Can Top-of-Atmosphere Radiation Measurements Constrain Climate Predictions? 
Part I: Tuning

SIMON F. B. TETT AND MICHAEL J. MINETER
School of GeoSciences, University of Edinburgh, Edinburgh, United Kingdom

CORALIA CARTIS
School of Mathematics, University of Edinburgh, Edinburgh, United Kingdom

DANIEL J. ROWLANDS
Atmospheric, Oceanic and Planetary Physics, Department of Physics, University of Oxford, Oxford, United Kingdom

PING LIU
School of Mathematics, University of Edinburgh, Edinburgh, United Kingdom

(Manuscript received 31 July 2012, in final form 14 May 2013)

ABSTRACT

Perturbed physics configurations of version 3 of the Hadley Centre Atmosphere Model (HadAM3) driven with observed sea surface temperatures (SST) and sea ice were tuned to outgoing radiation observations using a Gauss–Newton line search optimization algorithm to adjust the model parameters. Four key parameters that previous research found affected climate sensitivity were adjusted to several different target values including two sets of observations. The observations used were the global average reflected shortwave radiation (RSR) and outgoing longwave radiation (OLR) from the Clouds and the Earth’s Radiant Energy System instruments combined with observations of ocean heat content. Using the same method, configurations were also generated that were consistent with the earlier Earth Radiation Budget Experiment results. Many, though not all, tuning experiments were successful, with about 2500 configurations being generated and the changes in simulated outgoing radiation largely due to changes in clouds. Clear-sky radiation changes were small, largely due to a cancellation between changes in upper-tropospheric relative humidity and temperature. Changes in other climate variables are strongly related to changes in OLR and RSR particularly on large scales. There appears to be some equifinality with different parameter configurations producing OLR and RSR values close to observed values. These models have small differences in their climatology with the one group being similar to the standard configuration and the other group drier in the tropics and warmer everywhere.

1. Introduction

There have been several attempts to constrain climate sensitivity using observations. Some of these compare diagnostics from an ensemble of multiple model simulations (e.g., Knutti and Hegerl 2008) while others systematically vary parameters in a single model (e.g., Stainforth et al. 2005). Varying parameters in a single model typically represents the ocean as a “slab” which, although computationally less expensive than using a full ocean model, requires simulations of a few decades in length to estimate the model climatology, for comparison with observations, and to estimate the climate sensitivity. Randomly perturbing parameters generates model configurations that have large differences from observations. Such configurations will have low likelihoods and so contribute little to observationally constrained distributions. An approach that preferentially generates “plausible” model configurations would reduce the computational cost of generating an ensemble of models to explore future uncertainty in climate change. The computational

Denotes Open Access content.

Corresponding author address: Simon Tett, School of GeoSciences, University of Edinburgh, The King’s Buildings, West Mains Road, Edinburgh EH9 3JW, United Kingdom. E-mail: simon.tett@ed.ac.uk

DOI: 10.1175/JCLI-D-12-00595.1

© 2013 American Meteorological Society
cost could be reduced further if atmospheric model simulations of a few years could be used.

In Part I of this paper we use an optimization method to show that it is possible to “tune” an atmospheric model to different outgoing radiation targets through modifying parameters in the models’ parameterization schemes. Unlike others who have considered several observational datasets we use several different targets but only two observables: the global-mean outgoing longwave radiation (OLR) and reflected shortwave radiation (RSR). An important reason to focus on global-mean outgoing radiation is that an atmosphere–ocean climate model with a poor simulation of net radiation will drift until the model is back in equilibrium, leading to significant surface temperature errors. Such models with large surface temperature errors will have distorted climate feedbacks. We chose targets that are close to two different observational values and sampled around the edge of the plausible region of model–observational agreement whose construction we describe in the second part of this paper (Tett et al. 2013, hereafter Part II). We also explore the physical mechanisms by which the model makes these changes and if different climatologies are possible for similar outgoing radiation values. Our results suggest that automatic tuning of an atmospheric model is possible.

In Part II we use the data from the simulations we generated to first investigate the relationship between climate feedbacks and outgoing radiation in version 3 of the Hadley Centre Atmosphere Model (HadAM3), finding there is a strong relationship between outgoing radiation and climate sensitivity for the parameters we varied. Using these simulations and an uncertainty estimate for model–observational difference we make an observationally constrained estimate of climate sensitivity based on the structure of HadAM3. We find that the range of plausible climate sensitivities is considerably narrower than the prior range when using the Clouds and the Earth’s Radiant Energy System (CERES) record of Loeb et al. (2010). These results suggest that the CERES data provide a significant observational constraint on climate sensitivity.

Turning to the question of “tuning,” which is the focus of Part I, current techniques to tune climate models are slow, expensive, and require expert judgment (e.g., Mauritsen et al. 2012). Several authors have attempted to automatically tune climate models in a variety of ways. Gregoire et al. (2011) carried out 100 evaluations of the Fast Met Office/U.K. Universities Simulator (FAMOUS) project atmosphere–ocean GCM (AOGCM) and selected those configurations that were in objective good agreement with present observations and Last Glacial Maximum reconstructions. Medvigy et al. (2010) sampled uniformly across a plausible range of two parameters for the Ocean–Land–Atmosphere Model (Walko and Avissar 2008) and found the configurations that maximized the likelihood of multiple weighted observations. These approaches that require sampling uniformly across parameter space are fairly inefficient, particularly as the dimensionality of the problem increases.

To estimate the multivariate probability distribution of parameters, Jackson et al. (2004) proposed using, instead of pure Markov chain Monte Carlo (MCMC) sampling, an approximate Bayesian stochastic inversion algorithm based on multiple very fast simulated annealing that “focuses” the sampling so as to also decrease a cost function of the error between model output and observations; this algorithm is one to two orders of magnitude more efficient in terms of evaluations count than classical samplers (e.g., Järvinen et al. 2010). Although possibly not yielding equally good sampling of the parameter space, optimization methods that locally minimize model–observational difference have the potential to be even more efficient in terms of model evaluations required.

Jones et al. (2005) used an optimization algorithm based on coordinate search directions to tune multiple characteristics of the low-resolution FAMOUS coupled atmosphere–ocean climate model to the climatology of its higher-resolution parent [Hadley Centre Coupled Model, version 3 (HadCM3); Gordon et al. 2000]. To avoid overfitting they used a skill score that summed over several climate variables. Their algorithm recursively updates one parameter at a time, by maximizing a quadratic approximation of the skill score determined by the current best guess of the solution and two perturbations along the same coordinate that span the allowed range of the parameter.

Severijns and Hazeleger (2005) employed the—“often unreliable” (Wright 1995)—Nelder–Mead simplex to tune a fast climate model to observations. Like Jones et al. (2005) they maximized a skill score based on several climate variabilities. Neelin et al. (2010) defined a weighted error function as a weighted sum of root-mean-square errors for model–observation differences. Their innovative approach was to emulate the skill score for different observational datasets. This then allows post-optimization selection of minima based on which datasets were considered most reliable or important.

Schirber et al. (2013) used ensemble Kalman filter data assimilation to simultaneously estimate six model parameters and atmospheric states on time scales of a few days. However, they found that their model performed worse on climatological time scales. Ollinaho et al. (2013) also used an ensemble forecast technique but with each forecast using different parameter values. After reweighting they estimated a best estimate parameter combination and covariances.

In contrast to Jones et al. (2005), Neelin et al. (2010), Gregoire et al. (2011), and Severijns and Hazeleger
(2005), who include multiple variables in their error function, we focus on the global average of the top-of-atmosphere reflected shortwave and outgoing longwave radiation. We do this partly for simplicity and transparency, and partly because estimating the covariance structure over multiple climate variables is likely to be difficult and very subjective. Our principal focus is on the CERES estimates (Loeb et al. 2009) adjusted for the small net-flux imbalance found in ocean observations. We also consider optimizing to the older Earth Radiation Budget Experiment (ERBE) results (Fasullo and Trenberth 2008).

Our experimental design uses many 6-yr atmospheric only simulations of the HadAM3 model (Pope et al. 2000) driven by observed sea surface temperature (SST) and sea ice as well as a package of climate forcings. We vary four parameters that have been found to have most impact on climate sensitivity (Knight et al. 2007). Although this model is no longer state-of-the-art it is still in widespread use and a good test case. In Part I of the paper we aim to explore the following:

1) whether simple optimization methods can be used to tune the atmospheric model component of a climate model against radiative observations;
2) whether the climatologies of the models that result are related to the outgoing global-mean fluxes; and
3) how sensitive the climatologies obtained are to different observed estimates from Fasullo and Trenberth (2008) and Loeb et al. (2009).

The rest of the paper is structured as follows. In the following section we describe our experimental design and then describe how we optimized the model so that it produced specified outgoing longwave radiation and reflected shortwave radiation showing that we could, in most cases regardless of starting parameter values, adjust model parameters to observations. We then present results from our optimization experiments before showing that other diagnostics are strongly correlated with global-mean RSR and OLR. We also explore issues of equifinality (Beven and Freer 2001), showing that model configurations with similar OLR/RSR values can have a wide variety of parameter values and somewhat different surface climatologies before concluding.

### 2. Methods

#### a. Experimental design

Our experimental design is a “perturbed physics” ensemble of simulations of HadAM3 (Pope et al. 2000) driven with the Hadley Centre Sea Ice and Sea Surface Temperature dataset (HadISST; Rayner et al. 2003) and a updated package of natural and human forcings (Tett et al. 2007). We use the standard N48 resolution (3.75° × 2.5°) of HadAM3.

The anthropogenic forcings include well-mixed greenhouse gases, tropospheric and stratospheric ozone, the direct effect of aerosols, and a relatively crude parameterization of the indirect effect of aerosols. The differences from Tett et al. (2007) are the use of greenhouse gases that are close to observed values to 2010, the use of total solar irradiance (TSI) values from recent observations (Kopp and Lean 2011), and a fix to an error in the shortwave atmospheric (Rayleigh) scattering in HadAM3. The effect of the reduction in TSI is to reduce RSR by 0.3 W m⁻² while fixing the Rayleigh scattering error increases RSR by 1.3 W m⁻².

Our simulations all started 11 December 1998 and ran to 1 March 2005. Simulated values of 5-yr average OLR and RSR for the period March 2000 to February 2005 are compared with the observed CERES values of Loeb et al. (2009) for the same period.

Previous work (Knight et al. 2007; Murphy et al. 2004; Sanderson et al. 2008) has found that four parameters, which affect the vertical mixing of water and cloud formation, largely control climate sensitivity in HadAM3. The range of acceptable parameters values for these parameters was reported by Murphy et al. (2004) based on expert judgment and we use this range (see Table 1). The four parameters we focus on are as follows:

1) entcoef—a parameter in the model’s convection scheme that controls the rate at which bulk air is entrained into the convective plumes;
2) vf1—a parameter in the model’s large-scale precipitation scheme that is the speed of falling ice;
3) ct—another parameter in the model’s large-scale precipitation scheme, which is the rate at which cloud droplets turn into rain; and
4) RHcrit—a parameter in the model’s large-scale cloud scheme that gives the critical value, at each model level, of relative humidity for clouds to form in a grid box. We specified a single value for RHcrit and assigned it to each of the 19 model levels as follows: Levels 1 and 2 always set RHcrit to 0.95 and 0.9, respectively. Level 3 had a value of two-thirds the

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Default value</th>
<th>Range</th>
<th>Step size</th>
</tr>
</thead>
<tbody>
<tr>
<td>entcoef</td>
<td>3</td>
<td>0.5–10 (1.1–8.3)</td>
<td>0.5</td>
</tr>
<tr>
<td>vf1</td>
<td>1</td>
<td>0.01–2.5 (0.26–2.1)</td>
<td>0.2</td>
</tr>
<tr>
<td>ct</td>
<td>1.0 × 10⁻⁴</td>
<td>0.5–4 (1–3.2) × 10⁻⁴</td>
<td>2 × 10⁻⁵</td>
</tr>
<tr>
<td>RHcrit</td>
<td>0.7</td>
<td>0.6–0.9 (0.63–0.85)</td>
<td>0.05</td>
</tr>
</tbody>
</table>
specified value +0.3 but with a minimum value of 0.85. Levels 4–19 used the specified value.

The parameters in the large-scale precipitation scheme affect cloud as they are one mechanism by which cloud ice and liquid water are removed; through evaporation of precipitation they also moisten layers below clouds.

We also carried out an ensemble of 19 simulations of the standard configuration of HadAM3 started with different initial conditions and use this to assess the impact of internal climate variability on our results. In doing this we are assuming that parameter changes have no significant impact on internal variability. Although this is unlikely to be strictly true as internal variability is small (see below), our neglect of its changes is not likely to be important.

b. Optimization techniques

In this section we describe the optimization algorithm we use with the aim of finding parameter combinations that reduce model–observational difference, where the latter is measured by the root-mean-square error of the difference between the observations and simulated OLR and RSR. The essential challenges that this (nonlinear nonconvex) optimization problem poses and that the algorithm must face are the following: the computational expensiveness of each function evaluation (here, each function evaluation requires a 6.25-yr simulation of HadAM3); the noise present in the function values (estimated to be on the order of 0.1 W m$^{-2}$, due to the chaotic nature of the climate model); and the unavailability of derivatives of the error function (no adjoint exists) that we aim to minimize.

State-of-the-art optimization approaches that are designed to tackle such challenges are known as derivative-free optimization algorithms (Conn et al. 2009; Powell 1998), and significant research continues into such algorithms, especially in the last decade. Deciding which variant within this class of methods is best for a given problem is not straightforward, but some general purpose software packages are available [such as derivative-free optimization (DFO), bound optimization by quadratic approximation (BOBYQA), brute force operaztor (BFO), etc.] for testing. However, in our case, because of the need to integrate the optimization approach with HadAM3, it was crucial to have easy access to the source code of the optimization algorithm, control over its parameters, and the possibility to make changes to improve performance, which constrained some of our choices. We experimented with BOBYQA and derivative-free gradient methods (Liu 2010) but found that what was most efficient was an implicit filtering–type approach (Kelley 2011) based on the classical Gauss–Newton (Nocedal and Wright 2006) method, which we implemented and customized appropriately.

The key ingredients of this iterative technique involve first computing approximate derivatives of the model; then, based on these, choosing a regularized direction of change of our current best estimate of a solution that takes into account parameter constraints and deciding how far along this direction to go to ensure the error function is decreased; and finally, deciding what to do when no progress can be made and, relatedly, when to terminate the algorithm. Next, we describe each of these aspects in greater detail.

Firstly, for the “base” and/or current set of parameter values, we estimate the model derivatives (i.e., Jacobian) using forward finite differences by increasing each parameter value by a fixed amount (Table 1). The magnitude of the perturbation was approximately 5%–10% of the parameter range and chosen to be large enough to avoid making derivative evaluations sufficiently close together to generate strongly noise-contained estimates of the derivatives. In our early attempts we modified the parameter values by 1% of their range and found that convergence was difficult.

We perform an initial scaling of the parameters that brings their values within similar magnitudes, so as to avoid algorithmic inefficiencies due to ill conditioning. As our inverse problem is ill posed, the Jacobian is singular and so it requires some regularization to make the problem well posed and allow us to compute an iterative improvement. We used a Tihkonov-type regularization (Nocedal and Wright 2006) of the Jacobian, namely a perturbation of the Jacobian by a small multiple of the identity matrix, to ensure the resulting matrix is full rank. The effect of this is to prefer solutions in which the four parameters, after the initial scaling, all have similar magnitudes—for example, preferring solutions of the form from (1, 1, 1, 1) to (10, 0.1, 1, 1).

To compute an improvement to the current best guess of optimal parameters values, we form a Gauss–Newton direction of search using the regularized approximate derivatives we have computed. To keep all parameters within their expert-defined bounds (Murphy et al. 2004) (Table 1), we project the search vector along the boundary of allowed parameter values whenever such a boundary is encountered by our search. The projection keeps the points that are within the bounds unchanged and inexpensively computes the closest point, within bounds, to any points outside the allowed bounds.

Finally, to ensure the error is decreased, we carry out a line search along this vector by evaluating the error at the full feasible vector and at 90% and at 30% of the feasible vector. Then by comparing the errors at these points with the current best value of the error, we update
our current set of parameter values to the values, if any, that gave most decrease in the error.

We terminate the optimization algorithm when the minimum line search root-mean-square error is less than 1 W m$^{-2}$ (this error level is meant to keep the runs well above noise levels) or when an iteration yields no or insignificant decrease in the error over previous iterations. If an iteration yields no decrease in the error, it is customary in implicit-filtering techniques to shrink the perturbations used to compute the model derivatives (while still keeping their size above noise levels). This is meant to give a more accurate approximation of model derivatives; indeed, if we had exact derivatives, a sufficiently small move along the Gauss–Newton direction would be guaranteed to decrease the error locally. Until now, we have only marginally experimented with this additional heuristic (see section 3).

The use of finite differences implies that $n + 1$ function evaluations are required for a problem in $n$ variables plus an additional 3 for the line search, giving a total of $n + 4$ evaluations per each iteration of the algorithm. This cost of $n + 4$ evaluations is computationally feasible for problems, like ours, where the dimensionality is small.

### 3. Optimization results

In this section we focus on results from our optimization calculations. We first report on results that targeted the observed CERES values (Loeb et al. 2009) and the ERBE estimates (Fasullo and Trenberth 2008) starting from two different parameter combinations. We then report on results in which we initialized the optimization algorithm with all 16 combinations of extreme parameter choices and optimized to six different target values.

We found parameter combinations using an emulator (see Part II), for the four parameters being considered, that gave a model configuration with the smallest (low) or largest (high) climate sensitivities and started our optimization algorithm from them (Table 2). We first optimized both these initial parameter choices to the CERES values and then to the ERBE values (Fig. 1). The high sensitivity case did not converge to the CERES target although the remaining three cases converged. For the case when convergence failed, we tried further halving and quartering the perturbations used to compute the Jacobian, following an implicit-filtering strategy described earlier. No significant improvement was found, suggesting we had reached a local error minimum.

We then carried out optimization studies where we started the algorithm with the extreme values for the four variables, and targeted the CERES observations, giving 16 cases in all. Twelve of these cases failed not because of a failure to converge but because the model failed or “blew up,” indicating unrealistic model physics. Examining the annual mean of OLR and RSR for 1999 (Fig. 2), we see mainly, though not exclusively, that failure occurs for model configurations with OLR and RSR values very different from observations or the standard configuration of HadAM3. The four configurations that did not fail converged to the CERES observations with between 3 and 6 iterations.

We then repeated our attempt with parameters at 75% of the difference between the default and limiting values (Table 1). No failures occurred and 15 cases converged to the CERES observed values taking from 1 to 8 iterations to do so (Table 3). These final configurations have a broad range of parameter values (see below). We then repeated this for the ERBE target and, because of a setup error, a target value near the ERBE values. Twelve cases successfully converged to the ERBE target while 13 successfully converged to the near-ERBE target. For the cases that failed six in total had a model failure while one failed to converge.

The ERBE value lies at the edge of the plausible region around the CERES values (see Part II for details). We then carried out further experiments, targeting three values spread approximately equally around the edge of the uncertainty region (Fig. 1). For one target we only

### Table 2. Optimization simulations. Shown are initial and final configurations from CERES and ERBE targets with both starting from configurations with largest and smallest estimated equilibrium climate sensitivity (see text). Also shown are parameter choices and RMS error (W m$^{-2}$) for initial choice and final state of the optimization. Error is computed against the original observed values. The boldface value is where the iteration failed to converge. The number of iterations and the number of simulated years are listed. Parameter choices (in parentheses) are shown in order: entcoef, vf1, ct and RHcrit. Also shown is standard configuration of HadAM3.

<table>
<thead>
<tr>
<th>Name</th>
<th>Initial Parameters</th>
<th>Final Parameters</th>
<th>Error (W m$^{-2}$)</th>
<th>Iterations (yr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CERES low</td>
<td>(9.00, 2.00, 0.50, 0.70)</td>
<td>(4.15, 0.83, 0.66, 0.83)</td>
<td>0.1</td>
<td>9 (410)</td>
</tr>
<tr>
<td>CERES high</td>
<td>(0.60, 0.50, 1.28, 0.85)</td>
<td>(0.95, 0.66, 0.50, 0.65)</td>
<td>0.7</td>
<td>3 (137)</td>
</tr>
<tr>
<td>ERBE low</td>
<td>(9.00, 2.00, 0.50, 0.70)</td>
<td>(14.16, 2.32, 0.86, 0.89)</td>
<td>0.3</td>
<td>—</td>
</tr>
<tr>
<td>ERBE high</td>
<td>(0.60, 0.50, 1.28, 0.85)</td>
<td>(2.05, 0.57, 0.50, 0.60)</td>
<td>4.7</td>
<td>8 (364)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name</th>
<th>Initial Parameters</th>
<th>Final Parameters</th>
<th>Error (W m$^{-2}$)</th>
<th>Iterations (yr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HadAM3</td>
<td>(3.1, 1, 0.7)</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>
had four successful optimization cases with 11 failing to converge, and one model failure. This target appears to be difficult to generate model configurations that match with the optimization algorithm, likely getting trapped in local minima, although further experimentation is required to confirm this. The other two target values appeared to behave similarly to the ERBE targets with a total of four model failures and two cases when the algorithm failed to converge.

To provide a statistical summary of our optimization results we focus our analysis on five targets all started with initial parameter values at 75% of the difference between the default and limiting values (see the values with asterisks in Table 3), giving 60 “sample” cases in all. About 30% of these failed with the remainder converging. The failure cases took, on average, about 28 model evaluations to either blow up or terminate due to failure to reduce the error. The convergent cases took, on average, about 24 evaluations. One algorithm to find an optimal solution would be to start with some initial parameter choice and apply our algorithm. If the optimization fails to converge or the model blows up, then generate another configuration and keep trying until convergence happens. The number of model evaluations required, taking account of the possibility of repeated failure, is

\[ E = E_c + \sum_{i=1}^{\infty} E_f f^i, \]

where \( E \) is the total number of evaluations, \( E_c \) (\( E_f \)) the number of evaluations for convergence (failure), and \( f \) the probability of failure. This gives \( E = E_c + E_f f/(1-f) \).

For our values this means that such an algorithm would

FIG. 1. Optimization trajectories beginning from high and low climate sensitivities to CERES (black lines) and ERBE (gray lines) targets. Crosses show the observed targets. Small pluses are values from the individual simulations. The black triangle shows the average values for the default HadAM3 configuration, while the light gray crosses show four other targets used.

FIG. 2. OLR and RSR values for 1999 from all model configurations. Large asterisks indicate model configuration that failed at some point. Dots are values from configurations that did not fail and have RSR and OLR values within 10 W m\(^{-2}\) of the CERES values, while small plus signs indicate the remaining successful configurations. The failure cases are about 1% of the total cases. Large plus signs indicate CERES (upper left-hand value) and ERBE (lower right-hand value), while the box shows the region shown in Fig. 1.
4. Changes in other climate variables

So far we have focused our attention on global-mean reflected shortwave radiation (RSR) and outgoing longwave radiation (OLR). We did this as it allows a relatively simple and reproducible tuning strategy allowing us to generate model configurations that agree well with the global mean outgoing longwave and reflected shortwave. However, in so doing, we might produce configurations that have poor climatologies for other variables including OLR and RSR themselves. In this section we examine climatologies in land temperature and precipitation, and several other climate variables to see what relationship there is between OLR and RSR and those variables. If there are strong relationships between those variables and OLR and RSR then that supports our focus on OLR/RSR, whereas if there is little relationship then we should include them in our minimization algorithm to produce model configurations that are in better agreement with observations.

Some of our model configurations produce OLR or RSR values that are tens of watts different from the observations. To avoid our analysis being over determined by these cases, we only consider model configurations that have reflected shortwave and outgoing longwave values both within 10 W m\(^{-2}\) of the observed values. As we show in Part II of this paper, that is well outside the observed uncertainty range. This gives us 1849 HadAM3 configurations, from a total of 2640 configurations, to analyze in this section.

4.1. Clear or cloudy effects?

We first consider those variables that are closely related to RSR and OLR with the aim of determining if the changes in RSR and OLR arise from clear-sky effects or changes in cloud. Examining first the fractional cloud (Fig. 3a) we see systematic changes in fractional cloud area with values ranging from 0.38 to 0.57. The systematic variation is a reduction in cloud fraction as OLR increases and an increase in cloud fraction as reflected shortwave radiation increases. This is what we would expect as reducing cloud amount increases OLR (more radiation from lower in the atmosphere) and reduces RSR (less reflected radiation) (see below). The range of clear-sky RSR values are small, ranging from 52.6 to 53.4 W m\(^{-2}\), suggesting that our parameter changes are not greatly affecting the surface albedo even though the land temperatures (see below) change somewhat.

Changes in upper tropospheric water vapor and temperature may explain the changes in clear-sky OLR. We find (Figs. 3c,d) that 250-hPa temperatures are greatest when 250-hPa relative humidity (RH) is also largest. That suggests a compensation between these two variables such as to keep the clear-sky OLR near constant. When the atmosphere is warmer and so emits more outgoing radiation it is also wetter. The moister upper troposphere means the radiation that reaches space is coming from higher in the atmosphere where it is colder. This compensation between lapse rate and moisture
changes has been found for many models in response to climate change (Randall et al. 2007).

We now investigate, in more detail, the small changes in clear-sky radiation and the dominance of cloud changes on the outgoing radiation. One possibility is that our results are an artifact of the four parameters we tuned or because we are using results from tuning experiments. Rowlands et al. (2012) used 14 001 perturbed physics simulations of HadCM3 perturbing the same 10 parameters as Sanderson et al. (2008). We randomly sampled 100 parameter configurations from these 14 001 configurations and carried out atmospheric model simulations using these parameter values (“randomly sampled” simulations). Two of the simulations failed through model “blow up,” leaving 98. For the clear-sky RSR 10 parameters gives a larger scatter than just modifying four. However, the range of clear-sky RSR values is still small at less than 3 W m\(^{-2}\) compared to range of 100 W m\(^{-2}\) in all-sky RSR (Fig. 4a). For clear-sky OLR the range is about 5 W m\(^{-2}\), which is small compared to the range of OLR values in both the tuned and randomly sampled simulations (Fig. 4b). The tuned and randomly sampled simulations appear to behave similarly with RSR increasing as cloud fraction increases and OLR decreasing as cloud fraction decreases (Figs. 4c,d). Thus, at least for the parameters we consider, changes in outgoing radiation are driven by changes in cloud rather than changes in clear-sky conditions. Changes in clear-sky OLR are small likely because upper-tropospheric temperature (UTT) and humidity (UTH) change to partially compensate the impact each one has on OLR. These results do not appear to be an artifact of our focus on four parameters or tuning as similar results hold for a set of 100 randomly sampled parameter configurations.

b. Other climatologies

In this section we investigate how changes in the climatology of other variables are related to global-mean OLR and RSR. If these variables are independent of OLR and RSR then including them as separate variables in our analysis might have benefit; see, for example, the climate prediction index of Sexton and Murphy (2012). In this section we focus on large-scale averages over many of our simulations. In section 5 we consider, in more detail, those configurations that are close to the
CERES and ERBE observations. In this section we carry out a weighted regression between global-mean OLR and RSR with several variables. The weights we use are the areas of the Voronoi polygons for each model configuration in OLR and RSR.

A Voronoi polygon is the polygon enclosing the region of space closer to a point than to any other point (Aurenhammer 1991). Using this weighting gives smallest weight to those individual configurations that are close, in terms of their simulated OLR/RSR values, to other configurations. We set a maximum weight of $w$ for each polygon to stop large polygons on the boundary from biasing our results. We chose this factor to give a disk of radius 1 corresponding to our precision for tuning. The effect of this maximum weight is to reduce the variance explained in our results below. We computed the Voronoi polygons for all our results and then selected the points and weights only for those where the RSR and OLR was within 10 W m$^{-2}$ of the CERES observations.

For these variables we also repeated the analysis but using land temperature as a predictor. These regression models are much poorer than regressions using OLR and RSR. Internal climate variability could affect these regressions and using the ensemble of 19 HadAM3 default configurations indicated that the impact is small for the variables investigated here (Fig. 5).

As already discussed clear-sky OLR is less than the Loeb et al. (2009) estimate. We can also investigate if land-mean precipitation and temperatures are consistent with other observations. For observed land precipitation we use the Climatic Research Unit (CRU) TS3.1 dataset. This dataset is an update of the CRU TS2.1 data (Mitchell and Jones 2005) but without including any new corrections for changes in observing practice. We compute average area-weighted precipitation from it from all land points north of 60°S. For the 2000–05 period average this...
FIG. 5. Scatter (black asterisks) between simulated values (x axis) and those predicted from regression coefficients of RSR & OLR (y axis) for (a) average land temperature, (b) global-average precipitation, (c) average land precipitation, (d) global-average fractional cloud, (e) global-average clear-sky OLR, and (f) global-average 250-hPa RH with respect to ice. Thick vertical dot-dashed lines show the mean values from the standard configuration of HadAM3 while the thin dot-dashed lines show the minimum and maximum values from the initial condition ensemble. Dashed lines in (a) and (c) show observed estimates. Each title shows variance explained by linear regression on OLR and RSR with values in parentheses showing the variance explained by average land temperature. Red (light green) triangles show the CERES (ERBE) cold group; magenta triangles (dark green) show the CERES (ERBE) warm group; see text for details.
precipitation is 2.14 mm day$^{-1}$. This value is smaller than many, but far from all, of the parameter configurations considered (Fig. 5c). We can also compare the simulated temperature records for 2001–05 with the CRU TS3.1 dataset. None of the configurations has simulated land surface temperatures greater than the observed estimate of 286.9 K.

Considering only the configurations that have a RMS error of less than 1 W m$^{-2}$ with the ERBE and CERES observations (Fig. 5), we find that the scatter for these configurations is approximately the same for both cases. This scatter is the variability unexplained by changes in OLR and RSR and is about 1 K (land temperature), 0.1 mm day$^{-1}$ (global-mean precipitation), 0.2 mm day$^{-1}$ (land precipitation), 5% (cloud fraction), 2 W m$^{-2}$ (OLR), and 5% (RH). These ranges are much larger than that generated by chaotic climate variability, suggesting that there is some scope for tuning both outgoing radiation and other facets of the simulated climate. In section 5 we explore the surface climatologies of those configurations.

We now repeat the regression calculations on 5-yr averages of zonal-mean temperature and humidity. As before we only use those configurations that are within 10 W m$^{-2}$ of the CERES observations and weight them by the area of Voronoi polynomials. We first consider the variance explained by OLR and RSR in zonal-mean temperature (Fig. 6a). Over almost all of the model

![Temperature](image1)

![Humidity](image2)

**Fig. 6.** Percentage of variance explained in (a) zonal-mean temperature and (b) relative humidity explained by global-mean RSR and OLR. Gray shades/contours are at 0%, 25%, 50%, 75%, and 90% of the variance.
troposphere more than 50% of the variance is explained by OLR and RSR and in much of the mid to upper troposphere more than 75% of the temperature variance is explained. There are only a few regions where the fraction of variance explained drops below 50%. Carrying out a similar analysis for relative humidity (Fig. 6b) we find over almost all of the troposphere more than 50% of the variance can be linearly explained by changes in OLR and RSR. There are regions in the tropical troposphere at around 300 hPa and in the extratropical upper troposphere where more than 75% of the variance is related to changes in outgoing radiation. Water vapor in these regions is particular important as it has impact on the outgoing radiation (see earlier discussion). In summary, for zonal-mean temperature and relative humidity much of the intraconfiguration variability is strongly correlated with changes in global mean OLR and RSR.

We repeated these calculations on the gridded 5-yr averages for fields from the different configurations weighting, as before, by the area of the Voronoi polynomials. We find that for large parts of the world global-mean RSR and OLR explain more than 75% of the variance in RSR and OLR (Figs. 7a,b). For RSR over much of the tropics and off the coast of Antarctica more than 75% of the variance can be explained by changes in global-mean RSR and OLR. For OLR there are large parts of the extratropics where more than 90% of the variance can be explained by global-mean OLR and RSR. In only a very few regions is the variance

FIG. 7. Percentage variance explained at each grid point by global-mean RSR and OLR for (a) RSR, (b) OLR, (c) clear-sky OLR, (d) land near-surface temperature, (e) precipitation, and (f) mean sea level pressure. Colors are at 0%, 25%, 50%, 75%, and 90% of the variance.
explained in OLR less than 50%. RSR in the northern extratropics is not well explained by changes in global-mean OLR and RSR, suggesting that some inclusion of spatial information may improve our optimization algorithm. With the exception of this our results suggest that the parameter changes are coherently affecting outgoing radiation across the planet, supporting our focus on global-mean OLR and RSR.

Clear-sky OLR (OLRC) has a much weaker relationship with global average all-sky OLR and RSR than all-sky OLR (Fig. 7c). Although there are regions in the tropics and polar regions where more than 50% of the variance in clear-sky OLR can be explained by global-average OLR and RSR, over most of the mid-latitudes the variance explained is 25%–50%.

Near-surface temperature has some parts of the world where more than 50% of the variance in gridscale values can be explained by the TOA all-sky fluxes (Fig. 7d). These regions are much of Asia, inland North America, and Antarctica.

Precipitation does not show such a clear relationship as the other variables we have considered with global-mean OLR and RSR, with a quite noisy appearance. Nevertheless, this linear relationship explains more than 50% of the variance across parts of the world mainly in the tropics. Over much of the world more than 50% of the variance in mean sea level pressure can be explained by OLR and RSR. This is particularly apparent in the tropics where there are large regions where more than 75% of the variance can be explained by OLR and RSR. As the parameters we adjust affect the model convection, cloud, and rainfall scheme, we speculate that they are affecting the atmospheric heating rates and thus the atmospheric circulation particularly in the tropics.

Overall there are very strong relationships between global-mean OLR and RSR and other climatologies as we perturb model parameters. This supports our focus on OLR and RSR rather than optimizing several variables as others have done. However, there is still variability unexplained by changes in OLR and RSR that is larger than that generated by internal climate variability. This suggests we might have been able to tune surface temperature and precipitation to bring them closer to observations as well as OLR and RSR. We examine the surface climatologies of those configurations in the next section.

5. Equifinality

Equifinality (Beven and Freer 2001) is when different parameter values lead to similar outputs and frequently arises in complex environmental models. In this section we examine in more detail those configurations whose simulated RSR and OLR are in good agreement CERES and ERBE observations. We examine the parameter values for the configurations to see 1) if the parameter values are constrained by OLR and RSR values and 2) if the simulated temperature and precipitation climatologies are related to particular parameter values. We consider the 37 (41) configurations that have a RMS error of less than 1 W m\(^{-2}\) from the CERES (ERBE) observations, of which 20 (14) are the best-fit cases arising from earlier optimization trials.

First we consider land temperature, north of 60\(^\circ\)S (Fig. 5a). For configurations close to the CERES values there are two groups with a gap between them while for configurations close to the ERBE values there is an approximately 1-K range of land temperatures but no obvious gap.

To simplify further analysis we group the model configurations into five groups and average within each group. These groups are 1) the standard configuration of HadAM3 with different initial conditions (standard), 2) the configurations close to CERES that are colder than 285.3 K (CERES cold group), 3) the configurations close to CERES that are warmer than 285.3 K (CERES warm group), 4) the configurations close to ERBE that are colder than 285.3 K (ERBE cold group), and 5) the configurations close to ERBE that are warmer than 285.6 K (ERBE warm group). These values were chosen to keep the groups approximately equally sized (Table 4) and allow us to see if there is any relationship between surface temperatures and other facets of the simulated climatologies.

The warm CERES and ERBE groups are warmer, by construction, than the standard configuration and so are

<table>
<thead>
<tr>
<th>Name</th>
<th>No.</th>
<th>RSR</th>
<th>OLR</th>
<th>Land Temp.</th>
<th>Land Precip.</th>
<th>Cloud</th>
<th>OLRC</th>
<th>UTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Standard</td>
<td>19</td>
<td>100.6</td>
<td>238.8</td>
<td>285.1</td>
<td>2.3</td>
<td>46.9</td>
<td>259.9</td>
<td>49.3</td>
</tr>
<tr>
<td>CERES cold</td>
<td>17</td>
<td>99.5</td>
<td>239.6</td>
<td>284.9</td>
<td>2.4</td>
<td>47.1</td>
<td>259.1</td>
<td>50.0</td>
</tr>
<tr>
<td>CERES warm</td>
<td>20</td>
<td>99.8</td>
<td>239.5</td>
<td>285.7</td>
<td>2.3</td>
<td>45.1</td>
<td>259.9</td>
<td>47.7</td>
</tr>
<tr>
<td>ERBE cold</td>
<td>23</td>
<td>106.4</td>
<td>234.4</td>
<td>285.2</td>
<td>2.2</td>
<td>52.4</td>
<td>259.3</td>
<td>51.2</td>
</tr>
<tr>
<td>ERBE warm</td>
<td>18</td>
<td>106.8</td>
<td>234.3</td>
<td>286.0</td>
<td>2.2</td>
<td>51.7</td>
<td>259.9</td>
<td>49.5</td>
</tr>
</tbody>
</table>
in better agreement with observed large-scale temperatures. The CERES cold group has similar temperatures to the standard configuration although with larger temperature variability than internal climate variability. The global-average precipitation is not greatly different in the two CERES groups although this is a result of a broad spread (Fig. 5b) across the two groups. The warm CERES group, on average, has 0.1 mm day\(^{-1}\) greater land precipitation (Table 4) than the cold CERES group and standard configuration. However, there is significant variability within the two groups with the two groups overlapping. For global and land average precipitation the two ERBE groups overlap (Figs. 5b,c) and have similar average land precipitation (Table 4).

Both CERES groups are well separated for cloud, clear-sky OLR, and 250-hPa relative humidity (Figs. 5d–f). In contrast, the two ERBE groups are not strongly separated. Average values of the groups show that each ERBE group, compared to the equivalent CERES group, has more cloud, less land precipitation, similar clear-sky OLR, and larger 250-hPa relative humidity. Compared to the equivalent warm groups, the cold groups have more cloud, less clear-sky OLR, and larger 250-hPa relative humidity (Table 4). In general the standard configuration is most similar to the cold CERES group.

For the configurations close to the ERBE and CERES observations individual parameter values (Fig. 8) can have values across their valid range. However, this requires correlation between different parameter values. For example high values of \(v_f\) are associated with high values of \(R_H\text{crit}\) (Fig. 8c), while high values of \(ct\) are associated with high values of \(entcoef\) (Fig. 8d). Jackson (2009), following Jackson et al. (2008), examined the probability distribution of several parameters and, like us, found that multiple parameter values could lead to similar model simulations.

The \(ct\) parameter appears to be responsible for the behavior of the warm versus cold groups with both warm groups having values of around \(10^{-4}\). The cold groups have more scatter with the cold CERES group having \(ct\) values around \(3-4 \times 10^{-4}\) while the cold ERBE group has \(ct\) values around \(2-4 \times 10^{-4}\) and less separation between the two groups. Of the other three parameters only \(entcoef\) shows some sensitivity to group with the cold CERES group having generally lower values than the warm group. The cold ERBE group has, on average,
lower values of entcoef; there is some overlap between the two groups.

The correlation exhibited between the parameter values suggests that very different parameter choices can lead to similar surface and outgoing radiation climatologies, leading support to the idea that HadAM3 can exhibit equifinality. However, as we have already seen different parameter choices can modify the surface temperature, and other variables, and still produce similar values of outgoing radiation. Thus, if we had used more parameters and observables in our analysis we might have been able to reduce this equifinality.

We now compare the standard configuration and averages from the four groups with observations focusing on zonal-mean values. As before, we compare with the CRU TS3.1 dataset. In very broad terms all configurations capture the broad features of the observed zonal-mean temperatures (Fig. 9a) with largest temperatures in the tropics and cooling toward the extratropics. This is not greatly surprising—some of this will be driven by the SSTs and some by resolved flows. Examining the differences between the various simulated land temperatures and observations (Fig. 9b) we can see that the cold ERBE and CERES groups and standard configurations have similar temperature differences from observations. The patterns of zonal-mean error in all five groups appear to be similar with a simple shift explaining most of the differences. Both warm groups have the smallest differences from observations near the equator and the largest at high northern latitudes though still cooler than the observations. This suggests that other parameters, probably closely related to the land surface scheme, need adjusting or that better representation of land surface processes is needed to remove these temperature biases while producing outgoing radiation values that are comparable with observations.

Examining simulated and observed zonal-mean land precipitation now (Fig. 9c) we see that the simulations capture the major observed features but are all more similar to one another than they are to the observations. The major difference from observations is that all model configurations have too much precipitation at 45°S. This is due to excessive precipitation over the southern Andes, likely due to excessive diffusion of moisture over the steep orography in this region. All model configurations also have too much precipitation north of about 30°N and produce too little precipitation in the equatorial region, with this being particularly apparent for...
the warm group. Looking at the differences between observations and model configurations (Fig. 9d) we see that the standard configuration and both cold groups have similar errors: about 1 mm day$^{-1}$ too little precipitation near the equator and about 0.5 mm day$^{-1}$ too much precipitation in northern extratropics. Both warm groups are too dry by about 1.5 mm day$^{-1}$ in the equatorial regions but elsewhere have similar errors to the standard and cold group.

We now focus on the average difference between the CERES warm and cold groups. Near-surface temperature differences (Fig. 10a) show spatial heterogeneity with some regions being 1 K warmer and others close to zero. Most of the land is between 0.5 and 1 K warmer. Regions with the least warming appear in the wet tropics while largest warming is at high northern latitudes, India, and parts of East Africa. Precipitation differences (Fig. 10b) appear to be important only in the tropics with a reduction in land precipitation and an increase in ocean precipitation. Perhaps these precipitation differences are water vapor transports from ocean to land being affected by the parameter choices. Mean sea level pressure (MSLP) differences are also apparent with increases in pressure in the Indian and North Atlantic Oceans and reductions in the midlatitude North and South Pacific. Earlier we found that changes in cloud were the dominant contributor to changes in RSR and OLR. Cloud fractions are about 30% less off the coast of Peru, 20% smaller off the coast of Namibia, and 5%–10% less in the Arctic in the warm group than in the cold group. Only in the tropical Pacific and Atlantic is there an increase in fractional cloud of 5%–10% in the warm group relative to the cold group.

We repeated this calculation for the ERBE warm and cold groups and found similar patterns to those for the two CERES groups though with a greater magnitude (not shown). This suggests that the perturbed climatologies that result from perturbing the model physics have differences that are large scale and systematic.

To summarize, our analysis has found evidence of some equifinality with different parameter combinations that produce similar outgoing radiation also producing similar surface climatologies. The values for individual parameters have a broad range although that requires correlation between the parameters. We defined two groups based on their land temperatures and found that the warm group, regardless of use of either ERBE or CERES observations, was warmer over the land everywhere but...
drier in the tropics. The main differences between the groups arose from changes in the ct parameter, which controls the rate at which cloud water turns to precipitation. When it is high HadAM3 has a warmer land but produces less rain over the tropical land. The impact of this is to make the land temperature simulation warmer and in better agreement with observations but the simulation of tropical land precipitation worse.

6. Summary and conclusions

Our results show that tuning atmosphere-only models and exploring parameter space is straightforward using an optimization method. It is computationally efficient requiring short simulations of an atmosphere-only model. Our results suggest that, if other atmospheric models behave like HadAM3, one approach to developing a climate model would be to automate a manual tuning process (Mauritsen et al. 2012) by first automatically optimizing the atmospheric model to have near-radiative balance before coupling it to an ocean model.

We systematically explored the reliability of our optimization algorithm by changing the target values and starting from all 16 combinations of extreme parameters values. We found that convergence, to a RMS error of 1 W m$^{-2}$ or less, took a median of four iterations. Failure to converge, for most targets, occurred about once in 16 cases. For one target we found many more failures to converge. This suggests that convergence depends on the target reflecting the local nature of the optimization algorithm we are using.

Although HadAM3 has been superseded and so it is no longer state-of-the-art the application of our techniques to more computationally complex models should be feasible. The algorithm has two steps: the first in which finite differences are computed and the second in which the line search is performed. For the four parameters we considered the first step required four model simulations and the second step required three model simulations. In each step each simulation can be run in parallel. Modeling centers with more resources than were available to us should be able to apply the techniques we used to more state-of-the-art climate models as each optimization would, on average, require about 225 simulated atmospheric years. This assumes, on average, about 36 model evaluations each of 6.25 years in length. We expect that the optimization algorithm we used should scale to the order of 10 parameters with a linear increase in the number of model evaluations per evaluation, although would need to explore how the number of iterations behaves. It may be possible to reduce the length of the simulation to 18 months as internal climate variability in OLR and RSR is small, which would reduce the number of simulated years to 54.

Looking in more detail at the model climatologies we found that the changes in several large-scale variables were strongly related to changes in reflected shortwave radiation (RSR) and outgoing longwave radiation (OLR). We found little change in clear-sky RSR and OLR largely due, for the latter, to a cancellation between changes in upper tropospheric water and atmospheric temperatures that may be due to the HadAM3 model structure. As a consequence of this cancellation changes in outgoing radiation were largely due to changes in model cloud.

We also explored the climatologies for the configurations that had a root-mean-square error of less than 1 W m$^{-2}$ to either the CERES or ERBE observations. Parameters varied across a broad range but with correlation between the parameters. This lead to some equifinality with different parameter combinations leading to similar climatologies. The main impact on the surface climatologies was due to the parameter (ct) that controls the rate at which water cloud droplets are converted to precipitation. When ct was high, configurations had climatologies that were warmer but had less precipitation in the tropics than the standard configuration.

Earlier evaluation of HadAM3 (Pope et al. 2000) found that the model was too cool by about 2 K and too wet by about 1 mm day$^{-1}$. We still find that the model is too cool but that model precipitation was about correct. As part of the development of our configuration we investigated if changes in total solar irradiance or fixing the Rayleigh scattering error could explain this difference. We found these had little impact on land precipitation. Interestingly, changing the sea surface temperatures used to drive the atmospheric model from HadISST (Rayner et al. 2003) to those in Reynolds et al. (2002), a blend of in situ and satellite data, reduced the land precipitation by 0.2 mm day$^{-1}$. However, that does not bring our results into agreement with those of Pope et al. (2000). The remaining differences might be the use of a different precipitation dataset, a different validation period, or the use of aerosols and non-CO$_2$ gases in our experiments.

Looking at the model climatologies we obtained in more detail, we found a group of HadAM3 configurations that were warmer (and so less in error) but drier in the tropics. It might be that some existing GCM errors have arisen from tuning to a poor minimum and that tuning from extreme parameter values might lead to better simulation of current climate.

Others have also explored optimization techniques despite examining different problems from ours. Jones et al. (2005) tuned the low-resolution FAMOUS atmosphere–ocean model by iteratively adjusting six variables. Each iteration required 13 simulations each
of 100 years for a grand total of 6500 simulated years. Jackson et al. (2004) required an average of 80 model evaluations for estimating the posterior distribution of four parameters and all the evaluations ran in serial rather than partially in parallel as ours do. Ollinaho et al. (2013), like us, used four variables but, unlike us, carried out a set of 10-day ensemble atmospheric forecasts with each ensemble member perturbing a parameter. After reweighting these forecasts they had generated best estimate parameters and a distribution for them assuming that the parameters were multinormally distributed. They also compared their best estimate results with those of Loeb et al. (2009) and found zero error in the total outgoing radiation but RMS errors of about 1.6 W m$^{-2}$ larger than our convergence criteria. Their approach used 180 50-member ensembles with each forecast being of length 10 days for a total of about 250 simulated years.

Finally, let us answer the questions we posed in the introduction. It is feasible to use optimization methods to tune atmospheric mode to radiation observations. Many facets of the model climatologies are related to these radiation observations and there appears to be little difference in the surface climatologies that result when we tuned to the older ERBE results of Fasullo and Trenberth (2008) rather than the recent CERES results of Loeb et al. (2009). However, we did find that model configurations with similar outgoing radiation values could have different surface temperature and precipitation climatologies.

Acknowledgments. We thank the two anonymous reviewers whose comments improved this paper. SFBT was supported by the National Centre for Earth Observations (NERC Grant NE/F001436/1). Support for MJM and computer time on the Edinburgh Computing and Data Facility was provided by the Centre for Earth Observation and Data. MJM also acknowledge support from “Bridging the Gaps” and “Maximaths” funding. DJR was supported by a NERC PhD studentship with a CASE award from CEH Wallingford.

REFERENCES


