The Predictability of Extratropical Storm Tracks and the Sensitivity of Their Prediction to the Observing System

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ABSTRACT

A new method for assessing forecast skill and predictability that involves the identification and tracking of extratropical cyclones has been developed and implemented to obtain detailed information about the prediction of cyclones that cannot be obtained from more conventional analysis methodologies. The cyclones were identified and tracked along the forecast trajectories, and statistics were generated to determine the rate at which the position and intensity of the forecasted storms diverge from the analyzed tracks as a function of forecast lead time. The results show a higher level of skill in predicting the position of extratropical cyclones than the intensity. They also show that there is potential to improve the skill in predicting the position by 1–1.5 days and the intensity by 2–3 days, via improvements to the forecast model. Further analysis shows that forecasted storms move at a slower speed than analyzed storms on average and that there is a larger error in the predicted amplitudes of intense storms than the weaker storms. The results also show that some storms can be predicted up to 3 days before they are identified as an 850-hPa vorticity center in the analyses. In general, the results show a higher level of skill in the Northern Hemisphere (NH) than the Southern Hemisphere (SH); however, the rapid growth of NH winter storms is not very well predicted. The impact that observations of different types have on the prediction of the extratropical cyclones has also been explored, using forecasts integrated from analyses that were constructed from reduced observing systems. A terrestrial, satellite, and surface-based system were investigated and the results showed that the predictive skill of the terrestrial system was superior to the satellite system in the NH. Further analysis showed that the satellite system was not very good at predicting the growth of the storms. In the SH the terrestrial system has significantly less skill than the satellite system, highlighting the dominance of satellite observations in this hemisphere. The surface system has very poor predictive skill in both hemispheres.

1. Introduction

Extratropical cyclones are important constituents of the general circulation of the atmosphere and are important for the day-to-day weather of the extratropics via their presence or absence. They can be both beneficial, in that they bring most of the rainfall required to sustain human activities such as agriculture, and destructive through excessive rainfall leading to floods, and damaging winds. It is therefore important that these storms are predicted as accurately as possible, by numerical weather prediction (NWP), to provide the best estimates of their locations and intensities. This paper introduces a new approach to forecast verification, which focuses directly on extratropical cyclones.

Current operational NWP systems combine observations from disparate sources with a previously obtained model atmospheric state via data assimilation to provide the initial conditions from which a new model forecast can be made. Reducing the errors in the initial conditions and improving the models will hopefully lead to better forecasts useful for longer forecast lead times. For example, Simmons and Hollingsworth (2002) showed, using the European Centre for Medium-Range Weather Forecasts (ECMWF) operational analyses and forecasts, that large-scale forecast skill has improved by 3–4 days in the last 25 yr. In the Northern Hemisphere (NH) this improvement can mainly be attributed to improvements in the models and data assimilation systems. In the Southern Hemisphere (SH) forecasts now have almost the same level of skill as those in the NH.
but this is mainly due to the introduction of satellite observations covering those areas where terrestrial observations are sparse.

Conventional methods for estimating forecast skill involve the use of root-mean-square error (rmse) or anomaly correlation to verify forecasts of varying lead times against the corresponding analyses. Often the 500-hPa geopotential height is chosen as a representative field (see, e.g., Simmons and Hollingsworth 2002), but other smaller-scale fields such as vorticity or precipitation can also be used. In this paper a new and alternative method for assessing forecast skill that focuses on the extratropical cyclones has been designed and implemented. It involves the identification and tracking of extratropical cyclones along forecast trajectories to produce a set of forecast storm tracks (we note here the term storm track refers to an individual storm trajectory, rather than the average track many storms pass along, for which the term is also often used). The tracking is also performed on the analyses, from which the forecasts are initialized, to produce a set of analysis tracks to use for verification. Statistics can then be generated to determine the rate at which the position and intensity of the forecasted storms diverge from the analyzed tracks with lead time. Diagnostics for other storm attributes, such as their growth rates and speeds, can also be produced.

The storm-tracking methodology gives very different information to that obtained from the traditional 500-hPa geopotential height rmse and anomaly correlation approaches. While these Eulerian-based methods provide information about the prediction of general weather patterns, the new analysis methodology gives direct information about the prediction of extratropical cyclones and therefore may provide a better measure of a model’s ability to predict the weather. As with any analysis methodology the method is not perfect and does have some limitations and biases that should be taken into consideration. These issues are discussed as they arise throughout the text and are summarized in the discussion section at the end of the paper.

This paper has two main objectives. The first is to use the storm-tracking methodology to explore the prediction and predictability of extratropical storms. The second is to confirm and extend the results of a recent study by Bengtsson et al. (2005) that investigated the impact that different types of observation have on forecast skill. The Bengtsson et al. (2005) study followed on from a previous study by Bengtsson et al. (2004b), which explored the sensitivity of the analyses to the observing system. In this study the 40-yr ECMWF Re-Analysis (ERA-40; Simmons and Gibson 2000) was used to construct analyses from different observing systems. They showed the equal importance to the analyses of the terrestrial and satellite observations in the NH and the dominance of the satellite data in the SH. The surface observations were shown to provide limited information on their own in the NH and virtually none in the SH. However, the configuration of the data assimilation system used may not have been optimal for such a sparse observing system (see section 5 for more detail).

Bengtsson et al. (2005) used the analyses from the Bengtsson et al. (2004b) study to generate forecasts to determine the impact the observations have on forecast skill. They showed that this was in accord with the relative importance of the different observing systems to the analyses. Their study used both the rmse approach and a storm-tracking approach; however, the storm-tracking methodology, which differed from the new method used in this paper, had some problems and limitations, meaning that the conclusions that could be drawn were somewhat tentative (see section 2 for more details). The methodology used in this paper does not suffer from these limitations and provides more detailed information about the prediction of extratropical cyclones.

The method of tracking cyclones along forecast trajectories is currently used as a verification tool for tropical cyclone forecasting by some of the operational meteorological centers, including ECMWF (Van der Grijn 2002), the National Centers for Environmental Prediction (Marchok 2002), and the Met Office (Heming 1994). As well as being used to produce diagnostics such as strike probability maps (Van der Grijn 2002) for individual cyclones, the method has also been used to generate position and intensity error statistics from a large number of cyclones (Williford et al. 2003; Kumar et al. 2003).

There have been many other studies concerning the prediction of extratropical cyclones. For example, Xiao et al. (2002) investigated the impact that satellite-derived winds had on the prediction of a mid-Pacific cyclone and found that they improved the predicted position and intensity of the cyclone. In a recent study Zhu and Thorpe (2006) investigated forecast error growth, due to errors in the initial conditions and model deficiencies. There are numerous other studies of a similar vein to these, studying the prediction of individual cyclones or cyclone simulations, but this paper is the first to provide a statistical measure of the prediction and predictability of extratropical cyclones from an operational system.

This paper continues with a discussion of the data and how they were generated in section 2, a description of the analysis methodology is given in section 3, and
the results are presented in section 4. A final discussion and conclusions are given in section 5.

2. Data description

The analysis data for the different observing systems used in this study and in the previous Bengtsson et al. (2004b, 2005) studies were generated using the ERA-40 reanalysis system (Simmons and Gibson 2000). This is a three-dimensional variational data assimilation (3DVAR) system that uses version 23R4 of the ECMWF operational Integrated Forecast Model (IFS; White 2003). The model is spectral semi-Lagrangian with a resolution of triangular truncation 159 with 60 levels in the vertical (T159L60). The system includes terrestrial observations of temperature, pressure, wind, and humidity together with a significant quantity of satellite observations. Satellite radiance data from the Television Infrared Observation Satellite (TIROS) Operational Vertical Sounder (TOVS) are assimilated directly, rather than assimilating retrievals of temperature and humidity. Other derived satellite quantities such as cloud motion winds are also assimilated.

Different types of observing system were constructed by systematically removing observations from the ERA-40 observation database and then rerunning the data assimilation. The control system consisted of all the observations used in ERA-40 apart from humidity, since these observations were found to have very limited impact on the quality of the analyses (Bengtsson et al. 2004a; Bengtsson and Hodges 2005b). Three different observing systems were considered: a terrestrial system, a satellite system, and a surface system. The terrestrial system was obtained by removing all the satellite observations, the satellite system was obtained by removing all the terrestrial observations apart from surface pressure, and the surface system consisted of just surface observations.

The predictability study of Bengtsson et al. (2005) used these analyses as initial states to produce forecasts. A later and further improved version (26R3) of the forecast model than that used for the data assimilation (Bengtsson et al. 2004b) was used, but it was integrated at the same horizontal and vertical resolution of T159L60. Figure 1a illustrates the experiment setup for the 1 December 1990–28 February 1991 season used in this previous study, where each cross in the diagram represents one time frame of data. The forecast model was run from each 6-hourly analysis out to 14 days using the same model and resolution, but archiving selected fields every 6 h of the forecast. This allowed the extratropical cyclones to be tracked along the forecast trajectories (see section 3). The analyses and forecasts were produced, for all the observing systems, for the selected seasonal periods of 1 December 1990–28 February 1991 and 1 December 2000–28 February 2001. They were also generated, for just the control system, for the 1 June 1991–31 August 1991 and 1 June 2000–31 August 2000 time periods.

3. Analysis methodology

a. Storm-tracking methodology

The extratropical cyclones were identified and tracked using the method of Hodges (1995, 1999). This method has been used extensively in other studies of extratropical cyclones (e.g., Bengtsson et al. 2004b; Hoskins and Hodges 2002, 2005). Before the cyclones were identified the resolution of the data was reduced to T42 and the planetary scales with total wavenumber less than or equal to five were removed (for further details see Hoskins and Hodges 2002, 2005). Initially the identification and tracking was performed with both the 850-hPa relative vorticity (\(\zeta_{850}\)) and mean sea level pressure (MSLP) fields, but since the results for each field were very similar this paper focuses on the \(\zeta_{850}\) field. Vorticity features with a magnitude exceeding 1.0 \(\times\) 10\(^{-5}\) s\(^{-1}\) were identified, as maxima in the NH and minima in the SH, and considered as cyclones. Once the cyclones had been identified the tracking was performed, which involves the minimization of a cost function (Hodges 1999) to obtain smooth trajectories (storm tracks). The tracking was performed separately in the NH and the SH. Only those storm tracks that lasted at least 2 days, traveled farther than 1000 km, and had a majority of their life cycle in 20°–90°N or 20°–90°S were retained for the statistical analysis.

Figure 1b illustrates the experiment setup used for this study for the 1 December 1990–28 February 1991 season, where the forecast datasets (described above) are represented by the diagonal lines. The cyclones were identified and tracked through each of these
datasets to obtain a total of 360 ensembles of storm tracks. This procedure was performed for each observing system for the December–February (DJF) seasons and additionally for the control system for the June–August (JJA) seasons. The control analysis storm tracks used in Bengtsson et al. (2004b) were used to verify the forecast storm tracks. These were obtained by identifying and tracking cyclones through the analysis time steps for each 3-month time period (illustrated by the horizontal line in Fig. 1b). To help set the scene and illustrate the storm tracking we present an example of a storm track in the following section.

**b. Example storm track**

Figure 2 shows an example of an intense fast-moving storm identified in the control analysis. The storm ini-
tially developed (as an 850-hPa vorticity center) just off the northeast coast of North America at 1200 UTC 6 January 1991. It then traveled across the Atlantic, gradually intensifying until it reached its peak of 7.5 × 10⁻⁵ s⁻¹ over the United Kingdom at 0600 UTC 9 January. The storm then moved over Scandinavia and into northwest Russia, weakening over the next 2 days.

Figure 2a shows the track of the analyzed storm and the track predicted by the control forecast beginning 1200 UTC 5 January, one day before the storm was first identified in the analysis at 1200 UTC 6 Jan 1991. The (left) track and (right) intensity of the analyzed storm and the storm predicted by the control forecast beginning 1200 UTC (a), (b) 5 Jan and (c), (d) 6 Jan, and (e), (f) the terrestrial, satellite, and surface forecast beginning 1200 UTC 6 Jan. The units of intensity are 10⁻⁵ s⁻¹ relative to the background field removal and the numbers marked on the storm tracks correspond to the forecast lead time in days.

Fig. 2. Example of the analyzed and predicted storm track first identified in the analysis at 1200 UTC 6 Jan 1991. The (left) track and (right) intensity of the analyzed storm and the storm predicted by the control forecast beginning 1200 UTC (a), (b) 5 Jan and (c), (d) 6 Jan, and (e), (f) the terrestrial, satellite, and surface forecast beginning 1200 UTC 6 Jan. The units of intensity are 10⁻⁵ s⁻¹ relative to the background field removal and the numbers marked on the storm tracks correspond to the forecast lead time in days.
identified in the 850-hPa analysis, and Fig. 2b shows the intensity of the analyzed and predicted storm. The predicted storm is generated 6 h (1800 UTC 6 January) after the analyzed one at day 1.25 of the forecast. At day 4.25 the track is cut short, because a double center is generated earlier in the forecast. One of these centers is tracked until day 4.25, but after this point the cyclone becomes a single center again, causing a discontinuity and truncated track. The track of the storm is predicted very well, following the analysis track almost exactly, but at a slightly slower speed. The intensity of the storm is not as well predicted; it reaches its peak at the correct time, but it consistently underpredicts by up to $1.5 \times 10^{-5}$ s$^{-1}$.

Figures 2c and 2d show the track and intensity of the analyzed storm and the storm predicted by the control forecast beginning from 1200 UTC 6 January, when the storm was first identified in the analyses. Again the track is predicted very well; the forecast follows the analysis closely until day 3 where it begins to deviate, moving south from the analyzed track. The intensity is poorly predicted, worse in fact than the earlier forecast. The predicted storm intensifies at a much faster rate than the analysis, reaching a peak of $10.5 \times 10^{-5}$ s$^{-1}$, which is $3.0 \times 10^{-5}$ s$^{-1}$ higher than the peak of the analyzed storm. It then decays much faster than the analysis, dropping below the analysis after day 3.

Figures 2e and 2f show the tracks and intensities predicted by the terrestrial, satellite, and surface forecasts beginning from 1200 UTC 6 January. The terrestrial forecast is similar to the control forecast predicting the track of the storm very well, but moving at a slightly slower speed than the analyzed storm. Like the control forecast it also overpredicts the intensity, but to a lesser extent than that of the control forecast. The terrestrial forecast is in fact better than the control forecast for this particular storm. Since the terrestrial system includes all the observations of the control system apart from the satellite, the satellite observations appear to actually degrade the control forecast in this particular example.

The satellite system does not predict the storm as well; although it appears to predict the first part of the track well, it moves at a significantly slower speed than the analyzed storm and is cut short at day 3.25 of the forecast. Whereas the analyzed storm lies over Norway at day 3, the storm predicted by the satellite system is situated farther upstream over Ireland. A feature that is almost certainly related is the underprediction of the storm’s amplitude; the predicted cyclone does not even begin to deepen until day 2. Both the track and intensity of the storm are predicted very poorly by the surface system. Although the track of the storm is predicted well for the first 1.5 days, from this point onward it moves away from the track of the analyzed storm, in completely the wrong direction, curving back up toward Greenland. The amplitude of the storm is extremely overpredicted. By inspection of Fig. 2f it can be seen that even the initial amplitude of the cyclone differs significantly from the other observing systems. This poor prediction of the cyclone is probably due to errors in the upper-level fields, because the surface system does not include any upper-air observations. In fact when the upper troposphere fields of the control analysis were examined, it appeared that the storm was actually initiated from an upper-level disturbance; hence errors in the upper-level fields could significantly affect the prediction of the storm.

In this example the track of the storm is predicted very well by the control forecast, but the intensity is not, with an underprediction in the earlier forecast and an overprediction in the later one. The terrestrial system shows a higher level of predictive skill than the satellite system and the surface system shows a very low level of skill. The forecasted storms all move at a slower speed than the analyzed one. One of the aims of this study is to determine whether this behavior is statistically typical. In the next section the method used to generate the statistics is described.

c. Validation of storm tracks

The forecast storm tracks were validated against the control analysis storm tracks using a matching methodology similar to that used by Bengtsson et al. (2004b, 2005). A forecast storm track was considered to be the same system as an analysis storm track (i.e., matched) if the two tracks satisfied certain predefined spatial and temporal criteria. The forecast storm tracks that matched control analysis storm tracks were then used to produce diagnostics to quantify the error in the predicted positions, amplitudes, and other properties of the cyclones.

The temporal criteria used in this study are the same as that used previously in the Bengtsson et al. (2005) study, but the spatial matching criteria are different. The spatial matching of the previous study looked at the separation distance between two tracks over their whole lifetime. Since the storm tracks in this study were computed along the forecast trajectory, they may begin very close to the corresponding tracks in the analysis, but will probably diverge as the forecast lead time increases. The spatial matching in this study therefore focuses on the first section of the forecast track rather than the whole track.

A forecast track was said to match an analysis track if
At least $T\%$ of their points overlapped in time, that is, $100\{2n_m/(n_A + n_F)\} \geq T$, where $n_A$ and $n_F$ denote the total number of points in the analysis and forecast tracks respectively and $n_m$ denotes the number of points in the forecast track that overlapped in time with the analysis track.

The geodesic separation distance $d$ between the first $k$ points of the forecast track, which coincide in time with the analysis track, and the corresponding points in the analysis track was less than $S^\circ$, that is, $d \leq S^\circ$.

The geodesic separation distance between two points $A$ and $B$ on the earth (assumed to be a perfect sphere) is calculated by $\cos^{-1}(\mathbf{P}_A \cdot \mathbf{P}_B)$, where $\mathbf{P}_A$ and $\mathbf{P}_B$ are unit vectors directed from the center of the earth to points $A$ and $B$, respectively. We used this geodesic measure to avoid any biases caused by working with projections, that is, a separation distance of $S^\circ$ corresponds to the same distance in kilometers at any altitude. The distance in kilometers can be obtained by simply converting to radians and multiplying by the radius of the earth.

Figure 3 shows a schematic of the spatial matching when $k = 4$. The solid line represents an analysis track and the dashed lines correspond to forecast tracks. Tracks A, B, and C would match the analysis track, and the dashed lines correspond to forecast tracks. Tracks A, B, and C would match, but D would not.

(i) The geodesic separation distance $d$ between the first $k$ points of the forecast track, which coincide in time with the analysis track, and the corresponding points in the analysis track was less than $S^\circ$, that is, $d \leq S^\circ$.

(ii) At least $T\%$ of their points overlapped in time, that is, $100\{2n_m/(n_A + n_F)\} \geq T$, where $n_A$ and $n_F$ denote the total number of points in the analysis and forecast tracks respectively and $n_m$ denotes the number of points in the forecast track that overlapped in time with the analysis track.

Table 1 shows the percentage of control forecast tracks that satisfy the genesis constraint and match analysis tracks with the six different matching criteria for the two DJF seasons. The total number of forecast tracks that satisfy the genesis constraint is 16,353.

<table>
<thead>
<tr>
<th>Matching criteria</th>
<th>% match</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) $k = 4$, $S = 2^\circ$, $T = 60%$</td>
<td>29.0</td>
</tr>
<tr>
<td>(ii) $k = 4$, $S = 4^\circ$, $T = 60%$</td>
<td>41.6</td>
</tr>
<tr>
<td>(iii) $k = 4$, $S = 4^\circ$, $T = 30%$</td>
<td>58.6</td>
</tr>
<tr>
<td>(iv) $k = 1$, $S = 2^\circ$, $T = 60%$</td>
<td>38.4</td>
</tr>
<tr>
<td>(v) $k = 1$, $S = 4^\circ$, $T = 60%$</td>
<td>46.5</td>
</tr>
<tr>
<td>(vi) $k = 1$, $S = 4^\circ$, $T = 30%$</td>
<td>65.5</td>
</tr>
</tbody>
</table>

Table 1. Percentage of NH control forecast tracks that satisfy the genesis constraint and match analysis tracks with the six different matching criteria for the two DJF seasons. The total number of forecast tracks that satisfy the genesis constraint is 16,353.

Since the first three criteria place a spatial restriction on four points of the forecast storm tracks, we thought this may cause some bias in the diagnostics concerning the position of the storms. We therefore also considered the same criteria, but with $k = 1$ [criteria (iv)–(vi)]. As an additional constraint, only those storms whose genesis occurs within the first 3 days of the 14-day forecast or that already existed at day 0 were considered. Results from Bengtsson et al. (2005) indicated that the skill in predicting storm tracks after 3 days is relatively low. If a storm is generated in a forecast after day 3, and matches a storm in the analysis, then it is probably more due to chance than an accurate prediction.

Figure 4a shows the mean geodesic separation distance between the matched control forecast tracks and corresponding analysis tracks, obtained with each of

from the matched tracks may also be affected by the choice of these values. To determine how sensitive the diagnostics produced from the matched tracks are to the matching criteria we have explored six different criteria, which are listed below:

(i) $k = 4$, $T = 60\%$, and $S = 2^\circ$;
(ii) $k = 4$, $T = 60\%$, and $S = 4^\circ$;
(iii) $k = 4$, $T = 30\%$, and $S = 4^\circ$;
(iv) $k = 1$, $T = 60\%$, and $S = 2^\circ$;
(v) $k = 1$, $T = 60\%$, and $S = 4^\circ$; and
(vi) $k = 1$, $T = 30\%$, and $S = 4^\circ$.
the different matching criteria, as a function of forecast lead time. Figure 4b shows the mean absolute intensity difference. It can be seen clearly from the figure that the choice of matching criteria makes hardly any difference to the diagnostics obtained with the matched tracks. In particular the figure shows that the spatial matching criterion has very little impact on the diagnostics concerning the position of the cyclones.

It is possible that a forecasted and analyzed storm could have very similar tracks, but move at different speeds. For example in Fig. 2 the forecasted storms mainly follow the track of the analyzed storm, but they move at a slower speed. If there is a large difference between the speed an analysis and forecast track move then they may not satisfy the spatial matching condition; we therefore also considered an alternative spatial matching methodology. Rather than comparing the position of an analyzed storm with the position of a forecasted storm that is valid at the same time, the position of a given point on an analysis track was compared with the position of the point on the forecasted track that is perpendicular to it (using spherical geometry). While this did have an impact on the number of matched tracks, it made no noticeable difference to the diagnostics obtained from the matched tracks.

Since the choice of matching criterion has no significant impact on the error growth rates, the results presented in the next section of this paper are shown for just one matching criterion. We decided to set $k = 4$, since we found, by studying many individual storms, that the one point matching often produced incorrect matches. Choosing the criterion for $S$ and $T$ is somewhat of a balancing act. Using a strict criterion means that forecast tracks are more likely to be matched with the correct tracks, but there will be fewer tracks matched; a relaxed criterion will yield more matched tracks, but at the expense of some tracks being incorrectly matched. As a compromise we use matching criterion (ii).

At this point we note that the matching includes both temporal and spatial criteria, but there is no restriction on the difference in intensity between analyzed and forecasted storms. We believe that the position and time criteria are sufficient to determine whether a forecasted storm corresponds to an analyzed one and that an intensity criterion would introduce bias into the results. For example, if we consider the storm of Fig. 2, it can be seen clearly from the storm tracks that both of the control forecast tracks correspond to the same analyzed track (Figs. 2a,c). If an intensity criterion were introduced in the matching, the storm predicted by the later forecast (Figs. 2c,d) would match the analyzed storm because they have very similar amplitudes at the beginning of the forecast. However, the amplitude of the storm predicted by the earlier forecast (Figs. 2a,b) differs significantly from the analyzed storm at the beginning of the forecast and therefore it would probably not match.

In the next section the diagnostics obtained from the matched tracks are presented. Initially the results were generated separately for the four individual seasons discussed in section 2. A single season was found to provide insufficient data at the higher lead times to produce stable statistics, since only a limited number of storm tracks will last longer than four or five days. We therefore combined the two DJF seasons into one dataset and the two JJA seasons into another dataset. This provided more data points at the higher lead times.
and helped to stabilize the statistics; however, there is still insufficient data for some types of analysis that we would like to perform (see section 5). The results obtained from the control system are shown separately for the DJF seasons and the JJA seasons, and the results for the other observing systems are shown for just the DJF seasons.

4. Results

a. The prediction of extratropical cyclones

In this section we explore the prediction of extratropical cyclones by the control system. Table 2 shows the total percentage of forecast storm tracks that match with analysis storm tracks for the DJF and JJA periods in the northern and southern hemispheres. The percentage of matched tracks is larger for the winter seasons, that is, there is a larger percentage for DJF than JJA in the NH and a larger percentage for JJA than DJF in the SH.

It should be noted that all the forecast storm tracks (except those whose genesis occurred at a lead time greater that 3 days) were compared with all of the analysis tracks. This means that a forecast storm track that matches with an analysis track could have been identified in a forecast that was integrated from an initial state that occurred before the vorticity center showed up in the 850-hPa analysis. In this case the first point in the forecast track that coincides in time with the analysis track will have a lead time greater than 0. Another possibility, and perhaps the most intuitive, is that the forecast storm track is identified in the forecast that was integrated from the initial state in which the center was first identified, and so the first point in the forecast track occurs at lead time 0. The final possibility is that the forecast storm track is identified in a forecast that was integrated from an initial state that occurred a few days after the storm first showed up in the analysis and when the storm is more developed. In this case the forecast can only predict the later part of the storm track.

The solid lines in Figs. 5a and 5c show the mean separation distance between the matched forecast tracks and corresponding analysis tracks, as a function of forecast lead time, for the NH and SH, respectively. Figures 5b and 5d show the mean absolute intensity difference between the matched forecast and analysis tracks. The dashed lines included in the figure will be discussed later. The separation distance curves take a very different shape to the intensity difference curves. While the error in the position of the cyclones increases fairly linearly, with a slightly steeper gradient at the higher lead times, the error in the intensity increases faster initially and then levels off. This difference between the error growth rates suggests a greater predictive skill in position than intensity.

The skill in predicting the position of the cyclones is very similar for the DJF and JJA periods in each hemisphere, with just slightly higher skill for the summer seasons (JJA in NH and DJF in SH), particularly at the higher lead times. More of a difference can be seen between the DJF and JJA seasons in the intensity diagnostics. In the NH the skill is about 1 day higher for the JJA season than for the DJF season. There is less of a difference between the seasons in the SH; the skill is comparable until day 2, at which point the DJF season becomes about half a day better. Beyond day 5 the statistics become noisy and less reliable due to insufficient data. Since the JJA seasons correspond to the NH summer and the DJF to the SH summer, the differences in the prediction of the intensity is presumably because there is a larger error in the predicted intensities of storms of higher amplitudes that occur in the winter seasons. The larger difference between the results of the DJF and JJA seasons in the NH than in the SH would then correspond to the larger difference in the amplitudes of the storms between the two seasons in the NH.

By comparing the two hemispheres we see that there is a higher level of skill in the NH than the SH for both the position and intensity of the storms. There is approximately 1 day more skill for the prediction of their position in the NH than in the SH. Since there is a significant difference in the intensity diagnostics between the DJF and JJA seasons, it is less straightforward to compare the two hemispheres than for the position diagnostics. The skill for the NH DJF season is very similar to that of the SH; however, this is perhaps not the fairest comparison, since we are comparing the NH winter with the SH summer. If the NH DJF season is compared with the SH JJA season (i.e., the two winter seasons are compared) then there is about half a day more skill in the NH than in the SH. Similarly if the NH JJA season is compared with the SH DJF season (i.e., the two summer seasons are compared) then there is about 1 day more skill in the NH than in the SH. This difference in skill between the hemispheres is probably

<table>
<thead>
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<th>NH</th>
<th>SH</th>
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<tr>
<td>DJF</td>
<td>41.6 (16 353)</td>
<td>36.2 (15 390)</td>
</tr>
<tr>
<td>JJA</td>
<td>36.3 (14 911)</td>
<td>39.3 (17 691)</td>
</tr>
</tbody>
</table>
due to the larger number of terrestrial observations in the NH, particularly the wind observations provided by radiosondes and aircraft. These observations will allow more accurate initial states to be produced and will result in higher-quality forecasts.

The solid lines in Fig. 5 include extratropical cyclones of all amplitudes. To determine whether the differences in the intensity diagnostics discussed above were due to the amplitude of the storms we also considered the prediction of just the intense storms. Storms that reached an amplitude of $8.0 \times 10^{-5} \text{ s}^{-1}$ or more in their lifetime were selected from the analysis storm tracks and the predictive skill diagnostics were regenerated for just these intense storms (the dashed lines in Fig. 5). This chosen value of $8.0 \times 10^{-5} \text{ s}^{-1}$ lies around the 80th percentile of the maximum intensity distribution of the analysis tracks. By filtering the data according to the amplitude of the cyclones we have significantly reduced our sample size and therefore do not consider the intense storm diagnostics to be reliable beyond day 5.

Considering the intense storms separately makes little difference to the cyclone position diagnostics, although the position of the intense storms does seem to be slightly better predicted. The skill in predicting the amplitude of the intense storms is reduced by about 1 day in the NH for both the DJF and JJA seasons. There is also a reduction in skill in the SH for the JJA season, but not by as much as in the NH and there is no reduction in skill for the DJF season. This is perhaps due to the different nature of the storms in the two hemispheres. The growth rate of cyclones can be significantly larger in the NH than the SH; the mean growth rates in the main baroclinic regions of the NH winter are $\sim 1 \text{ day}^{-1}$ (Hoskins and Hodges 2002), whereas in the SH the large ocean regions have mean growth rates of $\sim 0.5 \text{ day}^{-1}$ (Hoskins and Hodges 2005). If the forecast model incorrectly predicts the fast growth rates associated with intense storms in the NH then this would lead to a larger error in the predicted amplitude of these storms.

To explore this further the rate of change of intensity of the cyclones was calculated at each time step by $\frac{ds}{dt} = \frac{s(t_2) - s(t_1)}{(t_2 - t_1)}$, where $s(t)$ denotes the amplitude of a cyclone at time $t$. Figures 6a and 6c show...
the mean absolute difference in the rate of change of intensity of the forecast storm tracks and the corresponding analysis tracks for the all storms and for just the intense storms in the NH and SH, respectively. We only considered storms that were growing, that is, those for which the rate of change of intensity is positive. The results are only shown to the day 3 lead time. Since most storms reach there peak within the first 3 days of the forecast, there is insufficient data at higher lead times. There is a large error in the rate of change of intensity at the beginning of the forecast and the error increases slightly with forecast lead time. The errors are larger when just the intense storms are considered. The errors for the JJA season in the NH and both the DJF and JJA seasons in the SH are of a similar magnitude, but the error is significantly larger for the DJF period in the NH. This suggests that the forecast model is unable to accurately predict the rapid growth rates associated with NH winter storms.

When considering the error in the predicted intensity and the rate of change of intensity we have used the absolute difference as a measure of error. Although this provides a measure of the magnitude of the error it does not provide any information about whether the intensity of storms is in general being overpredicted or underpredicted. The mean signed intensity difference was also considered (not shown) and the results indicated that the intensity of the storms was generally overpredicted in the SH, but was more variable in the NH. We have not presented these results because our sample size is insufficient to obtain reliable and consistent results, but it would be interesting to investigate this further with a larger dataset.

Figures 6b and 6d show the mean absolute difference in propagation speed between the forecast and analysis tracks for the NH and SH, respectively. The error growth is significantly faster in the SH than the NH. Although the initial error is slightly larger in the NH, the faster error growth in the SH means that from day 3 the error is larger in the SH. There is a slightly larger error for the winter seasons than for the summer seasons in both hemispheres; however, there is slightly less error for the intense storms.

In the example of Fig. 2 the forecasted storms all
move at a slower speed than the analyzed storm. To determine whether this was the case in a statistical sense, the mean signed speed difference between the forecast tracks and corresponding analyzed tracks was calculated and is shown in Fig. 7 for the DJF and JJA seasons in both hemispheres. The difference is consistently negative showing that in general the forecasted storms are moving slower than the analyzed storms. This bias is small in the SH, but is significantly larger in the NH.

Before continuing to the next section it is worth noting that the x axis in Figs. 5, 6, and 7 corresponds to the lead time of the forecast irrespective of when in the forecast the storm tracks start. We realize that this is not the ideal solution since tracks that exist at day 0 of the forecast will have had more time to diverge, from the corresponding analysis track, by day 7 than those tracks that do not begin until day 3. Ideally it would be better to separate the data and consider storms that start at each lead time separately; however, the amount of data used in this study is not sufficient for this type of analysis. The purpose of Fig. 5 is to compare the prediction of the position of the cyclones with that of the intensity for the DJF and JJA seasons in the two hemispheres and to determine whether the more intense storms are predicted any better than the weaker ones. Since all the results presented in the figure are subject to the same limitations we believe that combining storms that start at different lead times will have very little impact on the conclusions. Similar arguments apply to the other figures. The conventional forecast verification methods of rmse and anomaly correlation suffer from a similar problem, since any forecast field of a given lead time will consist of a mixture of weather systems at different stages of development.

b. The predictability of extratropical cyclones

Lorenz (1982) previously devised a method for quantifying upper and lower bounds of atmospheric predictability. The lower bound, or predictive skill, was determined by calculating the rms difference between forecast data, of varying lead times, and analysis data valid at the same time. The upper bound, or predictability, was determined by calculating the rms difference between consecutive pairs of forecasts, valid at the same time, but with lead times differing by some fixed time interval. For example, if this interval were 1 day, then the analysis for a given day would be compared with the 1-day forecast valid for the same day; then this 1-day forecast would be compared with the 2-day forecast valid for the same day and so on. Lorenz argued that if the forecast model was realistic enough that two forecasts started from similar initial states (i.e., forecasts separated by 1 day) diverged at a similar rate to that at which two similar but distinct atmospheric states diverged, then the predictability measure described above could not be improved unless the 1-day forecast error was reduced. The method provides a convenient way to determine how errors of different sizes grow with increasing forecast lead time and gives a measure of potential forecast skill (Bengtsson et al. 2005; Bengtsson and Hodges 2005a; Simmons and Hollingsworth 2002).

In this section the predictability measure described above is extended to storm tracks, by an internal comparison of the control forecast storm tracks, in order to obtain an estimate of how much potential there is to improve the prediction of the position and intensity of extratropical cyclones by changes to the model. The storm tracks identified in the forecast started from the \((i + j)\)th analysis time step were matched with the storm tracks identified in the forecast started from the \(i\)th analysis time step for all time steps \(i\) with \(j = 1, 2, 3, 4\). Since the time steps are 6 h apart \(j\) corresponds to 6-, 12-, 18-, and 24-h intervals between the forecasts. Considering different values of \(j\) enables the growth of errors of different sizes to be explored. The matched storm tracks were used to generate predictability diagnostics analogous to the predictive skill results of Fig. 5.

Figure 8 shows the results obtained for both the NH and SH for the DJF season. The predictive skill curves from Fig. 5 are also included for comparison as the lower bound.

The results show an increase in both separation distance and intensity difference as the time interval \(j\) between the forecasts being compared is increased (i.e., as the size of the initial error is increased the size of the
errors at higher lead times increase). In the NH the day 2 doubling time (the time taken for the error at day 2 to double) for the position of the cyclones is about 2 days regardless of the size of the initial error estimate. In the SH the \( j = 6 \) h curve is almost the same as that of the NH, whereas the \( j = 24 \) h curve increases at a faster rate for higher lead times. The doubling times are slightly shorter than in the NH.

The NH mean absolute intensity difference curves take a different shape to the predictive skill curves barely increasing until day 2. The curves lie parallel to each other, meaning that the doubling times will vary for different values of \( j \). When \( j = 24 \) the day 2 doubling time is 2.5 days and when \( j = 6 \) it is 4 days. In the SH the intensity difference increases at a faster and more steady rate with day 2 doubling times of 2 and 3.5 days for \( j = 24 \) and \( j = 6 \), respectively (half a day less than in the NH).

An unexpected result is the slow growth rate of both the separation distance and intensity difference at the lower lead times. An analogous result was also found using the conventional Eulerian approach by Bengtsson and Hodges (2005a). The result is particularly noticeable for the NH intensity difference, which as previously mentioned does not really start to increase until day 2. For further discussion please see the Bengtsson and Hodges (2005a) study.

Comparing the predictive skill curves with the \( j = 24 \) h predictability curve shows, for the DJF season, that the skill in predicting the position of the storms could potentially be improved by about 1.5 days in both hemispheres whereas the intensity could be improved by 2 days in the SH and by 2.5–3 days in the NH. If the \( j = 6 \) h curve, having the smallest initial error estimate, is used instead as the upper bound on predictability then these estimates increase by about 1.5 days.

The predictability estimates were also calculated for the JJA season (not shown). As for the DJF season the results showed that the skill in predicting the position of the storms could potentially be improved by about 1.5
days in both hemispheres. The potential improvement for the intensity was also the same 2 days in the SH, but in the NH it was only 1.5–2 days (1 day less than for the DJF season). This again perhaps highlights the models inability to predict the rapid deepening of intense NH winter storms.

c. Predicting storms before they have been identified in the analysis cycle

It is clearly important, once an extratropical cyclone has formed, to predict both its track and amplitude as accurately as possible. However, it is also important that an indication of such cyclones, particularly those that are likely to be intense, is given as far in advance as possible by forecasts made before the cyclone has been identified in the analysis cycle. In this section some results are presented addressing the issue of how far in advance of their genesis extratropical cyclones can be predicted.

The genesis of a storm was taken to be the first point in its analyzed track. It is therefore defined by the parameters used in the cyclone identification and tracking methodology, which requires that the vorticity center must exceed a magnitude of \(1.0 \times 10^{-5} \text{ s}^{-1}\) (relative to the large-scale background field removal) to be considered a cyclone. To determine whether the cyclone was predicted \(N\) days in advance of its genesis, the forecast storm tracks identified in the forecast made \(N\) days before the genesis of the analysis storm track were examined to see if any of them matched the analysis track. We realize that the identification of an 850-hPa vorticity center is not the only indication of a developing storm. There will almost certainly be other upper-level precursors that can be identified in the analysis cycle before the 850-hPa vorticity center. However, our definition of storm genesis marks a specific stage of cyclone development, when a cyclone can easily be identified in the 850-hPa level of the initial conditions.

Figures 9a and 9b show for both the NH and SH the percentage of control analysis storm tracks that are predicted by the control system as a function of the number of days \((N)\) before the storms’ genesis occurred in the analysis for the DJF and JJA seasons respectively. The value when \(N = 0\) is the percentage of analyzed storms that are predicted by forecasts integrated from the analyses in which the storms’ genesis occurred (i.e., the initial state is the analysis that contains the first point of the analyzed track). This should not be confused with the percentages in Tables 1 and 2, which are the percentage of forecast tracks that match with analysis tracks and include forecasts that were started before and after the storms were first identified in the analysis.

In the NH about 60% of the storms are predicted when the forecast model is integrated from the analysis in which the storm was first identified (\(N = 0\)), about 40% of the storms are predicted one day before (\(N = 1\)), and less than 10% are predicted three days before (\(N = 3\)). When \(N\) is less than 1, a higher percentage of storms is predicted in the SH than in the NH, but when \(N\) is larger, a higher percentage is predicted in the NH than in the SH. The results are comparable for the DJF and JJA seasons. These percentages will clearly vary considerably when different matching criteria are used; however, the general results for the different hemispheres and seasons stay the same. Even with the very relaxed matching criterion (iii), the results still show that the majority of storms are not predicted more than one day before they are identified in the analysis.

When the forecast storm tracks were matched with
the control analysis storm tracks, only those storms whose genesis occurred within the first three days of the forecast were considered (see section 3c). The results in Fig. 9 were also produced without applying this restriction. The percentage of tracks predicted was very low for \( N > 3 \) indicating that storms are rarely predicted more than three days before they are first identified in the 850-hPa analysis. This result may be different for forecasts obtained from more modern data assimilation systems (4DVAR) from more recent time periods that have more observations and will be investigated in future work.

d. The impact of observations on the prediction of extratropical cyclones

The results to this point have concerned the prediction of extratropical cyclones by the control system. In this section we explore the impact that observations of different types have on the prediction of the cyclones in the DJF seasons. Table 3 shows the total percentage of forecast storm tracks that match with control analysis storm tracks for each of the observing systems. In the NH the terrestrial system is almost the same as the control and is better than the satellite system. The satellite system has the highest percentage in the SH, but is significantly lower than the control system. The surface-only system is very poor in both hemispheres. These results are all in agreement with those of Bengtsson et al. (2005).

Figures 10a and 10c show the mean separation distance between the matched forecast tracks and corre-

<table>
<thead>
<tr>
<th>Observing system</th>
<th>NH</th>
<th>SH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Control</td>
<td>41.6 (16 353)</td>
<td>36.2 (15 390)</td>
</tr>
<tr>
<td>Terrestrial</td>
<td>39.6 (16 156)</td>
<td>8.5 (15 358)</td>
</tr>
<tr>
<td>Satellite</td>
<td>31.7 (16 550)</td>
<td>30.8 (15 558)</td>
</tr>
<tr>
<td>Surface</td>
<td>11.6 (16 844)</td>
<td>3.1 (16 453)</td>
</tr>
</tbody>
</table>

Table 3. Percentage of forecast tracks that satisfy the genesis constraint and match analysis tracks for each observing system in the NH and SH for the two DJF seasons. The total number of forecast tracks that satisfy the genesis constraint is shown in parentheses.
The satellite system shows a reduction in predictive skill of about half a day to the control, which is the same as in the NH. The terrestrial system has a very similar level of skill to the control. The satellite system is almost identical to the control in the NH, although the terrestrial system has a considerably larger error for the first 2 days of the forecast. This may be because the satellite system does not provide a sufficient vertical resolution of observations to accurately represent the storms’ vertical structure. This error in the predicted growth of the storms could be one of the main reasons why the satellite system has less predictive skill than the terrestrial system in general in the NH.

In the NH, the satellite system has a larger error than the control system for the first day of the forecast, which corresponds to the larger error in the predicted intensity in the first day of the forecast (see Fig. 10d). The terrestrial system again has a much larger error. As with the control system, the errors are smaller in the SH than in the NH for the other observing systems, indicating that the model is unable to accurately predict the large growth rates of some NH winter storms. This was discussed in more detail previously in section 4a for the control system.

Figures 11b and 11d show the mean absolute difference in propagation speed between the forecast storm tracks and analysis tracks. A similar relationship exists between the different observing systems to that of the other diagnostics. As with the control system the satellite system has a larger error in the NH than the SH for the first 3 days of the forecast.

5. Discussion and conclusions

In this paper a new method for measuring forecast skill and predictability involving the identification and tracking of extratropical cyclones has been developed and implemented to obtain detailed information about the prediction of extratropical cyclones. The method provides a direct measure of how forecasted weather systems deviate from their analyzed counterparts with increasing lead time, which cannot be obtained from the more conventional analysis methodologies. Since extratropical cyclones play a large role in determining the weather in the midlatitudes we believe the new method provides a good measure of the ability of NWP to predict the weather.

As with any data analysis methodology, the method does have some limitations and biases that should be taken into account. The main limitation is the large amount of data required to get reliable results. We believe that our sample size is large enough for most diagnostics; however, the current statistics include a mix-
ture of storms forecasted from different stages in their life cycle. It would be better to separate storms that are forecasted from the initial state in which the storm was first generated from those that are predicted from earlier and later analyses, but several years worth of data would be required to produce reliable and useful statistics. Another potential limitation is the matching criteria. By restricting the separation distance between the first four points of the forecast and analysis track we may have introduced some bias into the results, but any type of matching will inevitably introduce bias of some sort. Many sensitivity tests were performed to explore the effect the matching has on the diagnostics. For example, the impact of performing the spatial matching with one point rather than four was explored (Fig. 4) and was found to have very little impact on the error growth rates of the predicted positions and intensities of the storms. An additional point to note is that the diagnostics have been produced from only those tracks that match. So we have essentially taken an optimistic viewpoint, only looking at those storm tracks that are “well predicted.” The percentage of forecast storm tracks that match with the analysis (Tables 2 and 3) should therefore always be taken into account with the other diagnostics.

While the methodology presented in this paper does have a number of limitations and biases, traditional approaches also have deficiencies. For example, if a storm is well predicted, but just misplaced slightly, this will significantly affect the rmse. Although traditional methods can be applied to a variety of different scale fields, they are often only applied to the 500-hPa geopotential height field. This focuses on large-scale aspects of the weather rather than the weather systems themselves and may therefore give comparatively high measures of forecast skill. Considering smaller-scale fields, such as vorticity, may give very different results.

We finish this paper with a summary and discussion of the main results. The skill in predicting the position of extratropical cyclones is significantly higher than that of the intensity. This can be seen clearly from Fig. 2 for one particular storm (it is also true for other individual storms we have examined) and the statistical results of
section 4a show this to be the case for the majority of storms. The predictability calculations also indicate that there is more potential to improve the prediction of the intensity than the position of the storms. They show that, without improving 24-h forecasts, there is potential to increase the skill of forecasts of higher lead times by 1–1.5 days for position and 2–3 days for intensity via improvements to the forecast model. These values increase by about 1.5 days if the predictability is calculated by comparing forecasts only separated by 6 h (i.e., without improving 6-h forecasts). The sensitivity of these results to the matching was explored extensively (section 3c) and we found that varying the matching criteria had very little impact on the position and intensity diagnostics, which gives us confidence in our results. We believe that the lower level of skill in predicting the intensity of the storms may be because the vertical structure of the storms is incorrectly represented. The vertical tilt is critical to the storms’ development and if incorrect will cause errors in the predicted amplitudes of the storms (see Holton 2004, chapter 8). The position of the storms, on the other hand, is mainly determined by the large-scale flow pattern and will consequently be less affected by an incorrect tilt. The results also show that forecasted storms move at a slower speed than the corresponding analyzed storms on average. This bias is relatively small, but is larger in the NH than in the SH. The reason for this is currently unclear and will require further study. One possible explanation could be some type of numerical error caused by the truncation used in the model.

When considering just the high-intensity storms we find that there is a significant reduction in the skill in predicting their amplitude. This explains why the amplitude of the winter storms is not as well predicted as that of the summer storms. Further analysis showed that there is a larger error in the predicted rate of change of intensity of the intense storms than the weaker ones in both hemispheres. The error is significantly larger for the NH DJF seasons, suggesting that the model is unable to accurately predict the very fast growth of intense NH storms.

Storms that are predicted before they are identified in the initial conditions (at the 850-hPa level) were considered. Most storms are not predicted more than one day before there genesis, but a few storms are predicted as much as three days before. It would be interesting to know whether improvements to forecast models, such as increased resolution and better data assimilation methods, would extend this 3-day limit. Ensemble prediction could also potentially extend this limit and is being investigated as future work.

The results relating to the impact that the different observing systems have on the prediction of the storm tracks confirm and extend those of the previous Bengtsson et al. (2005) study. In the NH the terrestrial system has almost the same level of skill as the control system and the satellite system has about half a day less skill. Further analysis shows large errors, in the earlier part of the forecasts, for the predicted growth of the storms by the satellite system and could be part of the reason for the reduction in skill from the terrestrial to the satellite system in the NH. In the SH the dominance of the satellite observations is apparent, but the terrestrial observations do have a noticeable impact on the quality of the forecasts. The surface system has little skill in either hemisphere. However, in a recent paper, Compo et al. (2006) suggested that the relative weighting of the first-guess forecast and observations used in the ERA-40 3DVAR system may not be optimal for such a sparse observing system. The study indicated that the use of a more advanced data assimilation system, such as an ensemble Kalman filter or four-dimensional variational data assimilation (4DVAR) system, could substantially improve the surface systems analysis and forecast skill. This will be investigated in future work.

The results of this paper indicate that in general the predictive skill, with respect to extratropical cyclones, is higher in the NH than in the SH. This is probably mainly due to the larger number of terrestrial observations in the NH, allowing more accurate initial states to be produced and resulting in higher-quality forecasts. Improving forecast models’ ability to predict the growth of storms, particularly those that are more intense, could significantly improve NH forecast skill. Indeed the predictability calculations indicate that there is potential to improve the prediction of the intensity of NH winter storms by 0.5–1 day more than that of SH winter storms. As far as SH forecasts are concerned, improvements to the observing network would probably still be more beneficial than improvements to the model.

In future work we will explore the vertical tilts of the storms to hopefully obtain further information about why the position of extratropical cyclones is predicted better than the intensity. The diagnostics of this study will hopefully be reproduced for a 4DVAR analysis system, with a more recent observing system and with a longer time period. Longer time periods would allow us to perform some regional analysis so that ocean- and land-based systems could be considered separately. With a larger dataset we could also partition the storms according to their stage of development in the initial conditions and generate diagnostics for the different stages.
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