STATE OF THE CLIMATE IN 2021
The Arctic

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American Meteorological Society
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5. THE ARCTIC


a. Overview—R. Thoman, M. L. Druckenmiller, and T. Moon

Disruptive environmental change in the Arctic continued in 2021. While few indicators were at record levels, the ongoing trends provide a stark illustration of an Arctic that is a very different place than the Arctic of the twentieth century. Air and ocean temperatures in the Arctic are intimately linked with sea ice and are directly connected to the biological productivity of the region. Terrestrial snow cover, or the lack thereof, plays an important role in modulating air temperatures and the hydrologic cycle. During the winter, lower latitude drivers such as the El Niño-Southern Oscillation, the Madden-Julian Oscillation, and the evolution of the stratospheric polar vortex affect regional conditions and sub-seasonal variability. These processes add to the complexity of annually assessing the state of the Arctic, despite numerous examples of observed broadscale directional change across the region.

For the Arctic (poleward of 60°N) as a whole, 2021 was the coolest year since 2013. Yet, 2021 was still the 13th-warmest year on record (since 1900), highlighting the dominance of the strong warming trend in recent decades. Within the Arctic, both the European (0°–90°E) and Asian (90°E–180°) sectors each experienced spring (April–June) temperatures among their highest 10% among all springs, while the Greenland-Iceland region experienced mean temperatures in the highest 10th percentile during all seasons, except spring. Collectively, these contributed substantially to the annual temperature anomaly for the entire Arctic. Spring and summer air temperatures are linked to the strong increase in tundra vegetation productivity that emerged in the late 1990s, a phenomenon known as “the greening of the Arctic.” The overall trend in circumpolar “greening” is strongly positive but recent years have seen the emergence of increased regional variability, such as strong greening on the Alaska North Slope, but “browning” in parts of northeast Asia. In 2021, the circumpolar greening index was the second highest since observations commenced in 2000, just 2.7% lower than in 2020.

Evidence for increasing Arctic precipitation (liquid and frozen) comes from the intensifying hydrologic cycle and long-term trend of increasing river discharge (Holmes et al. 2021), but has not been previously been reported in the State of the Climate reports. Today, advances in reanalysis now allow for regionally reliable year-round precipitation estimates (Barrett et al. 2020; Wang et al. 2019). In 2021, total precipitation was modestly higher than the 1991–2020 climatology, but on average consisted of a considerably lower percentage of snowfall, relative to the 30-year average. Timing of the seasonal transition of the predominant phase of precipitation, the terrestrial snow cover establishment in autumn and melt in spring, has profound effects on air temperatures. Similarly, the snowpack mass at the end of the accumulation season drives ecosystem and hydrologic responses during and beyond the melt season. Snow accumulation during the 2020/21 winter was near-normal across the Eurasian Arctic and above normal across the North American Arctic. Despite the absence of a significant negative trend in snow mass, spring snow extent has been persistently below normal for the last 15 years due to earlier snow melt.

The seasonal maximum sea ice extent for the Arctic is typically reached in March. In 2021, the March extent was the ninth lowest since 1979. Spring melt was rapid in the Laptev Sea, resulting in record low ice extent for May and June in this region, and the East Greenland Sea was nearly ice-free during much of the summer. This early loss of sea ice contributed to August 2021 mean
SSTs that were 1° to 3.5°C above the 1982–2010 average in the Kara, Laptev, and East Greenland Seas. In contrast, cloudy and cool weather, combined with more unusually high concentrations of multi-year ice for recent years in the Chukchi and Beaufort Seas, resulted in the 12th-lowest September mean extent in the 43-year record. Also, northern Barents Sea, Baffin Bay, and Chukchi Sea were marked by anomalously low SSTs in August 2021, up to 1°C lower than the 1982–2010 mean. Yet, near the end of the melt season in September 2021, the amount of multiyear ice remaining in the Arctic was still the second lowest on record, indicating the Arctic’s sustained transition to a younger, thinner ice cover. Changes in sea ice seasonality and warming ocean ecosystems allow for expanded Arctic maritime activity, increasing pollution in the region. This takes the form of conventional “trash” and potentially toxic materials, and of increased ocean noise levels, with potential impacts to marine species, especially marine mammals for whom underwater sounds can disrupt communication that is critical to their normal activities.

Mass changes on the Greenland Ice Sheet and other Arctic glaciers and ice caps that make up the Arctic year-round terrestrial cryosphere are quite sensitive to summer temperatures. Although Greenland Ice Sheet mass loss in the 2020/21 season was about half of the 2000–21 average, the ice sheet has now lost mass every year since 1998. Extreme events during summer 2021 included a widespread melt event on 14 August, the latest on record, which produced for the first time on record (since 1989) rain at Summit Station (3216 m a.s.l.). Outside of Greenland, observations of monitored Arctic glaciers and ice caps from 2020 and 2021 show regional and inter-annual variations in mass change, with a continuing trend of significant ice loss throughout the Arctic, especially in Alaska and Arctic Canada.

Permafrost refers to ground materials that remain at or below 0°C for at least two consecutive years and underlies extensive regions of the high-latitude landscape. Permafrost temperatures continue to increase across the Arctic. Greater increases in permafrost temperature are generally observed in colder permafrost at higher latitudes, where the largest increase in air temperature has been observed. Permafrost temperatures in 2021 were generally higher than those observed in 2020 and the highest on record at many monitoring sites. However, some recent, slight cooling occurred at a few sites as well.

During the polar night, the very cold stratospheric polar vortex facilitates ozone depletion through chemical reactions that are inactive at temperatures higher than −78°C, while strong anomalies in stratospheric temperatures and winds can descend to the lower stratosphere where they persist for many weeks, affecting both the stratospheric ozone layer and the jet stream. Early stratospheric polar vortex formation in November 2020, which was conducive to ozone depletion, was cut short by a major Sudden Stratospheric Warming event in January 2021. Another result was that the average Arctic total ozone columns (ozone amounts integrated from Earth’s surface to the top of the atmosphere) in March 2021 were close to normal, and spring UV index values were generally within two standard deviations of the 2005–20 mean.

(This chapter includes a focus on glaciers and ice caps outside Greenland, section 5f, which alternates yearly with a section on Arctic river discharge, as the scales of regular observation for both of these climate components are best suited for reporting every two years.)


1) ARCTIC TERRESTRIAL SURFACE AIR TEMPERATURES: A HISTORICAL REVIEW

Increased air temperatures are a fundamental indicator of Arctic change (Box et al. 2019). Warming has been linked with changing frequency, intensity, and duration of high-latitude atmospheric extremes that impact snow and ice melt (Walsh et al. 2020). The 2021 annual surface air temperature (SAT) anomaly for terrestrial areas within 60°–90°N was 0.4°C above the 1991–2020 mean, marking the eighth consecutive year that Arctic land temperatures have exceeded the 30-year
average (Fig. 5.1). With respect to the 1900–2021 historical period, 2021 ranked as the 13th-warmest year on record but coolest since 2013. The Arctic experienced its fourth-warmest spring (April–June), which contributed substantially to the annual temperature anomaly. Within the Arctic, both the European (0°–90°E) and Asian (90°–180°E) sectors’ temperatures exceeded the 90th percentile during spring (Ballinger et al. 2021). Air temperatures throughout other seasons were above normal, but not extreme for most Arctic areas. The Greenland-Iceland region (60°W–0°) was the exception, with warming above the 90th percentile during winter (January–March), summer (July–September), and autumn (October–December; Ballinger et al. 2021). As discussed below in subsection 2, much of this region’s seasonal warming was confined to marine and coastal areas. Despite the warm pattern, the region’s air temperatures remained broadly sub-freezing in winter and autumn, coincident with ~50% less Greenland Ice Sheet mass loss in 2020/21 than the 2000–21 mean (section 5e). The year 2021 was marked by near-normal temperatures over the central Arctic with large deviations over the sub-arctic continents in response to jet stream variability. In the following section, we characterize seasonal air temperature anomalies in further detail.

2) SUMMARY OF 2021 SEASONAL AIR TEMPERATURES

Air temperature anomalies, relative to the 1991–2020 mean, are discussed for 2021 by season and presented in Fig. 5.2. Seasonal temperature anomalies at the 925-hPa level are described to emphasize large-scale spatial patterns rather than local-scale variability.

In winter 2021, a distinct temperature gradient was found between the higher-than-average Atlantic side of the Arctic Ocean, including areas stretching northward from the eastern Canadian Arctic and Hudson Bay, and lower-than-average Eurasia and northwest North America. Air temperatures around the central Arctic Ocean extending south into northern Greenland and Svalbard were 2–4°C higher than normal. Western Greenland and adjacent Baffin Bay, Davis Strait, and Labrador Sea saw temperature anomalies of +2° to +3°C that increased westward to a +5° to +6°C maximum over northern Quebec and Newfoundland. Cold anomalies extended southward from Arctic coastal zones to northern Russia, Chukotka, Alaska, and the Yukon and Northwest Territories (Fig. 5.2a). Two upper-level low geopotential height centers over north-central Siberia and the Canadian Archipelago were concurrent with this swath of below-normal temperatures (Fig. 5.3a).

Spring was characterized by above-normal temperatures extending from the Arctic Ocean margins southward into adjacent lands (Fig. 5.2b), associated with a low over the central Arctic and primarily zonal (west-to-east) flow over these areas (Fig. 5.3b). For example, air temperatures over northern Eurasian coastal areas and adjacent Arctic waters were 2–4°C higher than the 1991–2020 average. Baffin Bay and the Labrador Sea were also higher than normal. The Eurasian spring temperature anomalies were linked to low regional snow cover (section 5g) and early sea ice melt in the Laptev Sea (section 5d). Several Arctic weather stations reported record air temperatures during spring 2021, including 39.9°C observed at Fort Smith, Northwest Territories, Canada, on 30 June, which set the maximum surface temperature record in the province (Henson and Masters 2021).
Fig. 5.2. Near-surface (925 hPa) seasonal air temperature anomalies (°C) in 2021 for (a) winter, (b) spring, (c) summer, and (d) autumn. Anomalies are shown relative to their 1991–2020 means. (Source: ERA5 reanalysis air temperature data are obtained from the Copernicus Climate Change Service.)

Fig. 5.3. Seasonal atmospheric circulation patterns in 2021 for (a) winter, (b) spring, (c) summer, and (d) autumn. The contours (shading) represent 500-hPa geopotential height values (anomalies relative to the 1991–2020 seasonal means, m). Upper-level winds tend to circulate clockwise around higher geopotential height values. (Source: ERA5 reanalysis geopotential height data are obtained from the Copernicus Climate Change Service.)
Notably warm summer air temperature anomalies were found over northern Iceland and the southern Greenland Sea (+2° to +3°C) and extended from the northern Sea of Okhotsk (+2° to +4°C) into northeastern Siberia (Fig. 5.2c). The corresponding 500-hPa flow was similar to spring, though the low center moved into the Pacific Arctic sector (Fig. 5.3c). Following on from recent warm, fire-prone summers, above-normal Siberian air temperatures coincided with an extreme fire season during 2021 (York et al. 2020; Ponomarev et al. 2022). In contrast, 1–2°C below-normal temperatures occurred over portions of the Beaufort, Chukchi, and East Siberian Seas.

Exceptional summer warmth over the Greenland-Iceland region was primarily found over marine, coastal, and low-elevation areas of the Greenland Ice Sheet (Fig. 5.2c). These seasonal anomalies were punctuated by anomalous air temperature events that occurred in late July and mid-August, producing widespread Greenland Ice Sheet melt. The latter event coincided with remarkably late and extensive ice sheet melt and the first rain event at Summit Station, Greenland’s highest elevation area, since it was established in 1989 (section 5e).

Autumn air temperature anomalies of 2–4°C above average were found from western Greenland to eastern Canada (Fig. 5.2d), concurrent with a jet stream trough over Hudson Bay that funneled warm air into these areas from lower latitudes (Fig. 5.3d). Upstream regions of the Pacific Arctic, including Chukotka, Alaska, and south-central Yukon and Northwest Territories, experienced temperatures 1–3°C below normal due to a relatively zonal jet stream that prevented incursions of warm air masses (Fig. 5.3d).

3) ARCTIC PRECIPITATION IN 2021: A RESPONSE TO WARMING

Increased precipitation and greater probabilities of rain at the expense of snow are impacts of a warming Arctic (Łupikasza and Cielecka-Nowak 2020; McCrystall et al. 2021). Using ERA5, these characteristics manifested in 2021 as modestly higher total precipitation but, on average, consisted of considerably less snowfall relative to the 1991–2020 climatology (Fig. 5.4). Winter, summer, and autumn were characterized by anomalously low snowfall (blue shading) and high rainfall (red shading; Figs. 5.4e,g,h,i,k,l), while spring had markedly more snowfall (Fig. 5.4f) and total precipitation (Fig. 5.4b). Canada broke a new spring record, receiving 19% more total precipitation than normal. Alaska and the Pacific Arctic sector (60°–90°N, 150°E–120°W) had the second-highest spring snowfall amounts since 1979, totaling 19 mm and 14 mm water equivalent, respectively. Anomalously low precipitation fell on Russia during spring, but of that precipitation more than 90% fell as rain rather than snow (Figs. 5.4b,f,j), aligned with persistent warm air temperatures (Fig. 5.2b).

Summer warmth during 2021 (Fig. 5.2c) also contributed to diminished Arctic snowfall (Fig. 5.4g). Overall, there was 7% less snowfall than average with the largest anomalies occurring in Alaska (−16 mm) and the Pacific Arctic sector (−11 mm). Similarly, Greenland saw more precipitation (+23 mm) and more rainfall (+22 mm) than any year during 1991–2020, including the Summit Station rain event (section 5e). During autumn, snowfall amounts were 3% below average for the Arctic with the Alaska and Pacific Arctic sectors receiving the least snowfall (23–24% below normal). Alaska received 13% more rainfall than the 1991–2020 autumn average, tied to a late December rain-on-snow event.
Arctic Ocean sea surface temperatures (SSTs) in the summer (June–August) are driven by the amount of incoming solar radiation absorbed by the sea surface and by the flow of warm waters into the Arctic from the North Atlantic and North Pacific Oceans. Solar warming of the Arctic Ocean surface is influenced by the distribution of sea ice (with greater warming occurring in ice-free regions), cloud cover, and upper-ocean stratification. Discharge of relatively warm Arctic river waters can provide an additional source of heat to the surface of marginal seas.

Arctic SST is an essential indicator of the role of the ice–albedo feedback mechanism in any given summer sea ice melt season. As the area of sea ice cover decreases, more incoming solar radiation is absorbed by the ocean and, in turn, the warmer ocean melts more sea ice. In addition, higher SSTs are associated with delayed autumn freeze-up and increased ocean heat storage throughout the year. In another positive (amplifying) feedback related to global warming, higher SSTs can be associated with reduced ocean uptake of carbon dioxide from the atmosphere. Marine ecosystems are also influenced by SSTs, which affect the timing and development of production cycles, as well as available habitat.

The SST data presented here are a blend of in situ and satellite measurements from August 1982 to August 2021, taken from the monthly mean NOAA Optimum Interpolation (OI) SST Version 2 product (OISSTv2; Reynolds et al. 2002, 2007). In the Arctic Ocean overall, the OISSTv2 product has been found to exhibit a cold bias (i.e., underestimate SST) of up to 0.5°C compared to in situ measurements (Stroh et al. 2015). The OISSTv2 product uses a simplified linear relationship with
sea ice concentration to infer SST under sea ice (Reynolds et al. 2007), which means SSTs may be too low by up to 0.2°C where there is sea ice cover. The potential cool bias under sea ice can be reflected in trends and variability in the vicinity of the ice edge. We focus on August mean SSTs, which provide the most appropriate representation of Arctic Ocean summer SSTs because they are not affected by the cooling and subsequent sea ice growth that typically takes place in the latter half of September. Note that the SST reference period is August 1982–2010 because the satellite SST record begins in December 1981.

August 2021 mean SSTs ranged from 6°C to 10°C in the southeast Chukchi and Barents Seas to around 0°C to 3°C in the East Siberian, Kara and Laptev Seas, Baffin Bay, and in the ice-free waters east of Greenland (Fig. 5.5a). August 2021 mean SSTs were notably high (around 1–3.5°C higher than the 1982–2010 August mean) in the Kara and Laptev Seas (Fig. 5.5b). This is consistent with early-season sea ice retreat in these regions (section 5d), and anomalously warm spring (April–June) 2021 air temperatures over northern Eurasia (section 5b). SSTs in the waters east of Greenland were also higher than the 1982–2010 August mean by around 1–3°C. It is notable that in the same region, summer 2021 surface air temperatures were about 2–5°C higher than the climatological mean (section 5b).

The northern Barents Sea, Baffin Bay, and the Chukchi Sea experienced anomalously cool SSTs in August 2021, around 0.5°C to 1°C below the 1982–2010 mean (Fig. 5.5b). Surface air temperatures in summer (June–August) were below average in the Beaufort and Chukchi Sea regions, and conditions were cloudy, limiting solar fluxes to the surface ocean. Lower SSTs are also consistent with greater sea ice extents (closer to normal) in the Chukchi and Beaufort Sea regions compared to recent past years, related to wind-driven transport of thick multiyear ice into the region in early 2021 (section 5d).

There is significant variability from year to year in the particular regions that exhibit anomalously low or high SSTs. The strong interannual variability in spatial patterns are evident in the differences between August 2021 and August 2020 SSTs (Fig. 5.5c). August 2021 SSTs were around 0.5°C (and up to 2°C) cooler than...
in August 2020 over a significant portion of the ice-free regions, with some exceptions, including (up to \(3^\circ\)) higher SSTs off of east Greenland (Fig. 5.5c).

Mean August SST warming trends from 1982 to 2021 persist over much of the Arctic Ocean, with statistically significant (at the 95% confidence interval) linear warming trends shown in Fig. 5.6a. Mean August SSTs for the entire Arctic (the Arctic Ocean and marginal seas north of 67°N) exhibit a linear warming trend of \(+0.03 \pm 0.01^\circ\text{C yr}^{-1}\) (Fig. 5.6b). Even while anomalously low SSTs in Baffin Bay and the Chukchi Sea were prominent in the August 2021 SST field (Figs. 5.5b, 5.6c,d), SSTs in both of these regions show long-term warming. Baffin Bay August SSTs exhibit a linear warming trend over 1982–2021 of \(+0.05 \pm 0.01^\circ\text{C yr}^{-1}\) (Fig. 5.6c). Similarly, Chukchi Sea August mean SSTs are warming with a linear trend of \(+0.06 \pm 0.03^\circ\text{C yr}^{-1}\) (Fig. 5.6d). The cooling trend (~\(-0.06^\circ\text{C yr}^{-1}\)) in mean August SSTs in the north-central Barents Sea region remains a notable exception (Timmermans et al. 2020), although the cooling trend is not observed for most other months, nor for other parts of the Barents Sea (Lind et al. 2018; Smersrud et al. 2022). Further, in this region Barents Sea waters contact cooler, fresher Arctic waters, and shifts in this boundary complicate interpretation of trends (see Barton et al. 2018).

**Fig. 5.6.** (a) Linear SST trend \(\left(^\circ\text{C yr}^{-1}\right)\) for Aug of each year from 1982 to 2021. The trend is only shown for values that are statistically significant at the 95% confidence interval; the region is shaded gray otherwise. White shading is the Aug 2021 mean sea ice extent, and the yellow line indicates the median ice edge for Aug 1982–2010. (b,c,d) Area-averaged SST anomalies \(\left(^\circ\text{C}\right)\) for Aug of each year (1982–2021) relative to the 1982–2010 Aug mean for (b) the entire Arctic Ocean north of 67°N, (c) Baffin Bay, and (d) Chukchi Sea regions shown by blue boxes in (a). The dotted lines show the linear SST anomaly trends over the period shown and trends in \(^\circ\text{C yr}^{-1}\) (with 95% confidence intervals) are indicated on the plots. (Data sources: see Fig. 5.5 caption.) (Source: Data are from NSIDC and University of Colorado [Tschudi et al. 2019, 2020]).


Arctic sea ice is the frozen interface between the ocean and atmosphere in the North, limiting ocean–atmosphere exchanges of energy and moisture and playing a critical role in Arctic ecosystems and Earth’s climate. Sea ice also plays a key role in Arctic human activities, including
Indigenous hunting and transportation, marine navigation, and national security responsibilities. Overall, 2021 continued to demonstrate the profound changes underway in the Arctic sea ice system.

1) SEA ICE EXTENT

Arctic sea ice began 2021 recovering from record or near-record low coverage and a notably late freeze-up in autumn 2020. By January 2021, sea ice extent (defined as the total area covered by at least 15% ice concentration) was lower than the 1981–2010 average in the Bering and Barents Seas, but near-average elsewhere. Extent values are from the NSIDC Sea Ice Index (Fetterer et al. 2017), one of several extent products (Lavergne et al. 2019; Ivanova et al. 2014) derived from satellite-borne passive microwave sensors operating since 1979. Persistent high pressure in the Siberian Arctic sector during January–February resulted in divergence of ice from the Siberian coast and strong advection of thicker, multiyear ice into the Beaufort and Chukchi Seas.

By March, the month with the greatest ice cover, the total sea ice extent of \(14.64 \times 10^6 \text{ km}^2\) was \(0.79 \times 10^6 \text{ km}^2\) (5.1%) lower than the 1981–2010 average and the ninth-lowest March extent in the 43-year record. The low sea ice extent in March 2021 was less extreme than during 2015–19, but continued the statistically significant downward trend of −2.6 % per decade over the 1979–2021 record (Fig. 5.7a). On a regional basis, March 2021 was characterized by below-average extent in the Bering Sea, Baffin Bay, and the Gulf of St. Lawrence and near-normal extent elsewhere (Fig. 5.7b).

After March, the seasonal retreat of ice began. The multiyear ice in the Beaufort and Chukchi Seas that developed earlier in winter delayed the retreat of sea ice on the North American side of the Arctic. On the Siberian side, strong pressure gradients facilitated early melt onset and local sea ice retreat in spring, leading to a record low extent in the Laptev Sea during May and June.

During summer 2021, the atmospheric circulation (marked by general low pressure over the Arctic Ocean; section 5b), along with the thicker ice in the Beaufort and Chukchi Seas, slowed the decline in ice extent. The summer circulation limited sea ice export through Fram Strait, resulting in the unusual occurrence of a nearly ice-free East Greenland Sea during much of the summer.

Sea ice extent in September 2021 was characterized by below-average coverage in the Siberian and East Greenland Seas.
and closer-to-normal coverage in the Beaufort and Chukchi Seas (Fig. 5.7c). Arctic-wide, the slower summer decline resulted in a September 2021 total sea ice extent of $4.92 \times 10^6$ km$^2$, which was $1.49 \times 10^6$ km$^2$ (23.2%) lower than the 1981–2010 average and the 12th-lowest September extent on record. The September trend from 1979 through 2021 is $-12.7\%$ decade$^{-1}$ and like all other months, is statistically significant. The 15 lowest September extents in the satellite record have all occurred in the last 15 years (2007–21).

2) SEA ICE AGE, THICKNESS, AND VOLUME

Sea ice age is a rough proxy for thickness as multiyear ice (ice that survives at least one summer melt season) grows thicker over successive winters. Sea ice age is presented here (Fig. 5.8) for the period 1985–2021, based on Tschudi et al. (2019a,b). One week before the 2021 annual minimum extent, when the age values of the remaining sea ice are incremented by one year, the amount of multiyear ice remaining in the Arctic was the second lowest on record (above only 2012). The September multiyear ice extent declined by $70.7\%$, from $4.40 \times 10^6$ km$^2$ in 1985 to $1.29 \times 10^6$ km$^2$ in 2021 (Fig. 5.8). Over the same period, the oldest ice (> 4 years old) declined by $94.1\%$, from $2.36 \times 10^6$ km$^2$ to $0.14 \times 10^6$ km$^2$. In the 37 years since ice-age records began in 1985, the Arctic has changed from a region dominated by multiyear sea ice to one where first-year sea ice prevails. A younger ice cover implies a thinner, less voluminous ice pack and one that is more fragile and vulnerable.

Sea ice drifts with wind and ocean currents, while growing and melting thermodynamically. Ice convergence leads to dynamic thickening, while ice divergence creates leads and, in winter, new ice. Sea ice thickness is an important indicator of overall ice conditions because it provides a record of the cumulative effect of dynamic and thermodynamic processes. The ESA CryoSat-2/SMOS satellites have provided a record of seasonal (October to April) ice thickness and volume (Ricker et al. 2017) since the 2010/11 winter. Since 2018, the NASA ICESat-2 satellite has also provided thickness estimates (Petty et al. 2020, 2021). Some differences between these two products are seen for April 2021 (the month of maximum annual thickness), with ICESat-2 indicating thicker ice along the Canadian Archipelago and Alaskan coast (Fig. 5.9a), while CryoSat-2/SMOS shows thicker ice in the eastern Arctic (Fig. 5.9b). However, the overall spatial patterns are similar. Compared to the 2011–20 April average, the 2021 CryoSat-2/SMOS product shows thinner ice along the northern Canadian Archipelago and Greenland coasts, the East Greenland and Barents Seas, and somewhat thicker-than-average ice in the Laptev Sea and along the Alaskan coast (Fig. 5.9c). On average, the 2020/21 winter sea ice was the thinnest in the CryoSat-2/SMOS record.

Fig. 5.8. Sea ice age coverage map for the week before minimum total extent (when age values are incremented to one year older) in (a) 1985 and (b) 2021; (c) extent of multiyear ice (black) and ice > 4 years old (red) within the Arctic Ocean (inset) for the week of the minimum total extent.
Sea ice thickness is integrated with ice concentration to provide winter volume estimates for 2010–21. The seasonal timeseries (Fig. 5.10) indicates below-average ice volume throughout the 2020/21 winter, with record low conditions spanning October to mid-November. Volume growth typically slows by early March as spring warming begins. In 2021, the volume experienced near-zero growth for much of March and decreased slightly thereafter. Ice volume in April 2021 was the lowest in the 2010–21 April record. While the rate of decline in September sea ice extent over the 2010–21 period has slowed compared to previous decades, Arctic sea ice volume continues to rapidly shrink.

Fig. 5.9. (a) ICESat-2 (dark gray areas have no data) and (b) CryoSat-2/SMOS sea ice thickness (m) for Apr 2021; (c) CryoSat-2/SMOS thickness anomaly (m) for Apr 2021 (relative to the 2010–20 average). Note that ICESat-2 thickness estimates outside the Arctic Ocean domain (see the Fig. 5.8c inset) are not as reliable due to uncertainties in snow cover.

Fig. 5.10. CryoSat-2/SMOS Northern Hemisphere sea ice volume from 15 Oct to 15 Apr for the 2020/21 season. The maximum (red line), minimum (blue line), and average (dashed gray line) volume over the 11-year (2010–20) record are also provided.
SIDEBAR 5.1: IN TRANSITION: MARITIME POLLUTION AND THE BERING STRAIT REGION — G. SHEFFIELD AND K.M. STAFFORD

The Bering Strait region is a narrow transboundary waterway between eastern Chukotka (Russia) and western Alaska (United States) and is the only marine corridor from the Pacific to the Arctic Ocean. The maritime ecosystem of the northern Bering Sea/Bering Strait region is distinct from the southern Bering Sea, separated by a thermal barrier created and maintained by the once stable seasonal sea ice. The remote coastal communities of this region are ethnically diverse and reliant on the non-commercial acquisition of marine resources for their nutritional, cultural, and economic well-being (Fig. SB5.1).

The ongoing reduction in the quality, extent, and duration of sea ice in the Bering Strait region is rapidly transforming the environment and reorganizing marine ecosystems (Stevenson and Lauth 2019; Eisner et al. 2020; Thoman et al. 2020). More economic opportunities are resulting in an increase in the size, duration, and diversity of industrial ship traffic transiting and/or utilizing the region (Humpert 2021; Smith 2021; USCMTS 2019). Unprecedented numbers of multi-national ships are chasing southern Bering Sea fisheries that are advancing northwards and/or utilizing the Strait as a transportation corridor. Impacts of increased industrial ship traffic include increases and/or changes in marine debris and ambient noise.

Marine Debris

During summer 2020, the Bering Strait region of Alaska experienced a novel foreign marine debris event. Through mid-November, individuals from 14 Alaskan coastal communities discovered and documented over 350 individual items ashore, most with Russian, Korean, and/or other Asian lettering or branding (Sheffield et al. 2021). This number was considered a minimum, with qualitative reports of mostly uncounted debris extending “for miles.” Reporting communities included locations in Norton Sound, Bering Strait, and the Chukchi Sea region. Additional reports of debris ashore were received from the U.S. Coast Guard.

The novelty of this event was not due to foreign debris of commercial fishing equipment, but rather the widespread everyday garbage, including plastics, food items, and hazardous materials (Fig. SB5.2). Most plastic items were un-weathered, indicating they had entered the water recently. April 2020 was the most recent date of manufacturing noted on any item. Hazardous materials included cans and other containers that had and/or still contained insecticides, toilet cleaners, drain clog remover, lubricating oils, butane gas, and spray paints.

Regional residents, tribal leadership, and coastal communities provided awareness of the event, documented, reported, conducted clean-up activities, and investigated the source of debris on a voluntary basis using personal resources, little to no training, and limited response capacity (Sheffield et al. 2021). The foreign debris event negatively affected regional peoples’ sense of security regarding the health of the transitioning northern Bering Sea marine ecosystem (Stevenson and Lauth 2019; Eisner et al. 2020; Spies et al. 2020) and the level of future risks and impacts from the forecasted and observed increase of industrial ship traffic in the Bering Strait region (USCMTS 2019; Humpert 2021).

Without regular and relevant collaborative transboundary communications between the United States and the Russian Federation and enforcement of existing international marine pollution rules (IMO 2021), the Bering Strait region can expect similar or higher levels of marine garbage in the future as industrial ship traffic increases.

Ambient Noise

In the ocean, the combination of naturally occurring sounds from wind, waves, and marine animals contribute to ambient noise. Unique to the polar regions are the sounds contributed by sea ice and icebergs, which generate noise via ice...
formation and deformation, pressure ridging, and cracking, but also decrease noise due to the lack of wind-driven waves in ice-covered waters. The sounds from storms and from novel marine animals that have moved into the ice-free regions of the Arctic are changing underwater ambient noise profiles, but are also giving us insight into natural atmospheric and biologic changes that are occurring. While marine debris and oil spills provide visual evidence of pollution, underwater sound can also be considered a form of pollution. ‘Sound’ becomes ‘noise’ when it may negatively impact the health or behavior of marine animals and is driven by increased shipping, including cargo and fishing vessels (Rolland et al. 2013). Underwater noise is created from the rotation of ships’ propellers; this noise is relatively continuous and low-frequency (under 1000 Hz). Noise is also created by icebreakers breaking through sea ice. Ship noise overlaps in frequency with the sounds produced and received by Arctic marine mammals. Signals in the same frequency band are more likely to interfere with animals’ ability to hear and respond to important sounds (Blackwell and Thode 2021; Tervo et al. 2021). Increases in overall noise levels increase stress hormones in individual animals and may have long-term impacts on their ability to navigate, communicate, feed, and reproduce.

The most persistent anthropogenic noise source in the Bering Strait region is industrial ship traffic, most of which sails the Northern Sea Route (NSR) across waters north of Russia from the Pacific to the Atlantic (CHNL 2022). Most large ships traverse the NSR during July to October when sea ice coverage is minimal. However, in January 2021, at least four liquid natural gas tankers sailed the NSR without icebreaker support. Because large volumes of industrial maritime traffic in the Arctic are a relatively new phenomenon, Arctic marine wildlife may have a lower tolerance for, and react more strongly to, noise from these ships (PAME 2019).

**Summary**

With continued sea ice reduction and ecosystem-wide shifts expected, increased industrial ship traffic in/among the Bering Strait region will continue to elevate existing regional food security, public health, wildlife health, and conservation concerns. Potential risks to marine wildlife from marine debris include entanglements, exposure to hazardous chemicals, and ingestion of plastics and/or hazardous materials and chemicals. Potential risks to marine wildlife, from increasing ambient noise, include deflection from important feeding areas, changes in timing of movements, and a reduced ability to communicate opportunities or risks. Without enforcement of existing international pollution laws and/or mitigation efforts regarding emerging impacts from multi-national industrial ship traffic, the Arctic and the Bering Strait region can expect increasing amounts and types of marine debris and noise pollution.

For more details on the 2020 marine debris event in Bering Strait the changing Arctic marine soundscape, please see the 2021 Arctic Report Card (Sheffield et al. 2021; Stafford 2021).
The Greenland Ice Sheet has lost mass every year since 1998, with negative impacts of ice loss experienced globally. The ice sheet contains enough ice to generate ~7.4 m of eustatic sea level rise (rise caused by ocean mass changes only; Morlighem et al. 2017). With multiple methods available for measuring Greenland Ice Sheet mass balance, we compare 1 September 2020 through 31 August 2021 mass change values derived from satellite gravimetry using Gravity Recovery and Climate Experiment Follow On (GRACE-FO) measurements (−125 ± 35 Gt) and an input-output (I/O) method (−165 ± 89 Gt; see Fig. 5.11 for details on methods and references). GRACE-FO values include Greenland glaciers and ice caps not directly connected to the main ice sheet, while I/O values do not, introducing a likely bias of ~15–20% (Bolch et al. 2013; though acknowledging potentially higher bias values) and lowering the agreement between methods. The change in GRACE-FO mass balance reported here as compared to Moon et al. (2021) is due to the availability of additional 2021 data to improve the calculation. Across the two methods, the estimated range in mass loss represents ~0.3–0.5 mm of global mean sea level rise in 2020/21 (excluding ongoing thermal expansion [IPCC 2021]). Using the satellite gravimetry time series, the 2020/21 ice loss was ~138 Gt less than the 2002–21 average of −263 ± 15 Gt yr⁻¹.

This year of moderate twenty-first century ice loss includes substantial variations in ice sheet surface conditions, particularly over the summer melt season. The ice sheet balance year (1 September–31 August) captures the annual cycle of snow accumulation (gain) and ice/snow ablation (loss), using seasonal breaks at September–November (autumn), December–February (winter), March–May (spring), and June–August (summer). Except for an above-average melt event on 27 April, the ice sheet daily melt extent as estimated by satellites remained low until 26 May and through mid-summer remained mostly within the 1981–2010 10th to 90th percentiles (Fig. 5.12). In situ observations are provided via 15 mostly terrestrial Danish Meteorological Institute (DMI) weather stations and eight on-ice automatic weather station transects from the Programme for Monitoring of the Greenland Ice Sheet (PROMICE) at the Geological Survey of Greenland and Denmark (GEUS; Fausto et al. 2021). PROMICE stations recorded surface air temperatures within ± 1 std. dev. of monthly means for June and July. Late July and August, however, included three extreme melt episodes (Fig. 5.12b). The first, on 19 July, had melt across 702,000 km² (~43%) of the ice sheet surface. A second melt episode on 28 July extended across 54% of the ice sheet surface, and a third melt episode concentrated on 14 August had extended across 53% of the ice sheet surface and reached the highest ice sheet elevations at the National Science Foundation’s (NSF) Summit Station (3216 m a.s.l.).
(following Van As et al. 2016), which show significantly above-average ablation along the central western and eastern Greenland coasts (Fig. 5.12c).

The stark contrast between ice sheet conditions in early and late summer is also reflected in measurements of ice sheet broadband albedo (relative amount of energy reflected by the surface in all wavelength bands) and bare glacial ice exposure (Fig. 5.13). A low albedo (dark) ice sheet surface absorbs sunlight and can enhance ice melt, while a high albedo (bright) surface can reflect sunlight and reduce melt potential. Snow can create a high albedo surface while a dark surface can result from exposure of bare glacial ice, snow grain growth, organic and inorganic surface materials (e.g., microbes or black carbon), etc.

An above-average July albedo was offset by a below-average August albedo, creating an overall average June–August albedo (Fig. 5.13b). Regionally, brighter-than-normal southwest conditions and darker-than-normal northern conditions are evident (Fig. 5.13a). The regionally-opposing anomalies obscure a temporal shift that is clearer in the bare ice area variation (Fig. 5.13c), which reached extremely large area values that rivaled 2019, an extreme ice loss year. August 2021 had the latest peak in bare ice area during the past five years (2017–21). The local ablation (Fig. 5.12b) and albedo anomalies (Fig. 5.13a) may not intuitively align due to factors such as summer snowfall, surface atmospheric conditions, and extreme melt events (e.g., Box et al. 2022).
Along with ice loss via melt, the Greenland Ice Sheet also loses mass via breaking off of solid ice, hereafter ‘discharge’, into the ocean at the ice sheet–ocean boundaries (Fig. 5.14), though mass loss variability is dominated by surface mass gain/loss, not solid ice discharge (Mankoff et al. 2020). The 2010–19 solid ice discharge averaged \(-487 \pm 46 \text{ Gt yr}^{-1}\), and the 1981–2010 average is \(-444 \pm 47 \text{ Gt yr}^{-1}\). Solid ice discharge for January–December 2021 was \(-496 \pm 48 \text{ Gt}\), with the southeast remaining the largest contributor (Fig. 5.14a).

If solid ice discharge is more rapid than replacement from ice flow, the glacier front retreats and glacier area is lost. For 2020/21, net glacier surface area loss due to retreat was \(-18.9 \text{ km}^2\) for 47 major and representative Greenland tidewater glaciers (Fig. 5.14b,c), substantially lower than the mean annual area loss of \(-103.3 \text{ km}^2\) for these glaciers since 2002 (Andersen et al. 2019).

Despite moderate ice loss for 2020/21, the year brought unprecedented conditions to the ice sheet. Based on the 1978–present satellite record, the anomalous melt event centered on 14 August was the latest on record that affected more than half of the ice sheet (> 815,000 km²). Further, 2021 is only the second year on record (the other is 2012) with more than one melt event on this scale. Year 2021 was also the first time that rainfall was reported at NSF’s Summit Station (operations began in 1989), though surface melting without rainfall was observed at Summit in 1995, 2012, and 2019. The exceptional August rainfall was associated with an atmospheric river (a concentrated poleward flow of heat and moisture). This rain episode increased snow and ice melt and lowered surface albedo between 0.4 and 0.1, contributing to anomalously high late meltwater production in 2021. According to the MARv3.12 model forced by fifth ECMWF Reanalysis (ERA5; Hersbach et al. 2020) data, the amount of rainfall across the ice sheet (75 Gt yr\(^{-1}\)) in 2021 was the highest since at least 1950, with an anomaly of +103% with respect to the 1991–2020 baseline. The model-derived meltwater runoff \((-445 \text{ Gt yr}^{-1})\) was 20% larger in 2021 than the baseline, with 2021 producing the fifth-highest surface melt after 2012, 2019, 2010, and 2016. Along with the
exceptional summer rainfall (73 Gt yr⁻¹, representing an anomaly of +120%), 10% larger snowfall accumulation than average (711 Gt yr⁻¹) counterbalanced the excess of melt to give a total surface mass balance close to the 1991–2020 average.


The Arctic hosts 63% of the world’s mountain glaciers and ice caps by area outside of the ice sheets of Greenland and Antarctica (RGI Consortium 2017; Fig. 5.15). While their potential longer-term contribution to sea level rise is small compared to the ice sheets, they are sensitive to changes in climate and have been a large contributor to recent sea level rise in response to continued atmospheric warming (Ciraci et al. 2020; Hugonnet et al. 2021; Millan et al. 2017; Wouters et al. 2019). Recent increases in global temperature, amplified at high northern latitudes (section 5b, Fig. 5.1), have accelerated melt rates of Arctic glaciers and ice caps three-fold since the mid-1990s.

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Fig. 5.14. (a) Solid ice discharge (Gt yr⁻¹; gray bars show ±10% uncertainty range). PROMICE combines ice thickness estimates with ice velocity measurements based on Sentinel-1 satellite data to create a high temporal resolution solid ice discharge product (representing ice loss) integrated over Greenland (following Mankoff et al. 2020). (b) Cumulative total area change at 47 major Greenland tidewater glaciers. (c) Regions for solid ice discharge (a): north (NO), northeast (NE), central east (CE), southeast (SE), southwest (SW), central west (CW), and northwest (NW) and sampled glaciers for (b) indicated with open circles.
Observations of monitored Arctic glaciers and ice caps from 2020 and 2021 show regional and inter-annual variations in mass change, with a continuing trend of significant ice loss throughout the Arctic, especially in Alaska and Arctic Canada.

Glaciers and ice caps gain mass through surface accumulation (snow, rime, freezing rain) and lose mass by surface melt and runoff, and by iceberg calving where they terminate in oceans or lakes. The total mass balance is thus defined as the difference between annual accumulation and annual mass losses (by iceberg calving plus runoff; Ostrem and Brugman 1991). Of the 27 Arctic glaciers currently monitored, only Kongsvegen, Hansbreen, and Devon Ice Cap (NW) lose mass by iceberg calving, which is not accounted for in this study (World Glacier Monitoring Service [https://wgms.ch/] 2021). For all glaciers discussed, we report the climatic mass balance ($B_{clim}$, the difference between annual accumulation and annual runoff), which is a measure of annual thickness change (millimeters water equivalent, mm w.e.) averaged across the entire glacier or ice cap basin.

We report $B_{clim}$ for the 2020/21 mass balance year (September 2020 to August 2021) for the 20 monitored Arctic glaciers for which mass balance data were available. These glaciers are located in Arctic Canada (three), Svalbard (three), Alaska (three), Iceland (nine), and Norway (two). Because some of these data are still provisional, we provide added context to recent changes in pan-Arctic glacier mass balance by also reporting on the 24 glaciers measured in the previous mass balance year of 2019/20 (World Glacier Monitoring Service [https://wgms.ch/] 2021; Kjøllmoen et al. 2021). These glaciers are located in Alaska (three), Arctic Canada (four), Iceland (nine), Svalbard (three), Norway (two), and Sweden (three; Fig. 5.15). Cumulative measurements of $B_{clim}$ record regional variations in thinning which range from $\sim -14$ m w.e. across glaciers in Arctic Canada (1959–2021) to $\sim -35$ m w.e. for glaciers in Alaska (1953–2021), with an overall average of $\sim 24$ m w.e. for all regions combined (Fig. 5.16).
Average $B_{\text{clim}}$ of $-735$ mm w.e. across pan-Arctic glaciers and ice caps in the mass balance year 2019/20 represented the 14th most negative balance since 1960. Regionally, the greatest thinning occurred over Svalbard, where record negative values of $B_{\text{clim}}$ were recorded for Midtre Lovénbreen ($-1590$ mm w.e.), Austre Brøggerbreen ($-1740$ mm w.e.), and Kongsvegen ($-1140$ mm w.e.). Negative $B_{\text{clim}}$ of $-795$ mm w.e. averaged across monitored glaciers and ice caps in the Canadian Arctic Archipelago was consistent with post-2005 melting which has been about four times greater than the 1960–2004 average (Sharp et al. 2011). Thinning by $\sim 1$ m across Alaskan glaciers in 2019/20 mass balance year corresponded to a moderately negative $B_{\text{clim}}$ anomaly ($-446$ mm w.e.) relative to the 1981–2010 mean.

Surface mass balance and overall state-of-health of Arctic glaciers and ice caps is closely linked with summer warmth (Box et al. 2019). Strongly negative values of $B_{\text{clim}}$ for glaciers and ice caps across Svalbard in the 2019/20 balance year were associated with summer (June–August, JJA) atmospheric temperature (925 hPa; NCEP/NCAR) anomalies 2.5° to 3°C above the 1981–2010 mean (Ballinger et al. 2020). Similarly, near-record high melting across glaciers and ice caps in the Canadian Arctic coincided with positive temperature anomalies (+1.5° to +2°C; NCEP/NCAR) recorded for summer 2020 (Ballinger et al. 2020). Radiosonde temperature data (JJA, 850 hPa) collected by the Government of Canada in 2020 registered the first and second highest JJA (850 hPa) positive anomalies on record at Resolute Bay and Eureka, Nunavut, weather stations, respectively (Fig. 5.17). In the 2019/20 balance year, a strong positive $B_{\text{clim}}$ anomaly for Engabreen in northern Scandinavia (522 mm w.e. higher than the 1981–2010 average) coincided with the fifth-highest winter accumulation on record (WGMS 2021).

Average $B_{\text{clim}}$ of $-735$ mm w.e. across pan-Arctic glaciers and ice caps in the mass balance year 2020/21 was overall less negative (by 132 mm w.e.) than in the 2019/20 balance year (Fig. 5.17b). Strongly negative balances, however, prevailed across Icelandic glaciers for which an average $B_{\text{clim}}$ of $-1278$ mm w.e. represented the third most negative mass balance across this region since measurements began in 1986. Enhanced glacier melt over northern Iceland, relative to southern Iceland, was associated with summer (July–September) temperatures in excess of 3°C above the 1981–2010 average (section 5b).
Glaciers and ice caps at high northern latitudes have been increasingly important contributors to global sea level rise since the early 1990s (Box et al. 2018). Gravity anomalies measured from the combined GRACE (2002–16) and GRACE-FO (2018–21) satellite missions indicate that pan-Arctic glaciers and ice caps have consistently lost mass since 2002 at an average rate of $-174 \pm 24$ Gt yr$^{-1}$ (Fig. 5.18), or $-66\%$ of the annual mass loss from the Greenland Ice Sheet (including Greenland peripheral glaciers and ice caps) over the same period (section 5e). Uncertainties in the GRACE measurements were estimated at two standard deviations and include corrections for glacial isostatic adjustment and terrestrial hydrology as per Wouters et al. (2019). An increase in the rate of annual mass loss over the 19-year period (2002–21), relative to the previously reported...
annual average of $-165 \pm 26 \text{ Gt yr}^{-1}$ (Wolken et al. 2020) for 2002–16, was driven largely by extreme mass losses of $-432 \pm 28 \text{ Gt}$ in the 2018/19 balance year. Sustained losses in 2019/20 ($-272 \pm 30 \text{ Gt}$) were influenced primarily by Arctic Canada (44%) and the Russian Arctic (27%) where glaciers and ice caps lost mass about five times faster than the 2002–21 average. For the 2020/21 balance year, near-zero change in glacier mass was measured across both the Alaska ($-24 \pm 46 \text{ Gt}$) and Arctic Canada ($+3 \pm 23 \text{ Gt}$) regions. Total loss of $-752 \pm 52 \text{ Gt}$ from pan-Arctic glaciers and ice caps between September 2018 and August 2021 contributed $2.05 \pm 0.14 \text{ mm}$ to global sea level rise, or $0.69 \pm 0.05 \text{ mm yr}^{-1}$ over this 3-year period.

g. Terrestrial snow cover—L. Mudryk, A. Elias Chereque, C. Derksen, K. Luojus, and B. Decharme

Many components of the Arctic land surface are directly influenced by snow cover from autumn through spring, including the surface energy budget and ground thermal regime, with implications on the carbon cycle, permafrost, and terrestrial and freshwater ecosystems (Brown et al. 2017; Meredith et al. 2019 and references therein). Even following the snow cover season, the influence of spring snow melt timing persists through impacts on river discharge timing and magnitude, surface water, soil moisture, vegetation phenology, and fire risk (Meredith et al. 2019).

Historical snow cover extent (SCE) anomalies during spring are shown separately for the North American and Eurasian terrestrial sectors of the Arctic in Fig. 5.19 relative to the 1981–2010 baseline (data from the NOAA snow chart climate data record; Robinson et al. 2012). In 2021, Eurasian Arctic SCE anomalies were strongly negative during both May (fifth lowest in the record since 1967) and June (third lowest). North American Arctic SCE anomalies in 2021 were also below average (14th and 16th lowest, respectively).

Snow cover duration (SCD) anomalies (1999–2021 baseline) across the Arctic region for the 2020/21 snow season are shown in Figs. 5.20a,b as percent differences relative to the climatological number of snow-free days (data from the NOAA daily Interactive Multisensor Snow and Ice Mapping System snow cover product; U.S. National Ice Center 2008). Anomalies in the total number of days with snow cover were computed separately for each half of the snow season: August 2020 to January 2021, referred to as “onset period,” and February to July 2021, referred to as “melt period.” Onset anomalies indicate snow cover during autumn 2020 began later than normal over much of Eurasia, particularly in eastern Siberia, as well as over much of Alaska and the western...
Canadian Arctic (Fig. 5.20a). Spring 2021 (Fig. 5.20b) had early snow melt and hence shorter snow cover duration over almost the entire Arctic. In particular, across broad expanses of Eurasia the duration of the spring snow-free period was 30–50% longer than normal. The early Eurasian melt in 2021 was driven by persistent, above-average temperatures during April–June (section 5b).

Snow water equivalent (SWE; equivalent mass where the snowpack is converted to water) characterizes the amount of snow at a location as well as the contribution of that snow to the hydrological cycle once it melts. March–June SWE fields were obtained from four daily-frequency gridded products over the 1981–2021 period: (1) the European Space Agency Snow CCI SWE version1 product derived through a combination of satellite passive microwave brightness temperatures...
and climate station snow depth observations (Luojus et al. 2020); (2) the Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA-2, GMAO 2015) daily SWE fields; (3) SWE output from the ERA5-Land analysis (Muñoz Sabater 2019); and (4) the physical snowpack model Crocus (Brun et al. 2013) driven by ERA5 meteorological forcings. Reduced availability of climate station snow depth measurements limits the accuracy of the Snow CCI SWE product during May and June, hence it is only used during March and April. An approach using gridded products is required because in situ observations are too sparse to be representative of hemispheric snow conditions, especially in the Arctic.

For April, the SWE fields from each product are aggregated across Arctic land regions (> 60°N) for both North American and Eurasian sectors and standardized relative to the 1981–2010 baseline to produce standardized April snow mass anomalies. The ensemble mean anomalies and the range of estimates among the products are presented in Fig. 5.21. April is chosen because it is the approximate seasonal snow mass peak for the terrestrial pan-Arctic region, reflecting total snowfall accumulations since the preceding autumn before increasing temperatures during May and June lead to melt. The 2021 anomalies highlighted in Fig. 5.20 indicate above-normal total snow accumulation during the 2020/21 snow season in the North American Arctic and slightly below-average accumulation over the Eurasian Arctic. Figure 5.20c illustrates the SWE spatial distribution during both April and June, presented as percent differences of the ensemble-mean field relative to the 1981–2010 baseline. While snow accumulation across Eurasia was near-normal through March (not shown), the high spring temperatures (mentioned above) began to drive SWE reductions across western Eurasia by April (also see April–June temperature anomaly pattern in section 5b). Eastern Eurasian SWE was still above normal in April as seen in Fig 5.20 but decreased to below normal by May (not shown; also see April–June temperature anomaly pattern in section 5b). In contrast to Eurasia, SWE across North America generally remained above normal through June, particularly in the Canadian Arctic Archipelago (Fig. 5.20d). However, even where SWE was seasonally above average, complete snow melt tended to occur slightly earlier than usual over most of the region. This combination of increased snow accumulation (expressed as April SWE in Fig. 5.20c) but early snow melt (expressed by shorter snow cover duration in Fig. 5.20b) is consistent with the expected changes to Arctic snow cover in a warmer Arctic (Meredith et al. 2019) and also reflected in earlier and larger peak river discharge as observed over Eurasia during spring 2020 (Holmes et al. 2021).

In summary, snow accumulation during the 2020/21 winter was near-normal across the Eurasian Arctic and above normal across the North American Arctic. Despite no significant negative trend in
snow mass (Fig. 5.21), spring snow extent has been persistently below normal for the last 15 years (Fig. 5.19), reflecting earlier snow melt. Since 2006, North American June SCE has been below the long-term average every year, while Eurasian June SCE has been below the long-term average for all but one year.


Permafrost refers to earth materials (e.g., bedrock, mineral soil, organic matter) that remain at or below 0°C for at least two consecutive years and underlies extensive regions of the high-latitude landscape (Brown et al. 1997). Overlying the permafrost is the active layer, which thaws and refreezes annually. Permafrost, especially where it contains large volumes of ice, can play a critical role in the stability of Arctic landscapes. Warming of permafrost, active layer thickening, and ground ice melt cause changes in surface topography, hydrology, and landscape stability, with implications for Arctic infrastructure and ecosystem integrity and human lifestyles (Romanovsky et al. 2017; Bjella 2019; Wolken et al. 2021). Changes in permafrost conditions can also affect the rate of carbon dioxide and methane release to the atmosphere, with the potential to accelerate global warming (Schuur 2020).

Permafrost conditions respond to shifts in the surface energy balance through a combination of interrelated changes in ground temperature and active layer thickness (ALT). Ground temperatures fluctuate seasonally near the surface, while below the depth of seasonal temperature variation, ground temperature reflects longer-term changes in climate. Long-term changes in permafrost temperatures are driven by changes in air temperature (Romanovsky et al. 2017); however, permafrost temperature trends also show local variability due to other important influences such as snow cover, vegetation characteristics, and soil moisture. Monitoring sites across the Arctic (Fig. 5.22) have been recording ground temperature in the upper 30 m for up to five decades, providing critical data on changes in permafrost stability. Observed changes in ALT are more reflective of shorter-term (year-to-year) fluctuations in climate and are especially sensitive to changes in summer air temperature and precipitation.

Data collection was affected less by COVID-19 related travel restrictions in 2021 compared to 2020. However, for some sites there has been data loss for 2020 and 2021 (Figs. 5.23, 5.24).

1) **PERMAFROST TEMPERATURES**

Permafrost temperatures continue to increase across the Arctic. Greater increases in permafrost temperature are generally observed in colder permafrost at higher latitudes (Smith et al. 2021, 2022), where the largest increases in air temperature were observed (Figs. 5.22, 5.23). Although permafrost temperatures in 2021 were higher than those observed in 2020 and the highest on record at many sites, recent cooling occurred at some sites (Fig. 5.23, Table 5.1). In northern Alaska for example, permafrost temperatures in 2021 were up to 0.2°C lower than in 2020 at some sites while at others, such as Utqiaġvik (Barrow), permafrost temperatures continue to increase (Fig. 5.23a). Observed permafrost cooling was a result of decreasing mean annual air temperatures (e.g., at Deadhorse station air temperatures were 2.5°C lower in 2020 and 2021 compared to 2018 and 2019). Lower permafrost temperatures were also observed in northwestern Canada in the northern Mackenzie region (NC–01). In the Alaskan interior, the 2021 permafrost temperature was higher at all sites except for Old Man, where the temperature was slightly lower in 2021 than in 2020 (Fig. 5.23b). In the Nordic high-Arctic cold permafrost of Svalbard, each year since 2005 has been warmer than the previous one until 2019/20 (Smith et al. 2021). Although permafrost temperatures decreased in 2021 (Fig. 5.23d), these values were the third highest in the longest record dating back to 1998 (Janssonhaugen).

Throughout the Arctic, the response of permafrost with temperatures close to 0°C (i.e., warm permafrost sites at temperatures > −2°C) is slower (generally < 0.3°C decade⁻¹) than
colder permafrost sites due to latent heat effects related to melting ground ice. At cold continuous permafrost sites in the Beaufort-Chukchi region, permafrost temperatures have increased by 0.33–0.76°C decade\(^{-1}\) (Fig. 5.23a; Table 5.1). In the eastern and high Canadian Arctic, similar increases (0.4–0.7°C decade\(^{-1}\)) have been observed (Fig. 5.23c; Table 5.1). Permafrost on Svalbard at the Janssonhaugen and Kapp Linne sites (Table 5.1), has warmed by 0.7°C decade\(^{-1}\) since 2000.

Although rates of warming were lower in warm permafrost, temperatures in these locations generally increased. In the discontinuous permafrost regions of Scandinavia (Juvvashøe and Iskoras), warming reported by Etzelmüller et al. (2020) is continuing, with 2021 permafrost temperatures being the highest measured (Fig. 5.23d). Similar rates of warming were found for warm permafrost in Russia (e.g., Bolvansky #56; Malkova et al. 2022) and northwestern North America (Figs. 5.23b,d).
Fig. 5.23. Time series of mean annual ground temperature (°C) at depths of 9–26 m below the surface at selected measurement sites that fall roughly into Adaptation Actions for a Changing Arctic Project priority regions (see Romanovsky et al. 2017): (a) cold continuous permafrost of northwestern North America and northeastern East Siberia (Beaufort–Chukchi region); (b) discontinuous permafrost in Alaska and northwestern Canada; (c) cold continuous permafrost of eastern and High Arctic Canada (Baffin Davis Strait); (d) continuous to discontinuous permafrost in Scandinavia, Svalbard, and Russia/Siberia (Barents region). Temperatures are measured at or near the depth of zero annual amplitude where the seasonal variations of ground temperature are less than 0.1°C. Note differences in y-axis value range. Borehole locations are shown in Fig. 5.22. (Sources: Data are updated from Christiansen et al. 2010; Smith et al. 2021; Boike et al. 2018; and Etzelmüller et al. 2020.)
Table 5.1. Rate of change in mean annual ground temperature (°C decade⁻¹) for permafrost monitoring sites shown in Fig. 5.22. For sites where measurements began prior to 2000, the rate of change for the entire available record and the period after 2000 are provided. The periods of record are shown in parenthesis below the rates of change. The names of the stations with record high temperatures in 2021 are shown in red. * denotes sites not reporting in 2021.

<table>
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<td>Duvany Yar (DY)*</td>
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<td>West Dock (WD), Deadhorse (De), Franklin Bluffs (FB), Barrow (Ba)</td>
<td>+0.40 to +0.76 (1978–2021)</td>
<td>+0.47 to +0.67 (2000–21)</td>
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<td>Happy Valley (HV), Galbraith Lake (GL)</td>
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<td>+0.36 to +0.47 (2000–21)</td>
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<td>Northern Mackenzie Valley</td>
<td>Norris Ck (No), KC-07(KC)</td>
<td>NA</td>
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| **Discontinuous Permafrost Alaska and Northwestern Canada** | | | |
| Southern foothills of the Brooks Range, Alaska | Coldfoot (Co), Chandalar Shelf (CS), Old Man (OM) | +0.12 to +0.36 (1983–2021) | +0.21 to +0.4 (2000–21) |
| Interior Alaska | College Peat (CP), Birch Lake (BL), Gulkana (Gu), Healy (He) | +0.09 to +0.30 (1983–2021) | +0.04 to +0.26 (2000–21) |
| Central Mackenzie Valley | Norman Wells (NW), Wrigley (Wr) | Up to +0.1 (1984–2021) | <+0.1 to +0.2 (2000–21) |

| **Baffin Davis Strait Region** | | | |
| Baffin Island | Pangnirtung (Pa)*, Pond Inlet (PI) | NA | +0.4 (2009–21) |
| High Canadian Arctic | Resolute (Re)* | NA | +0.7 (2009–18) |
| High Canadian Arctic | Alert (Al) @15m | +0.6 (1979–2021) | +0.9 (2000–21) |
| Alert (Al) @24m | +0.4 (1979–2021) | +0.6 (2000–21) |

| **Barents Region** | | | |
| North of West Siberia | Urengoy 15-06 and 15-08 (Ur)* | +0.20 to +0.48 (1974–2021) | +0.08 to +0.81 (2005–21) |
| Russian European North | Bolvansky 56, and 65 (Bo) | +0.09 to +0.27 (1984–2021) | +0.02 to +0.51 (2001–21) |
| Svalbard | Janssonhaugen (Ja), Bayelva (Bay), Kapp Linne 1 (KL) | +0.7 (1998–2021) | +0.5 to +0.7 (2000–21) |
| Northern Scandinavia | Tarfalarggen (Ta)*, Iskoras Is-B-2 (Is) | NA | +0.1 to +0.5 (2000–21) |
| Southern Norway | Juvvasshøe (Ju) | +0.2 (1999–2021) | +0.2 (2000–21) |
2) ACTIVE LAYER THICKNESS

Three common methods for monitoring active layer thickness (ALT) are: direct measurement with mechanical probing, interpolation of the maximum seasonal depth of the 0°C isotherm from borehole temperatures, or thaw tube records. The majority of sites comprising trends shown in Fig. 5.24 are based on mechanical probing to determine the top of permafrost (Shiklomanov et al. 2012).

Distinct positive trends in ALT are evident since 1996 for the interior of Alaska, Greenland, Svalbard, the Russian European North, and West Siberia (Fig. 5.24), but trends are less apparent for the Alaskan North Slope, Canada, and East Siberia (Smith et al. 2022). Sites in interior Alaska and Greenland experienced ALTs in 2021 well above the 2003 to 2012 mean, similar to the preceding three years. Positive anomalies in 2021 were also reported for the Russian European North and West and East Siberia (Kaverin et al. 2021). The interior of Alaska and the Russian European North are experiencing the greatest rates of ALT increase over the 25-year observation period at 0.015 and 0.013 m yr⁻¹, respectively. The reduced ALT reported in 2021 for sites in Svalbard and East Siberia, for example, could be due to short-term cooling, as significant thickening trends have been reported for these regions (Strand et al. 2021; Abramov et al. 2021).

Average ALT in 2021 for the North Slope of Alaska and Chukotka were within 0.01 m of the 2003 to 2012 mean values. Thaw-induced consolidation (subsidence), which is common in ice-rich permafrost, is not accounted for in manual probing measurements, and these data alone may underestimate ALT (Nyland et al. 2021). Correcting manual probing data for ground surface displacement can therefore allow better detection of climate trends.

![Fig. 5.24. Long-term active layer thickness anomalies in six different Arctic regions as observed by the Circumpolar Active Layer Monitoring (CALM) program. The data are shown as annual anomalies (m) relative to the mean value for the reference period 2003–12. Positive and negative anomaly values indicate the active layer is thicker or thinner than the 10-yr mean values, respectively. The number of sites varies by region (numbers provided on figure) because only sites with > 20 years of continuous thaw depth observations from the end of the thaw season were included. Asterisks on the figure represent 2020 and 2021 data, as observations from fewer sites (number provided beside asterisks) were possible due to pandemic-related restrictions. Note that there are no ALT data for northwestern Canada after 2018 due to travel restrictions (measurements are made by thaw tubes and observations represent maximum thaw depths from the previous year). Site-specific data and metadata are available at www2.gwu.edu/~calm/.

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Earth's northernmost continental landmasses and island archipelagos are home to the Arctic tundra biome, a 5.1 million km$^2$ region characterized by low-growing, treeless vegetation adapted to short, cool summers (CAVM Team 2003). The Arctic tundra biome has become a “hotspot” of global environmental change because vegetation and underlying permafrost soils are strongly influenced by ongoing climatic warming and sea ice loss on the nearby Arctic Ocean (Bhatt et al. 2021; sections 5b,d). In the late 1990s, a strong increase in the productivity of tundra vegetation began to emerge in global satellite observations, a phenomenon known as “the greening of the Arctic.” Arctic greening is dynamically linked with Earth’s changing climate, permafrost, seasonal snow, and sea ice cover, and has motivated multi-disciplinary scientific efforts to understand its causes and consequences (Myers-Smith et al. 2020).

Tundra greenness has been monitored from space for 40 years using the Normalized Difference Vegetation Index (NDVI), a spectral metric that is strongly correlated with the biomass of aboveground vegetation (Raynolds et al. 2012). Here, we analyze tundra greenness trends using two spaceborne datasets. The first satellite record of tundra greenness began in 1982 using the Advanced Very High Resolution Radiometer (AVHRR), a sensor that continues to operate onboard polar-orbiting satellites. Tundra greenness has also been independently monitored since 2000 by the Moderate Resolution Imaging Spectroradiometer (MODIS), a separate satellite-based sensor with improved calibration and 500-m spatial resolution. For AVHRR, we analyze the Global Inventory Modeling and Mapping Studies 3g V1.2 dataset (GIMMS-3g+) that is produced using daily observations at approximately 8-km spatial resolution (Pinzon and Tucker 2014). For MODIS, we analyze daily Nadir Bidirectional Reflectance Distribution Function Adjusted Reflectance data at 500-m spatial resolution (MCD43A4, version 6; Schaaf 2021). All data were masked to include only ice-free land within the extent of the Circumpolar Arctic Vegetation Map (CAVM Team 2003; as shown in Figs. 5.25 and 5.27). We summarize the AVHRR and MODIS records for annual maximum NDVI (MaxNDVI), representing the annual peak greenness which is achieved in midsummer.

Both AVHRR and MODIS records indicate that MaxNDVI increased across most of the Arctic tundra biome since 1982 and 2000, respectively (Figs. 5.25a,b). Several Arctic regions display particularly strong trends in both records. In North America, increases have been strongest in
northern Alaska and mainland Canada, while flat or negative trends are evident in parts of the Canadian Arctic Archipelago and southwestern Alaska. In Eurasia, strong greening has occurred in Chukotka, but MaxNDVI declines are evident in the East Siberian Sea sector and parts of the Taymyr Peninsula. AVHRR and MODIS have recorded divergent trends in northwestern Siberia and the European Arctic, potentially due to the different observational periods of the two records.

In 2021, circumpolar mean MaxNDVI declined from the record high values set the previous year for both datasets. AVHRR-observed MaxNDVI declined 8.3% from 2020; nonetheless, the 2021 value still exceeded the 1991–2010 mean value and represented the 15th highest value recorded in the full 40-year record (Fig. 5.26). The 2021 decline in MaxNDVI from 2020 was less pronounced for MODIS (2.7%); the 2021 value was the second highest value in the 22-year record for that sensor, and circumpolar values have now exceeded the 2000–20 mean for the last 11 growing seasons. Circumpolar MaxNDVI time series for the two sensors show virtually identical trends for the period of overlap (2000–20), although the AVHRR record displays higher variability (i.e., “noise”), particularly over the last 10 years of the record. This is likely due in part to AVHRR’s lower spatial resolution and less advanced calibration compared to MODIS.

Regional contrasts in greenness highlight the dynamic linkages between tundra ecosystems and the local characteristics of sea ice, permafrost, seasonal snow, soil composition and moisture, disturbance regimes, wildlife, and human activities (Campbell et al. 2021; Heijmans et al. 2022; Seider et al. 2022). For example, in 2021, several regional MaxNDVI anomalies suggest potential relationships to surface air temperature and sea ice extent (Fig. 5.27). Strong positive and negative MaxNDVI anomalies were evident in the Taymyr Peninsula and the Canadian Arctic Archipelago, respectively, coincident with unusually warm and cool growing season temperatures in the two regions (section 5b).

What biological and physical mechanisms underlie satellite observations of Arctic greening, and what types of change might be apparent to a field observer? Persistent increases in the abundance and height of Arctic shrubs have been widely documented across the Arctic, with wide-ranging impacts to tundra biomass, biodiversity, surface energy balance, permafrost temperatures, biogeochemical cycling, and wildlife (Kropp et al. 2021; Way and Lapalme 2021; Mekonnen et al. 2021; Tape et al. 2021). Circumpolar greening also signals changes to the timing of phenological events and the duration of the Arctic growing season (Parmentier et al. 2021; Karlsen et al. 2021; see section 2h4), with implications for seasonal movements and life-history events of migratory animals such as caribou and reindeer (Severson et al. 2021).

Although spaceborne observations provide unequivocal evidence of Arctic greening, substantial regional variability exists, and some parts of the Arctic exhibit little or no long-term trend (Berner et al. 2020; Huemmrich et al. 2021). Some regions, most notably the East Siberian Sea sector, exhibit declining tundra productivity, which is thought to be related to surface subsidence.
and increased surface water resulting from thaw of ice-rich permafrost (van Huissteden et al. 2021; Veremeeva et al. 2021; section 5h). Wildfire, extreme weather events, herbivory, and other ecological disturbances introduce additional complexity in Arctic greenness trends (Gaglioti et al. 2021; Magnússon 2021; Veselkin 2021; Talucci et al. 2022). While warming is likely to continue to drive Arctic greening, extreme events and other causes of regional or localized NDVI decline are also increasing in frequency (Christensen et al. 2021), highlighting the emergence of increased variability as a component of Arctic climate change.


Past emissions of man-made chlorine-containing substances, such as chlorofluorocarbons (CFCs), have caused substantial chemical depletion of stratospheric ozone (WMO 2018). The resulting ozone loss led to increases of ultraviolet (UV) radiation at Earth’s surface with adverse effects on human health and the environment (Barnes et al. 2019; EEAP 2019). The chemical destruction of polar ozone occurs within a cold stratospheric cyclone known as the polar vortex, which forms over the North Pole every winter (WMO 2018). The polar vortex between November 2020 and April 2021 was weakened by a Sudden Stratospheric Warming (SSW; see Sidebar 5.2) event in early January 2021 that decreased depletion of stratospheric ozone in the Northern Hemisphere until at least April. A similar SSW event occurred in January 2013. The progressions of chemical ozone loss in the winters of 2012/13 and 2020/21 are therefore compared below.

1) OZONE

Chemical processes that drive ozone depletion in the polar stratosphere are initiated at temperatures below about 195 K (−78°C) at altitudes of approximately 15 to 25 km. These low temperatures lead to the formation of polar stratospheric clouds (PSCs), which act as a catalyst to transform inactive forms of chlorine-containing substances into active, ozone-destroying chlorine species such as chlorine monoxide (ClO).

Fig. 5.27. Circumpolar MaxNDVI anomalies for the 2021 growing season relative to mean values (2000–20) from the MODIS MCD43A4 dataset. The 2021 minimum sea ice extent is indicated by light shading.
Temperatures were low enough for PSC formation by mid-November 2020 as observed by the Aura Microwave Limb Sounder (MLS, 2005–present; Waters et al. 2006). Activation of chlorine started in late November 2020, and ClO concentrations at ~16 km altitude between 20 December 2020 and 10 January 2021 were exceeded only by those in 2012/13 in the MLS data record (Fig. 5.28a). If cold conditions had persisted beyond December 2020, this could have led to large Arctic ozone depletion in spring 2021, like that observed in spring 2020 (Manney et al. 2020). Instead, similar to the behavior in 2012/13 (Manney et al. 2015a), an unusually early major SSW on 5 January 2021 warmed the lower stratosphere above temperatures at which active chlorine can be maintained. ClO concentrations subsequently declined to near-zero between 10 and 25 January as ClO was converted back to inactive forms of chlorine.

In both winters of 2012/13 and 2020/21, chemical ozone destruction involving ClO started in late December and continued through January, as evidenced by the decline in ozone mixing ratios (Fig. 5.28b). The rapid drop in ClO halted further chemical ozone loss in late January in both years, and ozone mixing ratios rebounded to be among the highest in the MLS record by mid-March. The faster rebound in 2021 compared to 2013 can be explained by the differences in the polar vortex structure between the two years and may also be related to differences in planetary wave activity (see Sidebar 5.2), which affects ozone transport from low to polar latitudes. The high ozone concentrations at ~16 km between mid-February and April 2021 were exceeded only by those in 2013 (Manney et al. 2015a), 2015 (Manney et al. 2015b), and 2019.

The evolution of ozone in 2020/21 is in stark contrast to that in 2019/20, when the lowest ozone values in the MLS record resulted from an exceptionally strong, cold, and persistent stratospheric polar vortex (e.g., Lawrence et al. 2020; Manney et al. 2020).

The early termination of chemical ozone loss in the lower stratosphere during winter/spring 2020/21 led to average Arctic total ozone columns (TOC; i.e., ozone amounts integrated from Earth’s surface to the top of the atmosphere) between January and July. Figure 5.29 illustrates the variation in TOC between 1979 and 2021 for March by showing the minimum of the daily mean TOC within an area that encloses the polar vortex and is surrounded by the 63°F contour of “equivalent latitude” (Butchart and Remsberg 1986). March was selected because this has historically been the month with the largest potential for chemical ozone depletion in the Arctic (WMO 2018). In March 2021, the minimum Arctic daily TOC was 374 Dobson units (DU), which was identical to the average TOC since the start of satellite observations in 1979 and 2% (8 DU) above the average of 365 DU for the period of measurements (2005–present) by MLS and the Ozone Monitoring Instrument (OMI).
2) ULTRAVIOLET RADIATION

Ultraviolet radiation is quantified with the UV index (UVI), which measures the ability of UV radiation to cause erythema (sunburn) in human skin (WHO 2002). In addition to its dependence on TOC, the UVI depends on the sun angle, clouds, aerosols, and surface albedo (Weatherhead et al. 2005). In the Arctic, the UVI scale ranges from 0 to about 7; UVI values north of 80°N remain below 3. For comparison, the summertime UVI at midlatitudes may reach 12 ([Bernhard et al. 2022]).

Figures 5.30c,d quantify spatial differences in monthly average noontime UVIs from past (2005–20) averages based on measurements by OMI. UVI differences in March 2021 (Fig. 5.30c) varied by up to ± 58% but remained within 2 std. dev. of past observations, with few exceptions. The larger variability compared to TOC (Fig. 5.30a) can be explained by the added effect from clouds. UVIs in April 2021 (Fig. 5.30d) were elevated beyond 2 std. dev. north of Alaska (consistent with the low TOCs for this region; Fig. 5.30b), a small band east of Greenland, and a small region in Northwest Russia. Since TOCs for these regions were close to average, the elevated UVI was likely caused by unusually clear skies. While UVI anomalies assessed with satellite (OMI) data provide complete spatial coverage, they can sometimes indicate spurious anomalies of up to 59% (Bernhard et al. 2015), for example when the surface albedo (reflectivity) assumed in the retrieval algorithm (Tanskanen et al. 2003) deviates from the actual albedo. UVI anomalies for 2021 derived from OMI data generally agree with ground-based measurements at 10 Arctic and sub-Arctic sites (indicated in Figs. 5.30c,d) within ± 11%. The only exception is Eureka in April where measurements at the ground indicate a 14% larger anomaly than OMI data, likely due to the uncertainty in the OMI albedo climatology.
**Fig. 5.30.** Monthly mean anomaly maps of (a,b) TOC (%) and (c,d) noontime UVI (%) for Mar and Apr 2021 relative to 2005–20 means. Stippling indicates pixels where anomalies exceed 2 std. dev. Gray-shaded areas centered at the North Pole indicate latitudes where no OMI data are available because of polar darkness. Locations of ground stations are indicated by blue crosses in every map, with labels added to the first map. Maps are based on the OMT03 Level 3 total ozone product (Bhartia and Wellemeyer 2002). Site abbreviations are ALT: Alert (83°N); EUR: Eureka (80°N); NYA: Ny-Ålesund (79°N); RES: Resolute (75°N); AND: Andøya (69°N); SOD: Sodankylä (67°N); TRH: Trondheim (63°N); FIN: Finse (61°N); OST: Østerås (60°N); and CHU: Churchill (59°N).

**SIDEBAR 5.2: THE 2021 ARCTIC SUDDEN STRATOSPHERIC WARMING—A. H. BUTLER AND S. H. LEE**

A major Sudden Stratospheric Warming (SSW) occurred in the Arctic on 5 January 2021 (Lee 2021). During an SSW, the climatological westerly winds of the wintertime polar stratosphere—the stratospheric polar vortex—decelerate and temperatures in the polar stratosphere rapidly increase (Baldwin et al. 2021). Large perturbations in stratospheric temperatures and winds can descend to the lower stratosphere where they persist for many weeks, affecting both the stratospheric ozone layer (section 5j), as well as the likelihood of cold Arctic air outbreaks and other weather extremes (Domeisen and Butler 2020). This sidebar describes the January 2021 SSW and its influence on stratospheric ozone and weather in the weeks that followed.

**Cause and evolution of the event**

Tropospheric and stratospheric winds are strongly coupled via interactions between the mean wind flow and planetary-scale atmospheric waves, which are generated primarily by land–sea contrasts, topography, and convective heating. Disturbances to the stratospheric polar vortex in the form of SSWs arise when these large-scale waves either amplify vertically from the troposphere into the stratosphere or when waves are created within the stratosphere from resonance (Baldwin et al. 2021).

In late December 2020, persistent tropospheric weather patterns led to the amplification of planetary-scale atmospheric
waves into the stratosphere. In particular, a low pressure system over the North Pacific and a high pressure system over the North Atlantic and Eurasia formed a “wavenumber-1” type pattern (i.e., one trough and one ridge around a latitude circle) that then amplified into the stratosphere. A wavenumber-2 pattern (i.e., two troughs and two ridges around a latitude circle) also contributed during the onset of the event (Lu et al. 2021).

From a peak wind speed near 44 m s\(^{-1}\) on 24 December 2020, the 10 hPa (~30 km) 60°N zonal-mean zonal winds rapidly decelerated over the next 12 days until they reversed direction on 5 January 2021, which defines the central date of the SSW (Fig. SB5.3a). During this same time, average temperatures at 10 hPa within the polar cap (latitudes ≥ 60°N) increased by about 30°C (Lee 2021).

**Influence on stratospheric ozone**

There can be significant changes to atmospheric composition over the Arctic when the polar vortex becomes disturbed during an SSW (de la Camara et al. 2018). The barrier that the polar vortex creates in the stratosphere between ozone-poor polar air and ozone-rich mid-latitude air is degraded by rapid mixing. Additionally, the large-scale waves that drive the SSW also accelerate the stratospheric overturning circulation, transporting more ozone from the tropical to the polar stratosphere.

These changes were evident during the 2021 SSW, with anomalously high ozone in the mid-to-lower stratosphere as the zonal-mean winds reversed direction on 5 January (Fig. SB5.3b). While the recovery of the polar vortex winds in February led to anomalously low ozone in the mid-stratosphere, anomalously high ozone persisted in the lower stratosphere through March. Given the lack of solar insolation at the pole in January when the SSW occurred, concurrent changes in ozone likely had minimal effects on UV radiation at the surface or feedbacks on the circulation (section 5j).

**Influence on weather patterns and their predictability**

The 2021 SSW showed a downward influence on the polar atmospheric circulation from the upper stratosphere to the surface for six weeks after the event (as is typical of most SSWs), with anomalously high geopotential heights (Fig. SB5.3a) and sea level pressure over the Arctic, and anomalously low sea level pressure over the North Atlantic (Figs. SB 5.4b,d). This pattern is a signature of the downward influence of the stratosphere and represents the negative phase of the North Atlantic Oscillation (NAO). The January NAO was at its lowest value since 2010 (Lee 2021). During the 6-week period following the SSW, surface temperatures were anomalously high over Greenland and the Canadian Arctic and anomalously low over Europe, northern Asia, and the United States, with a cold air outbreak first occurring over Europe and northern Asia during January and then over North America in the first two weeks of February (section 5b).
This SSW, like most other SSWs (Domeisen et al. 2020), was not well predicted by sub-seasonal to seasonal forecast systems more than two weeks in advance (Rao et al. 2021). However, only those forecast members that predicted a reversal of the polar vortex winds were able to predict the persistent cold anomalies over Eurasia following the event (Rao et al. 2021). Recent research (Kretschmer et al. 2018) suggests that the location of the polar vortex as the SSW evolves may be linked to where the most significant weather extremes occur. The SSW in 2021 provided at least anecdotal evidence for these effects. The coldest temperature anomalies first occurred over Eurasia in mid-January (Fig. SB5.4b), as the vortex became elongated and shifted towards that region (Fig. SB5.4a; Wright et al. 2021; Zhang et al. 2022). During this time, most of the United States was anomalously warm. As the vortex began to elongate towards Canada in early February (Fig. SB5.4c; Cohen et al. 2021), an extreme cold air outbreak occurred over the central United States (Fig. SB5.4d), leading to massive power outages, damage to infrastructure, loss of life, and economic losses estimated at $130 billion (U.S. dollars) in Texas alone (Busby et al. 2021; see section 7b2). Nonetheless, though the SSW increased the likelihood of cold-air outbreaks in the subsequent weeks (Huang et al. 2021), model experiments suggest limited direct influence of the SSW itself on the central United States cold-air outbreak (Davis et al. 2022; Zhang et al. 2022.)

Fig. SB5.4. Stratospheric evolution and associated surface impacts of the January 2021 SSW, according to ERA5 reanalysis. Two periods following the SSW are shown, (a,b) 5–30 January and (c,d) 1–16 February. (a,c) shows the 10-hPa geopotential heights (dam; contours) and anomalies (dam; shading), while (b,d) shows the sea level pressure anomalies (hPa; contours) and near-surface (2m) temperature anomalies (°C; shading).
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a (Overview)
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e (Greenland ice sheet)
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Thomas Mote was supported by National Science Foundation #1900324. Sentinel-3 SICE data processing via PolarView.org is made possible by the European Space Agency (ESA) Network of Resources. Summit Station is owned and operated by the National Science Foundation Office of Polar Programs with permission from the Government of Greenland. Data from land-based weather stations in Greenland were provided by the Danish Meteorological Institute, and Summit Station data provided by NOAA GEOSummit.

j (Ozone and UV radiation)
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## Appendix 1: Chapter 5 – Acronyms

<table>
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<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>ALT</td>
<td>active layer thickness</td>
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<tr>
<td>AMJ</td>
<td>April, May, June</td>
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<tr>
<td>AMSR2</td>
<td>Advanced Microwave Scanning Radiometer</td>
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<td>AO</td>
<td>Arctic Oscillation</td>
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<td>AON</td>
<td>Arctic Observing Network</td>
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<td>ARC</td>
<td>Arctic Report Card</td>
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<tr>
<td>AVHRR</td>
<td>Advanced Very High Resolution Radiometer</td>
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<td>AWS</td>
<td>Automated Weather Station</td>
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<td>B$_{clim}$</td>
<td>climatic mass balance</td>
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<td>CALM</td>
<td>Circumpolar Active Layer Monitoring program</td>
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<tr>
<td>CCI</td>
<td>Climate Change Initiative</td>
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<tr>
<td>CRUTEM</td>
<td>Climatic Research Unit Temperature</td>
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<td>DMI</td>
<td>Danish Meteorological Institute</td>
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<tr>
<td>DMSP</td>
<td>Defense Meteorological Satellite Program</td>
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<tr>
<td>DU</td>
<td>Dobson unit</td>
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<tr>
<td>EASE-Grid</td>
<td>Grid Equal Area Scalable Earth Grid</td>
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<td>European Centre for Medium-range Weather Forecasts</td>
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<td>GRACE</td>
<td>Gravity Recovery and Climate Experiment</td>
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<tr>
<td>ICESat-2</td>
<td>Ice, Cloud, and land Elevation 2 laser altimeter</td>
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<tr>
<td>IMS</td>
<td>Interactive Multisensor Snow and Ice Mapping System snow cover product</td>
</tr>
<tr>
<td>JAS</td>
<td>July, August, September</td>
</tr>
<tr>
<td>JFM</td>
<td>January, February, March</td>
</tr>
<tr>
<td>JJA</td>
<td>June, July, August</td>
</tr>
<tr>
<td>MaxNDVI</td>
<td>Maximum Normalized Difference Vegetation Index</td>
</tr>
<tr>
<td>MERRA-2</td>
<td>Modern-Era Retrospective Analysis for Research and Applications version 2 product</td>
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<tr>
<td>MLS</td>
<td>Microwave Limb Sounder</td>
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<tr>
<td>MODIS</td>
<td>Moderate Resolution Imaging Spectroradiometer</td>
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<td>NCEP</td>
<td>National Center for Environmental Prediction</td>
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<td>NCAR</td>
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<td>NDVI</td>
<td>Normalized Difference Vegetation Index</td>
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<td>National Snow and Ice Data Center</td>
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<td>Ozone Monitoring Instrument</td>
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<td>OND</td>
<td>October, November, December</td>
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<td>PM</td>
<td>Passive Microwave</td>
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<tr>
<td>PROMICE</td>
<td>Program for the Monitoring of the Greenland Ice Sheet</td>
</tr>
<tr>
<td>PSC</td>
<td>polar stratospheric clouds</td>
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</table>
SAT  surface air temperature
SCD  snow cover duration
SCE  snow cover extent
SMB  surface mass balance
SLP  sea level pressure
SMOS Soil Moisture and Ocean Salinity mission
SSMIS Special Sensor Microwave Imager/Sounder
SSTs sea surface temperature
SSW Sudden Stratospheric Warming
SWE snow water equivalent
TOC Total Ozone Columns
UV  Ultraviolet
UVI Ultraviolet Index
VIIRS Visible Infrared Imaging Radiometer Suite
VIR Visible and Infrared


Smedsrud, L. H., and Coauthors, 2022: Nordic Seas heat loss, Atlantic inflow, and Navigator Sea Ice Motion and Age Production at the National Snow and Ice Data Center Distributed Active Archive Center, accessed 1 September 2021, https://doi.org/10.5067/UTAVT7940FEPB.


