given wave packet is
calculated by numerically integrating the spatial ray
equations (see appendix) for 24 h with a time step $\Delta t =
5$ min. The hourly COAMPS output of the horizontal
winds $\mathbf{V} = (U, V)$ and horizontally averaged buoyancy-
frequency $N(z)$ are linearly interpolated in time to each
5-min increment for the calculation. A ray terminates
before the end of the 24 h when reaching the 45-km level
or sidewalls. The initial horizontal wavenumber pair can
be written as $(k_0, I_0) = K_0 e^{i\theta_0}$, where the total wavenumber is
$K_0 = 2\pi/\lambda_0$ and the wave azimuth angle $\theta_0$ varies be-
 tween 90° and 270°.

Shown in Fig. 14 are four collections of ray group
trajectories in the y–z plane with the wave angle held
constant in each case, while the wavelength $\lambda_0$ increases
from 60 to 600 km. Figure 14a shows a ray group tra-
jectories for a wave angle $\theta_0 = 165°$ (i.e., phase lines
oriented northeastward 70° from the east direction). The
meridional group propagation distance (i.e., $y$) for a
wave shorter than 200 km exhibits a moderate increase
with height in the troposphere and across the UTLS
associated with northward group velocity (i.e., $l > 0$ and
accordingly $C_{gy} > 0$) and advection by the southerly
wind component (i.e., $V > 0$). Ray paths are close to
vertical in the midstratosphere and above, where the
meridional wind component is close to zero and the
meridional wavenumbers become nearly zero because of
lateral wave refraction. For longer waves, the ray
path is more horizontal and the vertical group velocity
becomes very slow as $\Omega$ approaches $\pm f$.

If the wave angle is 180° (i.e., wave phase lines are
north–south oriented and $I_0 = 0$), the ray paths exhibit
moderate northward tilting in the troposphere, largely
because of the positive meridional wind component
(Fig. 14b). The ray paths of longer waves tend to prop-
gate farther north owing to their slower vertical group
velocity and therefore longer duration in the tropo-
sphere. Approximately at the level of the tropopause,
the ray paths curve back toward the wave source (i.e., in
the meridional direction), implying that the waves start
propagating southward in response to directional shear
(e.g., Eckermann et al. 2007). In the stratosphere, the
northerly wind component reduces to nearly zero and
the meridional wavenumber becomes negative because
of lateral wave refraction associated with the nega-
tive meridional shear of the zonal wind. Consequently,
waves propagate southward in the stratosphere.

If the initial wave angle is 210° (i.e., in the third quad-
rant, corresponding to northwest–southeast-oriented
STW fronts), the ray paths are nearly vertical in the
troposphere, because of the partial cancelation between
the northward advection by the southerly wind compo-
nent and the southward propagation (Fig. 14c). In the
upper troposphere and stratosphere, the ray paths tilt
southward because of the weakening of the southerly
wind component in conjunction with the increase of the
southward group velocity component. The longer the
wavelength, the more the ray path tilts southward.

Finally, for $\theta_0 = 240°$ (i.e., SSW, STW example with
the wave front oriented southeastward 30° from the
zonal direction), the southward propagation dominates
and all ray paths tilt markedly southward with height
(Fig. 14d). At a given level, the meridional distance of
the ray path from the source is larger for a wave with a
longer horizontal wavelength, which we attribute to a
larger meridional-to-vertical group velocity ratio [i.e.,
$(V + C_{gy})/C_{gz}$, also referred to as the meridional
ray-path slope. For example, at 45 km MSL, for the wave
packets with $\lambda_0 = 300$ and 400 km, the meridional
distances are approximately 600 and 800 km, respectively.
On the other hand, for the examples shown in Fig. 14d, waves with wavelength longer than 500 km cannot reach the stratosphere within 24 h because of their slower vertical group velocity.

Several ray-path examples are shown in the $x$–$y$ plane in Fig. 15. The ray paths in these examples fall into one of the three categories: 1) approximately following a straight line, 2) curving back toward $y = 0$, and 3) concave curving down and away from $y = 0$. Category 1 includes waves shorter than 150 km, and their ray path tends to follow a straight line, implying that $(V + C_{gr})/(U + C_{gr}) \approx \text{const}$, and the refraction effect is negligible. Category 2 includes waves with a wavelength 150 km or longer and with an initial wave angle $\theta_0 = 165^\circ$ or $180^\circ$. For this category, the initially northward-propagating wave packet slows down or turns to southward propagating aloft, under the influence of refraction. Category 3 corresponds to STWs with paths curving away from $y = 0$, implying that the ratio $(V + C_{gr})/(U + C_{gr})$ increases as waves propagate into the stratosphere, even though the zonal wind component increases as well.

In summary, the ray-path calculations at least generally explain the north–south asymmetry of STWs. For a wave source between 40° and 60°S, the northward
extension of wave fronts tends to be shorter than that of its southern counterpart because of wave refraction associated with the negative meridional shear of the zonal wind in the stratosphere. This can be seen from Eq. (A3), with $k < 0$ and $\partial U/\partial y < 0$, which yields $d l/dt < 0$ and increasing negative $l$ along ray paths with time. For southeast-oriented wave phase lines, $l < 0$, and the shear-induced refraction increases the magnitude of the meridional wavenumber, which tends to increase the southward group velocity component and therefore favors the formation of long southeast-oriented wave beams in the stratosphere. For north- or northeast-oriented wave phase lines, $l > 0$ in the troposphere, and wave refraction tends to decrease $l$ toward zero or to negative values and therefore shortens the north- or northeast-oriented wave beams.

7. Discussion and concluding remarks

STWs downstream of NZ topography have been examined by analyzing two STW events documented during DEEPWAVE field observations. For both events, the COAMPS-simulated stratospheric waves compare well with satellite and aircraft observations. Based on diagnosis of the COAMPS simulations, we conclude that these waves are orographically generated gravity waves with the following characteristics: (i) from a geographical perspective, STWs occur over terrain located to the south of approximately 40\(^\circ\)S, where strong and deep stratospheric westerlies are often present; (ii) the horizontal wavelength is roughly between 100 and 600 km, one order of magnitude longer than typical tropospheric MWs (i.e., ~10–50 km); (iii) STWs usually are difficult to detect in the troposphere because of their typically small amplitudes and only become more apparent in the stratosphere; and (iv) STWs exhibit strong north–south asymmetry with the elongated quasi-linear wave phase lines extending as beams toward the westerly stratospheric jet core. These characteristics are directly tied to the STW formation mechanisms, such as low-level wind–terrain interaction, wave absorption and dissipation associated with directional shear, and refraction due to pronounced meridional shear in the stratospheric westerlies.

Broadly speaking, characteristics of STWs are determined by two processes: wave generation and propagation. The STW generation is largely controlled by terrain features and low-level winds, and the interaction between the two dictates the distribution of perturbation energy over horizontal scales and directions. High-latitude terrain such as the Southern Andes, the Antarctic Peninsula, and the Southern Alps are massive quasi-two-dimensional barriers, which project substantial perturbation energy onto scales larger than 600 km with relatively large $|k/l|$ ratios. However, for the reasons summarized below, these longer or more two-dimensional waves may have limited contributions to these STWs. Instead, individual peaks (e.g., Patagonia in the Southern Andes) and barrier tips, which project more energy onto wave modes with $|k/l| \sim 1$ and horizontal wavelengths between 100 and 600 km, are likely more important STW generators. In addition, the perturbation energy spectra are found to be fairly sensitive to the low-level wind direction, which plays a role in regulating the STW wavelength and orientation. The difference between the low-level wind direction and the stratospheric westerlies also serves as a useful parameter for evaluating critical-level absorption, and a larger angle between the two implies that more wave modes are absorbed by encountering critical levels before entering the stratosphere. The directional shear filters out wave components in a certain range of wave angles and, accordingly, plays a role in STW mode selection.

The wavelengths of observed and numerically simulated STWs are approximately in the range of 100–600 km. The precise wavelength range may vary with a number of parameters, such as the atmospheric stratification, the relative position of the wave source and the stratospheric jet core, meridional wind shear, and tropospheric wind speed. Shorter waves (i.e., $\lambda < 100$ km) have fast vertical group velocity and slower horizontal group velocity and, accordingly, tend to propagate more vertically. As a result, shorter waves are largely
confined in the vicinity of the wave source, even in the stratosphere, and thus make little contribution to the STWs that extend thousands of kilometers from the terrain (e.g., Fig. 14d). Two conditions presumably set the upper limit on the STW horizontal wavelength. First, a necessary condition for a freely propagating gravity wave is \( \omega^2 - f^2 > 0 \), which yields a maximum wavelength \( \lambda_{\text{cutoff}} = 2\pi |V| \cos(\alpha)/|f| \), where \( 0 < \alpha < 90^\circ \) is the angle between the wave vector and the wind direction.

Waves longer than this wavelength are subject to absorption at the level where \( \Omega^2 - f^2 = 0 \), known as a Jones critical level. If this layer is adjacent to the ground, any perturbations with scales longer than \( \lambda_{\text{cutoff}} \) cannot freely propagate deeply into the stratosphere. Second, because of slower vertical group velocity, some long waves cannot enter into the stratosphere in a reasonable time frame (e.g., 24 h or some other synoptic time scales). In addition, if the tropospheric jet above the wave source is too narrow, a long wave may propagate out of the tropospheric jet before entering the stratosphere.

A striking feature of STWs is their strong north–south asymmetry with impressive longwave beams extending southeastward (i.e., for southern Andes and New Zealand; northeastward for waves originated from the Antarctic Peninsula) and much shorter wave beams on the opposite side. This asymmetry is largely due to lateral wave refraction in the stratosphere where the meridional shear of the westerlies is pronounced. The meridional shear tends to stretch STW beams by increasing the group velocity in the meridional direction, and slows down the meridional propagation of waves on the opposite side. It is worth noting that the latitude of the wave source is crucial for wave refraction and, therefore, STW formation. Statistically, the stratospheric westerly jet maximum is centered at approximately 60°S. If a wave source is located around 80°S (e.g., NZ terrain or Patagonia), where the meridional shear of the stratospheric westerlies is negative, STWs develop to the south of the wave source with wave fronts on the northern side largely confined in the vicinity of the terrain as shown for the cases examined in this study. If a wave source is located to the south of 60°S (e.g., the Antarctic Peninsula), where the meridional shear is positive in the stratosphere, trailing waves develop to the north of the terrain. If a wave source is located in the vicinity of the stratospheric westerly maximum, where \( \partial U/\partial y \sim 0 \) (e.g., South Georgia, ~60.5°S), wave refraction is insignificant in the vicinity of the source, and accordingly the stratospheric waves are more north–south symmetric (Alexander et al. 2009).

Comparing wave momentum fluxes observed over mountains at or below the tropopause level from previous research flights [wavelength: 30–120 km; momentum flux: 0.2–1 Pa; see Table 5 of Smith et al. (2016)], the magnitude of the STW momentum flux in the midstratosphere for IOP 6 (Fig. 10) is at least one order of magnitude smaller. However, the momentum carried by long STWs could be dynamically important for general circulations in the stratosphere and mesosphere for the following reasons. First, STWs may be able to propagate deep into the stratosphere or even the mesosphere without breakdown, owing to their generally smaller wave amplitude in the troposphere and lower stratosphere, and their tendency to propagate toward stronger westerlies in the stratosphere. Second, unlike the momentum flux from shorter waves, which is largely confined in the vicinity of the wave source, the momentum flux from trailing waves may spread over a substantially larger area (e.g., more than ~10° latitude by 10° longitude in Fig. 9). Therefore, a simple comparison between local momentum flux maxima (or leg-averaged flux from research flight in situ measurements) from shorter and longer waves may be misleading in terms of their net drag impacts. While trailing waves are usually much weaker in the troposphere than shorter mountain waves (i.e., wavelength < 100 km), they may still carry an appreciable amount of total wave momentum (i.e., area-integrated momentum fluxes) into the stratosphere. Last, although the momentum flux associated with trailing waves may spread over a large area of the stratosphere, their wave sources, those individual peaks in major barriers, are likely underresolved in general circulation and climate models. Therefore, widespread momentum fluxes from trailing waves still need to be properly represented in these models via subgrid-scale parameterization or improved resolution.

Acknowledgments. The DEEPWAVE program was made possible through financial and/or in-kind support from many U.S. and international organizations, including the National Science Foundation, National Center for Atmospheric Research/Earth Observing Laboratory, Naval Research Laboratory, German Aerospace Center (DLR), National Institute of Water and Atmosphere Research (NIWA), Australian Antarctic Division (AAD), the New Zealand MET Service, PAE Ltd., ECMWF, and NOAA–NCEP. The authors acknowledge the support of the Chief of Naval Research through the NRL Base Program Element (PE) 0601153N and 0602435N, and the support of National Science Foundation through Grant AGS-1338646. Computational resources were supported by a grant of HPC time from the Department of Defense Major Shared Resource Centers.
APPENDIX

Ray-Tracing Calculation

For a wave packet of the form \( \exp[i(kx + ly + mz)] \), the ray trajectory (or ray path) is governed by the group-trajectory ray equation (Lighthill 1978):

\[
\frac{dx_i}{dt} = U_i + c_{g_i}, \quad (A1)
\]

where \( \frac{dx_i}{dt} = \partial / \partial t + (U_i + c_{g_i}) \cdot \nabla \) represents time rate of change along a ray path and the indices \( i = 1, 2, \) and \( 3 \) correspond to the three dimensions \( x, y, \) and \( z \). For a hydrostatic gravity wave (GW), the group velocity \( c_{g_i} \) is given by

\[
c_{g_i} = \frac{N_i^2}{\Omega m^2} [k_i, l_i, -K_i^2/m], \quad (A2)
\]

where \( N = \sqrt{g/\bar{T}} (\partial \bar{T} / \partial z) \) is the buoyancy frequency, \( \bar{T} \) is the horizontally averaged potential temperature, and \( m \) is the vertical wavenumber, which can be written as

\[
m^2 = \frac{N^2 K^2}{\Omega^2 - f^2}. \quad (A3)
\]

For simplicity, we ignore the latitudinal variation of \( f \) and horizontal variation of the buoyancy-frequency \( N \), and the equations that govern wave refraction in a sheared flow are (e.g., Dunkerton 1984; Eckermann 1992)

\[
\frac{dk}{dt} = -k \frac{\partial U}{\partial x} - l \frac{\partial V}{\partial x}, \quad (A4)
\]

\[
\frac{dl}{dt} = -k \frac{\partial U}{\partial y} - l \frac{\partial V}{\partial y}. \quad (A5)
\]

The ray path, \( \mathbf{X} = [x(t), y(t), z(t)] \), for a three-dimensional wave packet with an initial wavenumber vector \( \mathbf{K}_0 = (k_0, l_0) \) at location \( \mathbf{X}_0 = (x_0, y_0, z_0) \) can be computed by numerically forward-integrating Eq. (A1), (A4), and (A5) in time.

REFERENCES


