Modeling Potential Equilibrium States of Vegetation and Terrestrial Water Cycle of Mesoamerica under Climate Change Scenarios*

PABLO IMBACH,† LUIS MOLINA,† BRUNO LOCATELLI,‡ OLIVIER ROUPSARD,†,&& GIL MAHÉ,¶ RONALD NEILSON,**,&& LENIN CORRALES,++, MARKO SCHOLZE,## AND PHILIPPE CIAIS@@

† Climate Change Program, CATIE, Cartago, Costa Rica
‡ CIRAD UPR Forest Ecosystem Services, Montpellier, France, and CIFOR ENV Program, Bogor, Indonesia
§ IRD/HSM, University Mohamed V, Rabat-Agdal, Morocco
¶ Pacific Northwest Research Station, USDA Forest Service, Corvallis, Oregon
## School of Earth Sciences, University of Bristol, Bristol, United Kingdom
@@ IPSL–LSCE, CEA CNRS UVSQ, Centre d’Etudes Orme des Mertisiers, Gif-sur-Yvette, France

(Manuscript received 18 February 2011, in final form 7 November 2011)

ABSTRACT

The likelihood and magnitude of the impacts of climate change on potential vegetation and the water cycle in Mesoamerica is evaluated. Mesoamerica is a global biodiversity hotspot with highly diverse topographic and climatic conditions and is among the tropical regions with the highest expected changes in precipitation and temperature under future climate scenarios. The biogeographic soil–vegetation–atmosphere model Mapped Atmosphere Plant Soil System (MAPSS) was used for simulating the integrated changes in leaf area index (LAI), vegetation types (grass, shrubs, and trees), evapotranspiration, and runoff at the end of the twenty-first century. Uncertainty was estimated as the likelihood of changes in vegetation and water cycle under three ensembles of model runs, one for each of the groups of greenhouse gas emission scenarios (low, intermediate, and high emissions), for a total of 136 runs generated with 23 general circulation models (GCMs). LAI is likely to decrease over 77%–89% of the region, depending on climate scenario groups, showing that potential vegetation will likely shift from humid to dry types. Accounting for potential effects of CO₂ on water use efficiency significantly decreased impacts on LAI. Runoff will decrease across the region even in areas where precipitation increases (even under increased water use efficiency), as temperature change will increase evapotranspiration. Higher emission scenarios show lower uncertainty (higher likelihood) in modeled impacts. Although the projection spread is high for future precipitation, the impacts of climate change on vegetation and water cycle are predicted with relatively low uncertainty.

1. Introduction

There is a need to understand the potential impacts of climate change on ecological systems before defining adaptation measures for ecosystems and the people who depend on their services (MEA 2005). The Mesoamerican region, where approximately 60 million people depend highly on natural resources, is a global biodiversity hotspot (DeClerck et al. 2010; Myers et al. 2000), with an estimated number of endemic vascular plant species of up to 5000 (Conservation International 2011; Greenheck 2002). It is a repository of the evolutionary history of biodiversity (Sechrest et al. 2002) and a bridge between North and South America for mammals (MacFadden 2006), birds (Weir et al. 2009), and plants (Gentry 1982). Countries in the region have developed national and regional policies for integrating biodiversity conservation and development [e.g., the Central American System of Protected Areas (http://www.sica.int/) and the Puebla–Panama plan (http://www.proyectomesoamerica.org/)] that should account for future climate threats to help reduce the vulnerability of the region.

* Supplemental information related to this paper is available at the Journals Online website: http://dx.doi.org/10.1175/JHM-D-11-023.s1.
&& Current affiliation: Department of Botany and Plant Pathology, Oregon State University, Corvallis, Oregon.

Corresponding author address: Pablo Imbach, CATIE 7170, Turrialba, Cartago 30501, Costa Rica.
E-mail: pimbach@catie.ac.cr

DOI: 10.1175/JHM-D-11-023.1

© 2012 American Meteorological Society
Central America could be the tropical region [as commonly bounded by the Intergovernmental Panel on Climate Change (IPCC)] most exposed to climate change (Giorgi 2006). Between 1961 and 2003, the region experienced increased trends in temperature and in the fraction of annual precipitation falling during extreme events (Aguilar et al. 2005). Observed trends in annual rainfall differ in signal (positive or negative) and statistical significance depending on the data source used. Aguilar et al. (2005) found a nonsignificant trend based on weather station data while Malhi and Wright (2004) found significant increases in some areas based on spatial interpolation of weather station data over forest-covered areas. Furthermore, Neelin et al. (2006) found an observed decrease in precipitation trends based on remote sensing sources (except for southern Panama, which shows the opposite trend), but highlights the difficulty of discerning the natural multidecadal or interannual variability on these observed trends in tropical areas. Precipitation is projected to decrease in the future (Neelin et al. 2006) but uncertainties remain since the year at which the trend for each model is discernible from natural variability and at which several models agree on the trend is highly variable. However, the potential for extreme droughts in the region is becoming increasingly clear (Dai 2010). The impacts of these changes in climate on vegetation and hydrology will affect the availability of natural resources (i.e., water, biodiversity, and biomass) with implications for development. Although not related to human-induced climate change as in this study, an example from the region’s history is the collapse of the Mayan civilization in northern Mesoamerica, which has been explained by multidecadal droughts and their impact on natural resources and livelihoods (Curtis et al. 1996; Haug et al. 2003).

The impacts of climate change on ecosystems depend on complex, nonlinear interactions among soils, vegetation, climate, and humans. These interactions can be simulated by process-based models that functionally integrate atmospheric, vegetation, and hydrologic responses that cannot be accomplished by correlative climate–vegetation models (Yates et al. 2000) and have been applied to modeling the impacts of climate change on vegetation and hydrology for all IPCC reports since 1995 (e.g., Cramer et al. 2001; Neilson and Marks 1994).

Projected impacts vary by model structure, model parameters, and input data, including future changes in climate (IPCC 2005). One approach to assessing uncertainties in projected impacts for the purpose of informed decision making is by using an ensemble of ecosystem models, general circulation models (GCMs), and emission scenarios. Calibrating and validating ecosystem models with past observations allows the uncertainties arising from the ecosystem model to be analyzed and reduced; however, uncertainties from climate scenarios cannot be diminished since the future will never be precisely predictable (in part because of the randomness of the climate system and interventions by human choice).

We aimed to assess the impacts of climate change on vegetation and the water cycle in Mesoamerica using the process-based Mapped Atmosphere Plant Soil System (MAPSS) biogeography model (Neilson 1995) with 136 climate realizations downscaled from the outputs of 23 global GCMs, from the IPCC Fourth Assessment Report, under low (B1), intermediate (A1B), and high (A2) emission scenarios for the 2070–99 period (Solomon et al. 2007). Specifically, we quantify the magnitude and uncertainties of climate change impacts on vegetation types, leaf area index (LAI), runoff, and evapotranspiration. Because of the complex topography and spatial climate variability in the region, we assessed the impacts at 1-km resolution.

To our knowledge, it is the first application of a global vegetation model coupling water and vegetation interactions with climate scenarios for Mesoamerica. This study joins a small subset of studies aimed at modeling the nonlinear interactions between climate and terrestrial ecosystems at high spatial resolution under a large number of climate scenarios (Scholze et al. 2006).

2. Materials and methods

a. Study area: Climate and vegetation

The study area spans a 1 000 000 km² area of land between 6.5°–22°N and 76.5°–99°W (excluding the Caribbean Islands). It extends from Panama in the south to southern Mexico in the north across six other Central American countries (Costa Rica, Nicaragua, Honduras, El Salvador, Guatemala, and Belize). The region is biophysically diverse with topography marked by the Central American cordillera that reaches over 4000 MSL and runs close to the Pacific coast (Fig. 1), leaving larger and longer basins on the Atlantic watershed. Climate is tropical and rainfall follows a bimodal seasonal cycle with high interannual variability (Magaña et al. 1999). In the Pacific watersheds and Yucatán, seasonal rainfall occurs from May to October, whereas rainfall occurs year-round in the Atlantic watersheds with a weaker bimodal seasonal cycle. Pristine areas are covered with savanna and dry forests in the Pacific watershed and Yucatán and evergreen forests in the Atlantic watershed. The region has a 42% cover of crops and pastures, 57% of natural vegetation, and 2% of urban areas (DeClerck et al. 2010) (Fig. 1).
b. Modeling approach

MAPSS is an equilibrium biogeography model that simulates the long-term average water balance and potential vegetation, given an average climate, based on water and energy constraints (Neilson 1995). The model, described in detail by Neilson (1995), has been applied in earlier studies for modeling runoff and vegetation patterns of the United States (Bachelet et al. 1998; Bishop et al. 1998) and assessing the impacts of climate change globally (Neilson 1993b; Neilson and Marks 1994) and in North America (Bachelet et al. 2001; Neilson 1993b; Neilson and Drapek 1998; Scott et al. 2002). MAPSS simulates equilibrium runoff, evapotranspiration, LAI, and potential vegetation types (trees, shrubs, and grasses) as a function of climate (monthly means of temperature, precipitation, wind speed, and vapor pressure) and soils (column depth and texture). Vegetation types refer here to trees, shrubs, and grasses (equivalent to the “life forms” used in Neilson 1995). MAPSS is a general vegetation model (GVM), which is a relatively new class of models based largely on the fundamental principle that vegetation will continue to amass leaf area at a given location until it utilizes nearly all of the available soil moisture (Horton 1933).

The model assumptions are that potential equilibrium vegetation can be modeled based on climate and soil data and that the resulting water partitioning allows for runoff and evapotranspiration estimates at a regional scale (Imbach et al. 2010). The assumption that LAI and vegetation forms adjust according to soil moisture allows the model to search for an equilibrium of LAI, evapotranspiration, and soil moisture depending on temperature and precipitation (other effects on LAI equilibrium are not accounted for—i.e., nutrients’ limitation). The equilibrium is found by increasing or decreasing LAI so most of the available water is used by the vegetation. It follows that if water is available, vegetation grows (LAI is increased) and runoff is reduced. When soil water is depleted, the LAI is reduced, which may not increase runoff but will buffer the reduction in runoff by reducing transpiration. Equilibrium LAI aggregates the LAI of woody (trees or shrubs) and grass vegetation types that are allowed to compete for light under an open canopy; when the canopy is closed by woody vegetation, grasses cannot grow. Precipitation is partitioned into evaporated from the canopy (after interception) and throughfall (available for surface runoff and infiltration), while the later can be transpired by vegetation depending on stomatal conductance and LAI. Stomatal conductance depends on potential evapotranspiration and soil water potential. Available water depends on the rooting depth, soil depth, and texture prescribed to the model (from FAO 2003 for this study), as does elevation data (Jarvis et al. 2008).
This equilibrium model does not account for transient changes, as would a dynamic GVM (DGVM); therefore, the modeled future vegetation and hydrology represent the equilibrium that would exist if long-term future climate remained as during the simulated period of 2070–99. The use of an equilibrium GVM is preferred for this study, given the very high spatial resolution and the large number of scenarios. All DGVMs operate under the same water-balance constraint, but are always approaching that constraint (modulating LAI up or down) under a constantly changing climate. The use of a GVM allowed the exploration of a much greater range of uncertainty among climate scenarios with a far greater resolution of the extremely high complexity of Central American terrain.

MAPSS has been satisfactorily calibrated and validated in Mesoamerica with remotely sensed LAI data and runoff in 138 catchments widely distributed across the region of study (Imbach et al. 2010). Validation was based on climate and runoff data for years 1950–2006 and 1950–2008, respectively (see Imbach et al. 2010, their Table 1, for details on datasets used), with parameters of soil depth, stomatal conductance, transpiration, and wilting point calibrated for Mesoamerica. Annual runoff was underestimated by 12%, with relatively better performance in wet areas (with annual precipitation over 2000 mm) probably linked to hydrological processes that cannot be captured at monthly time steps over dry areas (i.e., rainstorms) and cloud water interception in cloud forests (Bruijnzeel 2005) not being captured by current precipitation forcings. The bias in simulated annual runoff was tested across gradients of precipitation, altitude, forest cover, and catchment size and showed no trends except for small catchments (less than 10 pixels) probably because of catchment delineation problems. Monthly performance showed that between 78% and 46% of catchments performed fairly (or better) depending on the performance coefficient used (Kendall’s ranked correlation or Nash–Sutcliffe, respectively). The authors recommended using monthly outputs only for catchments where seasonal water storage in aquifers is not significant because of model limitations to simulate this process (Imbach et al. 2010). The model overestimated LAI in Costa Rica and Panama (southern part of the region) and showed opposite trends in the north. Limitations from remotely sensed data (particularly for this region given its cloud cover) make it difficult to draw conclusions on model performance. However, modeled LAI differences were within the range of differences commonly found in the literature (Imbach et al. 2010). The region is modeled by MAPSS as covered by coexisting trees and grasses under current climate, except for highlands in the northwestern part of Mexico where shrubs replace trees. We chose to only evaluate annual model outputs given the lack of data on groundwater resources and model limitations to simulate aquifer recharge and discharge.

c. Climate scenarios

We used climate change scenarios produced by the World Climate Research Programme (WCRP) Coupled Model Intercomparison Project phase 3 (CMIP3). The GCM realizations of this multimodel dataset, used in the IPCC Fourth Assessment Report, were downscaled to a 2.5-min resolution (around 5-km pixel) by The Nature Conservancy—California. The climatology dataset has monthly temperature and precipitation averages for the 2070–99 period, including 48, 52, and 36 realizations for low (B1 based on a global sustainable development), intermediate (A1B with a balance between fossil and nonfossil energy sources), and high (A2 based on consolidated regional development) emission scenarios, respectively, from the IPCC Special Report on Emission Scenarios (SRES; Nakicenovic and Swart 2000). The downscaling method consisted of estimating the anomaly as the difference between the future (2070–99) and reference climate (1950–2000) from each GCM realization and interpolating it to a finer resolution (5 km). The assumption is that the anomaly between the GCM and the observed climatology has a coarse resolution and is linearly stable between the present and the future. As in other similar studies (Hulme et al. 1999; Scholze et al. 2006; VEMAP Members 1995), climate scenarios were constructed by adding the GCM anomaly (5-km resolution) to our reference climatology (1950–2000) at a 1-km resolution (Hijmans et al. 2005). (A list of the GCM realizations used is provided in the supplemental appendix S1 and Table S1 at http://dx.doi.org/10.1175/JHM-D-11-023.s1.)

Under all future climate scenarios considered (B1, A1B, and A2), temperature increases in Mesoamerica at the end of the century range from less than 2.5°C (average B1 scenarios) to more than 3.5°C in the northwest part of the region (average A2 scenarios). Precipitation is projected to increase or decrease depending on the location and the scenario (Fig. 2a) with a larger spread of changes with higher emissions (Fig. 2b). On average among the scenarios with decreasing precipitation, the projected change is from 4% to more than 20% (larger reductions are observed in dry areas). Uncertainties are the lowest for the highest emission scenarios (A2 group of scenarios). The trend in decreasing precipitation is more certain in the north of the region than in Panama and Costa Rica (Fig. 2a), which stand between areas of decreasing precipitation in the north and areas of increasing precipitation south of the study area, in South America.
Other studies in tropical areas (Hély et al. 2006) have ignored the effects of elevated atmospheric CO\textsubscript{2} concentrations on stomatal conductance, water use efficiency, water balance, and plant growth, because of the lack of knowledge of these processes in tropical areas (Körner 2009) at ecosystem level (Norby and Luo 2004) and of the combined effects of elevated CO\textsubscript{2} and temperature change (Hickler et al. 2008). Furthermore, relative to changes in precipitation and temperature, these effects might be relatively small (Chambers and Silver 2004; Körner 2009; Körner and Arnone 1992). However, MAPSS has been run globally with and without a direct CO\textsubscript{2} effect, emulated by a 35\% reduction in stomatal conductance under $2\times$CO\textsubscript{2} scenarios, based on literature available at the time (Neilson and Marks 1994). Elevated CO\textsubscript{2} produced larger effects on LAI than on runoff, leaving the potential for large areas of widespread dieback, even under elevated CO\textsubscript{2}. We tested the effects of increased water use efficiency (WUE) under elevated CO\textsubscript{2} concentrations and induced a 20\%, 37\%, and 47\%
reduction in stomatal conductance for the B1, A1B, and A2 ensembles.

d. Uncertainty assessment

We evaluated the uncertainties of the changes in model outputs between the reference period (1950–2000) and 2070–99 (the period for the future climatology used). For LAI, runoff, and evapotranspiration, we arbitrarily considered changes larger than 20% in absolute value to be of potentially large significance and lower changes as no-change scenarios (other thresholds were explored; see supplemental Fig. S1 in appendix S2; http://dx.doi.org/10.1175/JHM-D-11-023.s1). For vegetation structure, we considered changes in the dominant canopy vegetation type (e.g., from tree to grass or vice versa). Using the likelihood scale recommended by IPCC (2005) to communicate uncertainty, we considered that a change is “very likely” if it is observed in more than 90% of the realizations for an ensemble scenario, “likely” if 66%–90%, “about as likely as not” from 33% to 66%, “unlikely” 10%–33%, and “very unlikely” less than 10%. We assumed that uncertainty is reduced as the likelihood of change increases and vice versa. We mapped the likelihood of impacts using multicolored maps (Scholze et al. 2006; Teuling et al. 2011). We assumed that all GCMs (and each of their realizations) are independent and of equivalent skill over Mesoamerica, given the lack of references on GCM performance for this region. Accordingly, equal weighting was assigned to all ensemble members.

3. Results

a. Runoff and evapotranspiration

The distribution of changes is highly biased toward runoff reduction (Fig. 3) across the whole region and all scenario ensembles studied. Except for maximum runoff values (across all ensembles and realizations) that showed high runoff increase (80% increase or higher) over large areas in the northern half of the region (Honduras, Belize, Guatemala, and Mexico), most of the region experienced runoff reduction up to the 75th percentile of the ensemble scenarios. The central Yucatan Peninsula and mountain ranges of Nicaragua, Honduras, and Guatemala showed a consistent runoff reduction of more than 80% (up to the 75th percentile of the ensemble scenario). Areas that showed a large increase in runoff (higher than 80%) are those with low current runoff (less than 50 mm yr⁻¹) and therefore they remained dry relative to the rest of the study area (i.e., Costa Rica and Panama). (See Fig. 3 for the A2 ensemble and supplemental Fig. S2 in appendix S2 for the B1 and A1B ensembles, respectively; http://dx.doi.org/10.1175/JHM-D-11-023.s1.)

Annual runoff is likely to decrease over 61%–71% of the area depending on the ensemble scenario (red areas in Fig. 4a) and likely to increase in less than 1% (blue areas in Fig. 4a). Uncertainty in predicted changes in runoff is less with higher emissions, as a likely decrease in runoff is observed in larger areas under scenarios A2 than under the other scenarios. Uncertainties about runoff are higher in the northwest of the region, where opposing trends are observed under different realizations (see purple areas in Fig. 4a). In most of the south (Costa Rica and Panama) runoff will likely decrease (Fig. 4a). It is very unlikely that runoff will change in central Honduras and parts of southern Mexico (white areas in Fig. 4a).

The range of changes in evapotranspiration show an increase (of more than 20%) on more humid areas (most of Costa Rica and Panama) between the maximum and 25th percentile values of all realizations across ensembles, while realizations with minimum evapotranspiration values showed a range of changes between −20% and 20%. The northern part shows milder changes (relative to magnitudes of change in runoff) between −40% and 40%. (See Fig. 5 for the A2 ensemble and supplemental Figs. S4 and S5 in appendix S2 for the B1 and A1B ensembles, respectively.) Accordingly, we found a likely increase in 18%–22% of the area (blue areas in Fig. 4b), and a likely decrease in less than 1% of the area. No changes in evapotranspiration are observed in the north (white areas in Fig. 4b). Uncertainty in the likelihood of change in evapotranspiration is higher in the northwest (purple areas in Fig. 4b) than in the rest of the region.

b. Vegetation

Changes in LAI values show a reduction (of at least 20%) except for realizations with maximum LAI values and areas with higher likelihood of shifting to grass-dominant vegetation types (elimination of tree cover; see Fig. 4d) where increase in LAI values appear (from the 50th percentile up to maximum value realizations). These areas (showing increase in LAI) are those with the lowest LAI under baseline climate conditions (see Imbach et al. 2010, their Fig 4a). Minimum value realizations across the whole region on all ensemble scenarios show a decrease in LAI values of at least 40%. (See Fig. 7 for the A2 ensemble and Figs. S6 and S7 in appendix S2 for the B1 and A1B ensembles, respectively; http://dx.doi.org/10.1175/JHM-D-11-023.s1.)

LAI is likely to decrease over 77%–89% of the area (red areas in Fig. 4c), depending on the ensemble scenario, and likely to increase over less than 2% of the area (green areas in Fig. 4c). In areas with less certainty in LAI trends (e.g., in central Panama, Costa Rica, and
Honduras; coastal Yucatan; and the highlands of El Salvador, Mexico, Guatemala, and Mexico), the scenarios show mixed responses in LAI to these changes in climate (yellow areas in Fig. 4c), as anticipated by Neilson 1993a) for any areas of rapid change (ecotones). The decrease in LAI is generally driven by a decrease in tree and grass LAI (red areas in Figs. 6a,c), except in some areas of Mexico where the change affects shrub-dominant vegetation type (Fig. 6b). An increase in grass LAI (green areas in Fig. 6c) is the driver of the increases in total LAI (green areas in Fig. 6c) in savanna and seasonal ecosystems, indicating an increase in grasses as tree density is reduced.

In some realizations, the woody dominant vegetation type (either trees or shrubs) shifts to grasses (red areas in Fig. 4d) but this trend is uncommon: it is likely in less than 2% of the area. No changes from grasses to shrubs or trees are expected.
c. Simulating CO₂ effect on WUE

Simulations accounting for the effect of increased CO₂ concentrations on WUE show similar patterns of likelihood of changes on runoff (Fig. 8a for B1 and A2 scenarios) and evapotranspiration (supplemental Fig. S8 in appendix S2). Annual runoff is likely to decrease (at least 20%) over 56%–61% of the area, depending on the ensemble scenario (red areas in Fig. 8a), and likely to increase in less than 2% (blue areas in Fig. 8a). Evapotranspiration is likely to increase in 14%–17% of the area and likely to decrease in less than 3% of the area (see supplemental Fig. S8 in appendix S2; http://dx.doi.org/10.1175/JHM-D-11-023.s1). Changes in dominant vegetation types have similar patterns to simulations without CO₂ effects with likely changes in <1% of the study area. The main difference on simulated CO₂ effects (compared to simulations without increased WUE) was a likely decrease in LAI for 7%–16% of the area (compared to over 77% in previous simulations) and a likely increase in LAI in 7% of the area for the A2 ensemble (compared to less than 1% in previous simulations) (Fig. 8b for B1 and A2 scenarios and supplemental Fig. S8 of appendix S2).

4. Summary and discussion

Climatic changes in the water cycle reflect changes in precipitation, temperature, and humidity that can produce major changes in vegetation density and structure. These vegetation changes produce strong, nonlinear changes in all hydrological processes—most notably runoff. However, the vegetation gets access to the infiltrated precipitation before it reaches the streamflow.
Since the tendency of vegetation is to either grow more leaves or lose leaves, depending on available soil water, it acts as a buffering system with respect to runoff. Vegetation will lose leaves (or die off) when soils are dry, transpiring less, and thus leaving more water for runoff. Likewise if there is more soil water, more leaves will grow, transpiring more, and thus reducing runoff. The net effect is to act as a buffer on streamflow to the extent it can within the constraints of the climate. In cases where the water balance becomes too constrained to support a forest, the vegetation will shift to a savanna, shrubland, or grassland. Even though there may be more LAI, grasses cannot access deep soil moisture and its lower profile reduces the turbulent transfer, reducing transpiration and maintaining streamflow.

Traditional hydrologic assessments, using state-of-the-art runoff models, such as the Variable Infiltration Capacity model (VIC), use a fixed vegetation structure and annually invariant monthly LAI (Liang et al. 1994; Nijssen et al. 2001). Although these are excellent models under...
present climate conditions, they cannot reflect the myriad of complex nonlinear interactions in the water cycle that are due to the presence of dynamic vegetation under a changing climate. The climate change signal that is “absorbed” by the vegetation would impact the streamflow. For example, if the soils become drier, dynamic vegetation would reduce LAI, maintaining water in the stream, whereas static vegetation would attempt to draw more water and either show an artificial drought stress or significantly reduced streamflow, possibly of opposite sign change to that produced with dynamic vegetation.

Under a general drying trend in climate scenarios (lower precipitation and higher temperatures), we found that runoff, evapotranspiration, and LAI have mixed (positive and negative) spatial patterns of change. These changes result from nonlinear interactions between climate and

FIG. 6. Same as Fig. 4 except for showing a change of at least 20% change in LAI of (a) trees, (b) shrubs, and (c) grasses. The horizontal (vertical) axis of the color map is the fraction of scenarios showing an increase (decrease).
vegetation that buffer the impact on the water balance. The complexity of changes is exemplified in the following paragraphs that describe three nonintuitive cases under the A2 scenario, two over the Yucatán Peninsula, and one on the border between Nicaragua and Honduras:

1) In the northwestern part of the Peninsula, there were large runoff increases (more than 80%; Figs. 3b–d) along with mild evapotranspiration reductions (0%–20%; Figs. 5b–d), but seemingly anomalous LAI increases (0%–60%; Figs. 7b–d). These nonintuitive changes arise from the elimination of tree cover (Fig. 4d—A2) and its replacement by grasses with lower canopy turbulent transfer and less evaporative demand, even with higher total LAI than that of the former forest. Thus, there is a runoff increase under more water-stressed climate conditions.

2) In contrast, the central part of the peninsula showed high runoff reductions (more than 80%; Figs. 3b–d) along with mild evapotranspiration reductions (up...
to 20%; Figs. 5b–d) apparently consistent with LAI decreases (between 40% and 60%; Figs. 7b–d). In this case, more stressful conditions (increased temperature and decreased precipitation) reduced but did not eliminate the tree cover, as in the previous example. The trees, with a high turbulent transfer and evaporative demand, transpired most of the water leaving much less for runoff.

In these two cases we have drier conditions yet show two different responses in the water balance, but with significantly different changes in system structure. In the first, more stressful case, there was a large shift in the state of the system as trees were lost and runoff increased. In the second, less stressful case, the milder change in vegetation retained a reduced tree cover and showed runoff decreases as most of the water was consumed by vegetation.

3) A third example from the eastern border between Honduras and Nicaragua further highlights these nonintuitive, nonlinear interactions. It shows a milder runoff reduction (0%–40%; Figs. 3b–d) along with

---

FIG. 8. Same as Fig. 4 except for showing at least 20% change in (a) runoff and (b) LAI observed in simulations accounting for CO₂ effect on water use efficiency. The horizontal (vertical) axis of the color map is the fraction of scenarios showing an increase (decrease) in runoff or LAI.
mild changes in evapotranspiration (±20%; Figs. 5b–d), but with significant LAI reductions (between 20% and 40%; Figs. 7b–d). With increased evaporative demand, vegetation density is reduced while evapotranspiration is maintained, resulting in a reduction in runoff, albeit not as great as in the central part of the Yucatan Peninsula (second case shown above).

In some areas runoff is likely to decrease even though precipitation change is uncertain. We found likely runoff decreases and evapotranspiration increases in most of Panama and southern Costa Rica where 30%–60% of the realizations show an increase in precipitation. The increased certainty of the impacts is due to the increase in temperature (across all scenarios) that drives a nonlinear increase in evapotranspiration, reducing runoff. Accordingly, higher emissions produced lower uncertainty (higher likelihood of changes for an ensemble of scenarios) on ecosystems and hydrologic responses.

Runoff is likely to increase in areas where grass LAI increases and where evapotranspiration decreases—mostly central Honduras, Guatemala, and mountains in Mexico. Grass LAI increases because of a reduction in tree LAI (opening of the tree canopy increases light for grass growth), leading to a decrease in evapotranspiration compared to current climate conditions (see examples above). This trend is observed in relatively dry areas, where current annual runoff and precipitation are less than 200 and 1000 mm, respectively, and results from the reduction in deep woody roots, which extract deep water. Summarizing, water-controlled ecosystems showed a reduction in evapotranspiration.

The increase in evapotranspiration in humid areas is driven by the increase in temperatures, which offsets the effect of decreased LAI, which is to reduce evapotranspiration. Therefore, in these areas, the virtually likely increase in temperature results in a likely decrease in runoff, even where the future trend in precipitation is uncertain. In drier areas, runoff can increase even though the future climate appears to be drier because decreased water availability reduces vegetation LAI, evapotranspiration, and woody roots and causes a larger fraction of precipitation to run off (Aber et al. 2001).

Future changes in LAI and potential vegetation types imply modifications in the density and structure of vegetation, ecosystems, and their functions, particularly in areas where a shift from tree- to grass-dominated vegetation types is expected. Mesoamerican ecosystems will shift to drier types, particularly in areas where runoff and evapotranspiration are both reduced indicating that precipitation falls below the potential evapotranspiration, and the water availability for vegetation is reduced (i.e., central Yucatan). Increased evapotranspiration in other areas (e.g., Costa Rica and Panama) indicate that under climate change the runoff-to-evapotranspiration ratio decreases and a larger fraction of precipitation can still be used by vegetation. In general, the use of coupled vegetation and hydrologic responses tends to buffer the magnitude of variability of impacts (i.e., on runoff) that would occur in a typical hydrologic model with a fixed LAI.

Simulations accounting for increased water use efficiency due to higher CO₂ concentrations showed that the impacts on runoff remained almost the same (generalized high reductions) while the impacts on vegetation were highly reduced (compared to simulations without the CO₂ effect) and new areas show increased LAI, mostly over the Atlantic coastal areas of Nicaragua and Honduras. This highlights the potential importance of this effect on mitigating the impact of precipitation and temperature change on vegetation, while impacts on runoff seem unavoidable and with fixed patterns across the region.

A global study by Scholze et al. (2006), using the Lund–Potsdam–Jena (LPJ) dynamic vegetation model at a coarser resolution, found qualitatively similar trends for runoff and LAI changes in Mesoamerica but with differences compared to our study; for example, larger areas of forest loss and increased runoff in the southern part of the region as opposed to the likely decreased runoff found in our study. Comparing the two studies is not straightforward because of the vastly different spatial resolutions (approximately 180- versus 1-km pixel) and the differently grouped climate realizations (by range of temperature increase versus by emission scenarios in our study). Also, the different results may come from the different thresholds used to estimate changes (33% of observed variability versus 20% in our study). These different resolutions and thresholds may explain the smaller likelihood values in our study. Yet, it is encouraging (regarding uncertainties) to see similarities in the vegetation shifts between the results of the high-resolution climate scenarios used in MAPSS and those of the more coarse-resolution scenarios in the LPJ.

Finally, the interactions between gradual climate change and other drivers of changes in ecosystems and the water cycle (not accounted for in this study) can be also important: (i) feedbacks between vegetation change and fires, as changes in fire regimes may affect ecosystems and the climate-induced conversion of forests to grasslands can modify fire regimes (Lewis 2006) (MAPSS contains a simplified fire algorithm); (ii) hurricane and extreme events, which can be modified by climate change and have impacts on the structure of forests (i.e., stem density and tree height) (Gillespie et al. 2006) and on the water balance, depending on the
ecosystem type (Knapp et al. 2008); (iii) intra- and interannual climate variability, as variability affects the distribution of vegetation types in Mesoamerica (Lozano-García et al. 2007), which is especially enhanced in complex topography (Neilson 1993a); and (iv) human disturbances, such as deforestation, degradation, and fragmentation, influence water cycles (Piao et al. 2007).

5. Future work

Using the MAPSS model and 136 GCM realizations, we evaluated the likelihood and magnitude of the impacts of climate change on potential vegetation and the water cycle in Mesoamerica. Even though the trend in future precipitation in the region is highly uncertain, the impacts of climate change on vegetation and water cycle are predicted with relatively low uncertainty. Projected climate change will likely reduce runoff and LAI of vegetation across most of the region. Impacts on LAI will largely depend on whether water use efficiency is increased under higher CO₂ concentrations at ecosystem level. Results call for an urgent consideration of climate change issues in biodiversity and water management policies.

The MAPSS-modeled changes in tree fractional cover, which result in structural ecosystem changes, call for in-depth future studies of plant populations, species, and community ecology. For example, changes in the dominant form (from trees to grasses) imply changes in seed sizes (Moles et al. 2007) and a modification of migration rate—a capacity that is important for forming new species assemblages depending on where future new climates as well as current analogs will be located in Mesoamerica (Neilson et al. 2005; Williams et al. 2007). Changes in vegetation will also affect forest carbon stocks (Bunker et al. 2005). Since LAI can be used as a proxy for the storage of carbon in soils and vegetation (Bachelet et al. 2001; Neilson 1993b), we can infer that Mesoamerican ecosystems may be atmospheric carbon sources under future climate. Studies with dynamic vegetation models at coarse spatial resolutions suggest that this trend will be apparent in the second half of the century (Cramer et al. 2001).

Changes in vegetation have implications for biodiversity. Our results highlight the potential vulnerability of ecosystems in relatively drier areas (i.e., northern part of the region) and their importance as a source of genes and species that could help ecosystems shifting to drier types—for example, the Mexican dry forests (Dick and Wright 2005). This could mean that changes in the network of protected areas and biological corridors of the Mesoamerican Biological Corridor (MBC) are needed, as it has been suggested for Canada’s national park system (Scott et al. 2002). However, in terrain as complex as this, even a 1-km grid cell resolution is coarse and microsites much smaller than that will abound. Thus, the likelihood of refugia in terrain such as this is considerably high and could moderate our conclusions a bit.

Changes in the water cycle will also have implications for terrestrial biodiversity. Water availability has been used as a determinant of plant species richness in warm areas (Hawkins et al. 2003) because climate and ecosystem productivity influence species richness (Field et al. 2009; Kreft and Jetz 2007). For example, evapotranspiration was found to be correlated with global richness of vascular plants (Kreft and Jetz 2007) as well as terrestrial vertebrates of the Neotropics (Qian 2010).

Finally, this regional assessment could provide general trends on the impacts of future availability of surface water (for drinking water, irrigation, or hydroenergy) and biomass (for household firewood energy or forestry sectors) at scales below regional level.

Acknowledgments. This work was funded by the MESOTERRA Project of the Mesoamerican Agro-Environmental Program at the Tropical Agricultural Research and Higher Education Center (CATIE) and the Climate Change and Biodiversity Effects (CLIMBE) project financed by the BBVA Foundation. We acknowledge the Program for Climate Model Diagnosis and Intercomparison (PCMDI) and the WCRP’s Working Group on Coupled Modelling (WGCM) for their roles in making available the WCRP CMIP3 multimodel dataset. The creation of this dataset was supported by the Office of Science, U.S. Department of Energy. We thank The Nature Conservancy—California and Kirk Klausmeyer for downscaling and converting the climate data to ArcGIS format. We thank the anonymous reviewers for their role in considerably improving this manuscript.

REFERENCES


IPCC, 2005: Guidance notes for lead authors of the IPCC Fourth Assessment Report on addressing uncertainties. Intergovernmental Panel on Climate Change, 4 pp.


