Arctic Sea Ice Retreat in 2007 Follows Thinning Trend

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ABSTRACT

The minimum of Arctic sea ice extent in the summer of 2007 was unprecedented in the historical record. A coupled ice–ocean model is used to determine the state of the ice and ocean over the past 29 yr to investigate the causes of this ice extent minimum within a historical perspective. It is found that even though the 2007 ice extent was strongly anomalous, the loss in total ice mass was not. Rather, the 2007 ice mass loss is largely consistent with a steady decrease in ice thickness that began in 1987. Since then, the simulated mean September ice thickness within the Arctic Ocean has declined from 3.7 to 2.6 m at a rate of \( \frac{0.57}{1.1002} \) m decade\(^{-1}\). Both the area coverage of thin ice at the beginning of the melt season and the total volume of ice lost in the summer have been steadily increasing. The combined impact of these two trends caused a large reduction in the September mean ice concentration in the Arctic Ocean. This created conditions during the summer of 2007 that allowed persistent winds to push the remaining ice from the Pacific side to the Atlantic side of the basin and more than usual into the Greenland Sea. This exposed large areas of open water, resulting in the record ice extent anomaly.

1. Introduction

Arctic sea ice retreated dramatically in the summer of 2007, shattering the previous record low ice extent set in 2005 by 23% (Stroeve et al. 2008; Comiso et al. 2008). Figure 1 shows the extent of the Arctic sea ice each September (the month of minimum extent) since the beginning of the satellite data record in 1979. The extent in 2007 falls 4 standard deviations of the residuals (4\( \sigma \)) below the downward linear trend for 1979–2006. What caused this precipitous drop?

The monthly average extent of Arctic sea ice has been declining in every season and every region since the beginning of the satellite record (Meier et al. 2007; Parkinson and Cavalieri 2008). The decline of perennial sea ice has been faster than the decline of the ice cover as a whole (Comiso 2002), implying a shift toward less multiyear ice and more first-year ice and thus a thinner ice pack (Maslanik et al. 2007).

The anomalous sea ice retreat in the summer of 2007 occurred mainly on the Pacific side of the Arctic basin. Kwok (2008) used satellite data to calculate the areal advection of sea ice from the Pacific to the Atlantic sector of the basin during the summers of 2003–07 using ice motion derived from passive microwave measurements. The largest flux (0.48 \( \times 10^6 \) km\(^2\)) occurred in the summer of 2007, accounting for 15% of the total ice retreat in the Pacific sector, the balance being lost to melt. Persistently high atmospheric surface pressure over the Beaufort Sea and low pressure over the Laptev Sea drove southerly winds from eastern Siberia that brought warm air to the Pacific sector and pushed the ice northward (Maslanik et al. 2007).

The motion of sea ice is mainly wind driven. The anticyclonic Beaufort Gyre recirculates ice in the western Arctic, allowing it to become older and hence thicker, while the Transpolar Drift Stream advects ice across the basin and out through Fram Strait. Rigor et al. (2002) describe how high Arctic Oscillation (AO) conditions from 1989 until about 1995 flushed large amounts of the older, thicker ice out of the basin. Lindsay and Zhang (2005) call this episode of high AO years the trigger that propelled sea ice into the current regime of increasing amounts of summer open water, solar heat absorption, and reduced winter ice growth. This results in thinner first-year ice that is more likely to melt completely away during the subsequent summer.

What special factors in 2007 led to the precipitous decrease in summer ice extent? Was a critical threshold in the ice–ocean system passed or was it simply unusual weather? Was the state of the ice–ocean system at the beginning of the year the most important factor or were
highly anomalous winds or temperatures in 2007 solely responsible for the decline? Our objective in this paper is to quantify the importance of each factor and to use the events of 2007 to further our understanding of how the ice–ocean system is evolving in the modern epoch of a warming Arctic.

The analysis of Zhang et al. (2008) gives a month-by-month interpretation of the events of 2007. They show that there was increased ice mass advection from the Pacific sector to the Atlantic sector of the Arctic Ocean and into the Greenland Sea, caused primarily by anomalous winds in July, August, and September, and that this increase in advective loss increased the open-water area and set the stage for increased absorption of solar flux and consequently increased melt of ice volume. The present analysis provides a longer-term perspective and puts the events of 2007 into the context of a changing ice cover over the period 1987–2006. We also expand our analysis and include ice mass and ice area budgets to help further illuminate the mechanisms involved in the sea ice anomaly of 2007.

The paper is organized as follows: section 2 introduces the model, the forcing fields, and the validation studies. Section 3 presents an analysis of the changes in the ice mass, area, and extent; the ice thickness distribution; and the open-water formation efficiency. Section 4 presents budget estimates for the mass, area, and extent in terms of the net melt and advection. Section 5 presents the results of a numerical experiment that changes the wind forcing for the model, and the final section presents a short discussion of the many factors leading to the thinning of the ice and the sharp reduction in the ice extent.

2. The coupled ice–ocean model

a. Model description

In this study, we use the Pan-Arctic Ice–Ocean Modeling and Assimilation System (PIOMAS) based on the Parallel Ocean and Ice Model (POIM) of Zhang and Rothrock (2003). PIOMAS consists of the Parallel Ocean Program (POP) ocean model (e.g., Smith et al. 1992; Dukowicz and Smith 1994) coupled to a multilayer category thickness and enthalpy distribution (TED) sea ice model (Zhang and Rothrock 2001; Hibler 1980). The POP model is a Bryan–Cox–Semtner-type ocean model (Bryan 1969; Cox 1984; Semtner 1986) with numerous improvements, including an implicit free-surface formulation of the barotropic mode and model adaptation to parallel computing. The TED sea ice model consists of five main components: 1) a momentum equation that determines ice motion, 2) a viscous–plastic ice rheology that determines the internal ice stress, 3) a heat equation that determines the ice temperature profile and ice growth or decay, 4) an ice thickness distribution equation that conserves ice mass, and 5) an enthalpy distribution equation that conserves ice thermal energy. The TED sea ice model has 12 categories each for ice thickness, ice enthalpy, and snow depth; it uses a line successive relaxation (LSR) dynamics model (Zhang and Hibler 1997) to solve the ice momentum equation with a teardrop plastic ice rheology that allows biaxial tensile stress (Zhang and Rothrock 2005).

The PIOMAS configuration is based on a generalized orthogonal curvilinear coordinate system, covering the Arctic Ocean, North Pacific, and North Atlantic. The northern grid pole is displaced into Greenland and the mean horizontal resolution is about 22 km for the Arctic Ocean. The ocean model’s vertical dimension has 30 levels of varying thicknesses, with six 5-m-thick levels for the surface waters. The POP ocean model is modified so that open boundary conditions can be specified along the model’s southern boundaries along 43°N. The open boundary conditions of sea surface height and ocean velocity, temperature, and salinity are obtained from a run of a global version of POIM (Zhang 2005).

The model is forced with daily National Centers for Environmental Prediction–National Center for Atmospheric Research (NCEP–NCAR) reanalysis (Kalnay et al. 1996) fields of 10-m surface winds, 2-m surface air temperature (SAT), specific humidity, precipitation, evaporation, downwelling longwave radiation (DLR), sea level pressure (SLP), and cloud fraction. SAT and cloud fraction are used to calculate downwelling shortwave radiation (DSR) following Parkinson and Washington (1979). No data assimilation is used in this study.

b. Validation

Figure 1 shows a time series of modeled and satellite-observed September sea ice extents from 1979 through
2007. The modeled September ice extent is highly correlated with the observations, with a linear correlation coefficient of $R = 0.92$ ($R = 0.83$ if the trends are removed). The model overestimates ice area and extent in 2007 relative to the observations. It underestimates the large drop in 2007 extent, so it also possibly underestimates ice thickness decreases as well. Figure 2 shows maps of the mean September simulated ice thickness and the simulated and satellite-observed ice extents. The modeled ice extent (the region with ice concentrations greater than 0.15) exceeds that of the observations but there is a good match in the overall pattern. The simulated ice motion is validated through comparison with buoy tracks from the International Arctic Buoy Program. The annual mean vector correlation for daily velocities is $R = 0.88$, with simulated ice speed exceeding observations by 8% on an annual average. The bias in recent years, since 1991, is less, averaging 5%, and in 2007 it was near zero. The overestimate of the ice speed in some years may mean that the advective processes in the analysis below are overestimated as well.

The model ice draft is compared to recent observations of the ice draft made with upward-looking sonar (ULS) instruments moored to the ocean floor in five locations (Fig. 3) between 2001 and 2005. The ice draft exhibits a bias between the North Pole, where the model drafts are too thin, and the Beaufort Sea, where the model drafts are too thick. This bias has been observed in a similar model before (Rothrock et al. 2003; Lindsay and Zhang 2005). Within each region the correlation of the model draft with the measured draft is high: $R = 0.81$ at the North Pole and $R = 0.86$ in the Beaufort Sea. The correlation between the model-simulated ice thickness and submarine observations available over 1987–97 is 0.71, with a mean bias of 0.06 m.

![Fig. 2. Simulated (a) ice thickness and (b) ice concentration for September 2007. The observed ice extent line (0.15 contour) is drawn in black and the simulated ice extent is in white (dashed). The observed extent is from the SSM/I NASA team ice concentration dataset (Meier et al. 2006).](image1)

![Fig. 3. Comparison of the model draft to ULS draft measurements from five mooring locations: triangle, Institute of Ocean Sciences, 2003–05; diamonds, Beaufort Gyre Exploration Project, 2003–05; and square, North Pole Environmental Observatory, 2001–03.](image2)
3. Basinwide properties of the ice cover

We first consider area-averaged quantities to investigate temporal changes in the ice. Our analysis focuses on the Arctic Ocean basin defined to include the marginal seas but not including the Bering, Barents, and Greenland Seas nor the Canadian Archipelago. Clear definitions for different diagnostics of sea ice are needed. Mean ice thickness in our analysis includes the open-water category. Ice volume is the total volume of sea ice and is thus the product of the mean ice thickness and the total area of a region. Here, we report the volume in terms of the mean ice thickness over the Arctic Ocean basin. Ice area is the total area of a region covered by sea ice. Ice concentration is the fractional area of a region that is covered with sea ice. Ice extent refers to the area that is covered by ice with a concentration of greater than 0.15. Thus, the ice area is equal to or smaller than the ice extent because little of the ice is in regions with concentrations of less than 0.15.

a. Ice thickness, area, and extent

The simulated mean ice thicknesses in May (annual maximum) and September (annual minimum) for the Arctic Ocean are shown in Fig. 4. The thickness began its modern decline in about 1987 with a period of very strong positive Arctic Oscillation index in 1989–93 (Rigor and Wallace 2004; Lindsay and Zhang 2005). The 1987–2006 trend lines in Fig. 4 show a rate of reduction in the thickness of $-0.47$ m decade$^{-1}$ in May and $-0.57$ m decade$^{-1}$ in September (see Table 1). If extrapolated forward in time (always a chancy exercise and not meant as a forecast here), the September ice will have zero thickness between 2025 and 2030. Significance tests for the trend are not appropriate because the fit interval was selected based on the data.

In a changing climate, a meaningful benchmark for the magnitude of the anomalies is with respect to the anomalies about the trend. We use the quantity $\sigma$, defined as the standard deviation of the residuals of the fit for the linear trend, to measure how far from the trend line a quantity is relative to the variability about the trend, that is, how unusual it is relative to the trend. The 2007 mean thickness values are record minima, but are not far from the trend lines for May and September, $-0.19\sigma$ and $-0.75\sigma$, respectively. The trend line accounts for 83% of the variance ($R^2$) in the September mean ice thickness.

The September ice area and extent also show consistent declines since 1987 but the interannual variability is much higher, as reflected in the percent of the variance explained by the trend lines, 39% and 17%, respectively. However, the deviations of the 2007 values from the trend lines are much higher than for the ice thickness, $-2.24\sigma$ and $-2.86\sigma$ (Table 1).

Why is the relative variance about the trend line ($1 - R^2$) for ice area and extent greater than for the thickness? The relative variances for the ice area and extent are larger because a small change in ice thickness can result in a large change in the area covered by ice. For thin ice a small amount of melt can rapidly decrease the ice area or, conversely, ice growth can rapidly cover large areas of open water with ice. The ice extent is further subject to variable amounts of movement of the ice pack, which depends on wind forcing but also on ice compactness, which may limit how much the ice can move. The compactness of the ice pack (the ratio of ice area to ice extent) in August, the month of minimum compactness, has been decreasing in the last 20 yr because the area is declining faster than the extent. A less compact ice pack is more easily moved to one side of the basin and thus allows for greater variability in the ice extent.

b. Thickness distribution

The relationship between changes in ice thickness and area is represented in Fig. 5, which shows the cumulative distribution of the ice thickness averaged over the Arctic Ocean for the months of May and September, $G_{\text{May}}(h)$ and $G_{\text{Sep}}(h)$. For both months, more area is covered with thin ice in recent years. In May 1987,
The May and September columns are the mean for the month. The melt and export columns are the total contribution of each process for 1 May–30 Sept averaged over the basin. The Fram Strait export is the total for May–September; the volume export is expressed as meters of ice over the entire basin and the area and extent export as a fraction of the basin area. Here, $\sigma$ is the trend anomaly divided by the std dev of the residuals of the linear trend line. Values with magnitude greater than 2 are set in boldface.

TABLE 1. Ice thickness, area, and extent for the Arctic Ocean, May–September.*

<table>
<thead>
<tr>
<th>Ice thickness</th>
<th>May mean</th>
<th>September mean</th>
<th>Total melt</th>
<th>Total export</th>
<th>Total change</th>
<th>Fram Strait export</th>
</tr>
</thead>
<tbody>
<tr>
<td>1987–2006 mean (m)</td>
<td>3.03</td>
<td>1.73</td>
<td>−1.15</td>
<td>−0.10</td>
<td>−1.25</td>
<td>−0.11</td>
</tr>
<tr>
<td>Trend (m decade$^{-1}$)</td>
<td>−0.47</td>
<td>−0.57</td>
<td>−0.12</td>
<td>0.04</td>
<td>−0.11</td>
<td>0.05</td>
</tr>
<tr>
<td>$R^2$ of trend</td>
<td>0.87</td>
<td>0.83</td>
<td>0.31</td>
<td>0.04</td>
<td>0.19</td>
<td>0.05</td>
</tr>
<tr>
<td>2007 (m)</td>
<td>2.51</td>
<td>1.01</td>
<td>−1.35</td>
<td>−0.13</td>
<td>−1.48</td>
<td>−0.10</td>
</tr>
<tr>
<td>2007 trend anomaly (m)</td>
<td>−0.02</td>
<td>−0.12</td>
<td>−0.07</td>
<td>−0.05</td>
<td>−0.11</td>
<td>−0.04</td>
</tr>
<tr>
<td>$\sigma$</td>
<td>−0.19</td>
<td>−0.75</td>
<td>−0.62</td>
<td>−0.97</td>
<td>−0.84</td>
<td>−1.11</td>
</tr>
<tr>
<td>Ice area</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1987–2006 mean (fraction)</td>
<td>0.97</td>
<td>0.65</td>
<td>−0.21</td>
<td>−0.03</td>
<td>−0.22</td>
<td>−0.03</td>
</tr>
<tr>
<td>Trend (fraction decade$^{-1}$)</td>
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<td>−0.07</td>
<td>−0.05</td>
<td>0.09</td>
<td>−0.06</td>
<td>0.05</td>
</tr>
<tr>
<td>$R^2$ of trend</td>
<td>0.02</td>
<td>0.39</td>
<td>0.31</td>
<td>0.09</td>
<td>0.32</td>
<td>0.05</td>
</tr>
<tr>
<td>2007</td>
<td>0.97</td>
<td>0.45</td>
<td>−0.38</td>
<td>−0.10</td>
<td>−0.45</td>
<td>−0.04</td>
</tr>
<tr>
<td>2007 trend anomaly (fraction)</td>
<td>0.01</td>
<td>−0.12</td>
<td>−0.11</td>
<td>−0.05</td>
<td>−0.16</td>
<td>−0.01</td>
</tr>
<tr>
<td>$\sigma$</td>
<td>0.49</td>
<td>−2.24</td>
<td>−2.31</td>
<td>−1.75</td>
<td>−2.74</td>
<td>−1.38</td>
</tr>
<tr>
<td>Ice extent</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1987–2006 mean (fraction)</td>
<td>1.00</td>
<td>0.81</td>
<td></td>
<td></td>
<td>−0.19</td>
<td>−0.04</td>
</tr>
<tr>
<td>Trend (fraction decade$^{-1}$)</td>
<td>0.00</td>
<td>−0.07</td>
<td></td>
<td></td>
<td>−0.06</td>
<td>0.11</td>
</tr>
<tr>
<td>$R^2$ of trend</td>
<td>0.07</td>
<td>0.17</td>
<td></td>
<td></td>
<td>0.18</td>
<td>0.11</td>
</tr>
<tr>
<td>2007</td>
<td>1.00</td>
<td>0.60</td>
<td></td>
<td></td>
<td>−0.40</td>
<td>−0.05</td>
</tr>
<tr>
<td>2007 trend anomaly (fraction)</td>
<td>0.00</td>
<td>−0.16</td>
<td></td>
<td></td>
<td>−0.16</td>
<td>−0.02</td>
</tr>
<tr>
<td>$\sigma$</td>
<td>0.22</td>
<td>−2.86</td>
<td></td>
<td></td>
<td>−2.89</td>
<td>−1.48</td>
</tr>
</tbody>
</table>

* The May and September columns are the mean for the month. The melt and export columns are the total contribution of each process for 1 May–30 Sept averaged over the basin. The Fram Strait export is the total for May–September; the volume export is expressed as meters of ice over the entire basin and the area and extent export as a fraction of the basin area. Here, $\sigma$ is the trend anomaly divided by the std dev of the residuals of the linear trend line. Values with magnitude greater than 2 are set in boldface.

about 50% of the area (the 0.5 line) is covered by ice thinner than 2.0 m and in May 2007 about 70% of the area (the 0.7 line) has ice less than 2 m thick. The area covered by ice less than 1 m thick in September increases from 50% in 1987 to 70% in 2007. The most notable aspect of the changes in the simulated ice thickness distribution is that 2007 is not unusual when considered as part of a 20-yr trend that started in about 1987. In September 2007 about 60% of the area of the basin was ice free. The 0.6 line has been dropping toward the zero thickness level since 1987, albeit with some considerable interannual variability.

The reduction in the September ice area arises from both a decline in the area covered by thick ice and a steady increase in the amount of summer ice melt and export. The dashed line in the May distribution plot shows the mean loss of ice over the Arctic basin from May to September (the difference in the two ice thickness lines in Fig. 4 and also the “change” column in Table 1). A rough approximation of the amount of open water in September may be found in the May thickness distribution as the amount of area in May with ice thickness less than the amount lost through melt and export from May through September, $\Delta h$: $G_{\text{Sept}}(\theta) = G_{\text{May}}(\Delta h)$. In 1987 the equivalent of slightly more than 1.0 m of ice was either melted or exported, enough to produce 20%–30% open water as seen in the September distribution at zero thickness. In 2007 the summer loss of ice is near 1.5 m. This amount of ice loss would have produced 30%–40% open water in 1987, but with the changes in the thickness distribution, it produces 50%–60% open water in 2007. This relationship between the ice thickness distribution and the summer melt is summarized as the open-water formation efficiency.

This concept was introduced by Holland et al. (2006) to analyze the nature of abrupt ice declines seen in general circulation model simulations. The open-water formation efficiency (OWFE) is the open-water fraction formed between May and September for each meter of melt. We expect that the OWFE would increase if more of the spring ice area is thin. As with the thickness distribution, the year 2007 can also be viewed as being consistent with recent trends in terms of the OWFE. Figure 6 shows the OWFE over the Arctic Ocean and the OWFE plotted against the mean of the OWFE. Figure 6 shows the OWFE over the Arctic Ocean and the OWFE plotted against the mean of the OWFE. The years are color coded to make it apparent that the most recent year has the highest OWFE. In 2007 more open water was formed per meter of melt than in any other year. This rate, however, is not unexpected given the gradual increase in OWFE seen over the past decades. The OWFE is expected to increase sharply as the ice thickness in March decreases even more (Holland et al. 2006).
4. Budgets for the ice mass, area, and extent

a. Ice mass budget

To gain a better understanding of the physical processes that link changes in ice properties over the past 29 yr with the sea ice anomaly of 2007, we compute budgets that allow the separation of advective and thermodynamic processes. To understand how ice area and mass are affected by these processes during summer, separate mass and area budgets are computed for the melt season. Changes in the ice mass within the Arctic Ocean are due to ice export or ice production (either melt or freezing). The local change in ice thickness $\Delta h$ over a time interval $\Delta t$ can be described by a simple imbalance between the local net thermodynamic ice production $\Delta h_p$ and the local net ice advection (mass flux convergence), $\Delta h_{\text{adv}} = -\nabla (uh) \Delta t$, due to ice motion $u$, such that $\Delta h = \Delta h_p + \Delta h_{\text{adv}}$. Integrating the imbalance between local ice advection and ice production over the whole Arctic Ocean yields $\Delta H = P_h + E_h$, where the change in the basin-wide mean ice thickness ($\Delta H$) in a given time is due to an imbalance between the total ice production $P$ inside the ocean domain and ice export $E$ at its open boundaries, mainly at Fram Strait.

Figure 7 shows the May–September total ice export and production for the Arctic Ocean. Ice production is substantially negative, reflecting the strong summer melt. [Note that the total annual production is in fact positive, reflecting net growth, which is approximately balanced by the net export; e.g., Steele and Flato (2000)]. May–September ice production is more variable than the ice export and shows a general downward trend since 1987. The total melt in 2007, a near-record maximum, is not far below the 1987–2006 trend line ($-0.62 \sigma$; Table 1). The net summer ice export in 2007 is farther below the trend line relative to the variability ($-0.97 \sigma$). The trend slopes slightly upward, indicating decreasing ice export due to thinner ice transiting Fram Strait (Lindsay and Zhang 2005).

The annual cycle of the mean ice thickness for the...
most recent 8 yr is shown in Fig. 8. The mean thickness for 2007 is below that of all the recent years and is particularly low in the early fall. The cumulative ice export since the first of the year is not anomalous in 2007 compared to recent years, although there is greatly accelerated export in the late summer (as in Zhang et al. 2008). However, the cumulative ice production, while it began the year at a greater than normal rate, was below normal by the end of the summer. July shows a steep drop in production (increased melting) compared to recent years, while the late fall shows a rapid increase in ice production as would be expected for the large areas of thin ice and open water when the sun sets.

The anomalous ice production and advection terms for 2007, integrated from May through September, are shown in the maps in Fig. 9. A large area of anomalous advective ice mass loss in the Pacific sector extends toward the North Pole. This strong transpolar drift is also observed by the drift of buoys and in the Advanced Microwave Sounding Radiometer for the Earth Observing System (AMSR-E) passive microwave measurements (Kwok 2008). The anomalous drift is on the order of 1000 km over the summer (half the length of the box containing the color bar). An area of anomalous ice mass convergence is seen near the Laptev Sea and northwest near Severnaya Zemlya. A broad band of anomalous melt, over 1 m in some locations, extends from the Beaufort Sea to the Laptev Sea, mostly south of the final ice edge. In most of the Eurasian sector, the melt rate was near normal. Small areas of positive anomaly in the East Siberian Sea and southern Beaufort Sea are caused by a lack of ice, so the ice loss there is less than normal. Averaged over the basin, there was 1.48 m of ice loss from the first of May to the end of
September in 2007, of which 91% was from melt and 9% from export. This loss was $-0.11$ m less than the 1987–2006 trend line ($-0.84\sigma$).

### b. Ice area budget

As with the ice thickness, a budget may be formulated for the area covered by ice. The changes in the ice area during the summer melt can be quite different from changes in the ice thickness. If the ice is thick, melt may not significantly change the ice-covered area, while if it is thin, it will. The ice area budget shows where and when changes in the ice area, as opposed to changes in the ice thickness, are caused by melt or ice area convergence.

The change in the fractional area covered by ice (the ice concentration) can be computed in terms of the production of the area due to melt or freezing and the change due to advection. Let the fraction of the area covered by sea ice be $a$, the area production due to net ice area advection (net area convergence) be $\Delta a_{\text{adv}} = -\nabla \cdot (u a) \Delta t$, and that due to production (thermodynamic melt or freezing) be $\Delta a_p$ (some ice area can be lost to local ridging of ice and it is also included in this term). The change in the ice area over a time period is then $\Delta a = \Delta a_p + \Delta a_{\text{adv}}$.

The monthly change in the ice-covered area and the monthly advection of ice area are computed from the monthly model output (mean ice velocity and ice area) for each month and each location, and the thermodynamic production is determined as a residual. Integrating the imbalance between local ice advection and ice production over the whole Arctic Ocean yields $\Delta A = P_a + E_a$, where the change in the basin-wide mean ice area $\Delta A$ in a given time is due to an imbalance between the total ice area production $P_a$ inside the ocean domain and the ice area export $E_a$ at its open boundaries, mainly at Fram Strait.

The spatial patterns of melt and advection are similar to those for the ice thickness, but the budget is able to quantify just what the proportion of the ice area loss is from each of these two processes (see Table 1). The annual May–September ice area losses from advection and melt are represented in Fig. 10. Both the melt and export terms for 2007 are below their trend lines ($-2.31\sigma$ and $-1.75\sigma$, respectively; Table 1). The spatial patterns of the net area advection anomalies and area production anomalies are shown in Fig. 11. These maps clearly show that the melt in 2007 created most of the open water in the Pacific sector, similar to the mass melt pattern, but more widespread. Advection is also important in some locations and a broad region of net advective loss is formed in the Transpolar Drift Stream as low ice concentrations are advected farther north. Ice area divergence is important primarily in the Beaufort Sea. Some area convergence is seen in the region north of Canada and northwest of the Laptev Sea. The area budget shows that, in 2007, 38% of the basin was cleared of ice by melt and 10% by export (Table 1).

### c. Ice extent

The persistent southerly winds in some parts of the Pacific sector had an important influence on the ice extent in that the edge was moved 1000 km north. Figure 12 shows the end-of-August ice edge if it were advected backward 4 months to the likely end-of-April position using the monthly mean ice velocities. A large region of the Pacific sector was cleared of ice by the persistent southerly winds. The area between the end-of-May and end-of-August positions (the shaded area in Fig. 12) amounts to $0.85 \times 10^6$ km$^2$, or 13% of the basin. According to these simulations, of the 40% of the basin that was ice free in September, about one-third (13%/40%) was created by ice moving out of the Pacific sector.

The area cleared of ice by the persistent southerly winds in the simulations is about 75% more than that observed in the observational study by Kwok (2008). He used AMSR-E 18-GHz brightness temperature images to track the summer ice (June–September) for the years 2003–07 and determined that in 2007 the net ice area exported from the Pacific sector to the Atlantic sector over a straight line across the basin amounted to $0.48 \times 10^6$ km$^2$, 15% of the summer ice retreat. Much of the discrepancy between our estimate and Kwok’s may be due to the different methods used to determine the ice transport, but the difference is also due to errors in the model’s representation of ice velocity.

If we divide the basin into an Atlantic sector and a Pacific sector based on the ice edge in September, the Pacific sector occupies 38% of the basin. From May through September, ice representing 13% of the basin...
was advected out of the Pacific sector and 25% was removed by melt. In the Atlantic sector, ice representing 13% of the basin area was advected in from the Pacific side, 4% was exported through Fram Strait (Table 1), 2% through other passages, and 7% was lost by melt. The total net convergence of the ice pack in the Atlantic sector amounted to only 1% of the basin area.

5. Sensitivity experiment with alternate winds

Was the atmospheric forcing of 2007 particularly unusual or would winds or air temperatures from other years have produced ice extents just as low? This question is addressed with model simulations in which the wind forcing is taken from each of the last 28 yr but the daily thermal forcings (SAT, DLW, and DSW) are taken to be always the same, the 28-yr mean from 1979 to 2006. The initial ice and ocean conditions each year are always from the recent thin-ice conditions of 1 January 2007. This experiment is designed to test the importance of the 2007 winds in establishing the large ice retreat and to determine if the 2007 winds were highly unusual in this regard. The results are compared to a control run (Fig. 13, dashed line), which is a retrospective analysis in which all forcings change normally and January conditions evolve normally.

The 2007 result for the experiment shows that the ice is both thicker and more extensive than in the control run because of the much cooler thermal forcing derived from the mean of the previous years. However, the winds from 2007 did not produce an ice thickness or extent that was particularly unusual compared to the other years in the experiment. Winds from 10 of the years produced ice thinner than that from 2007 and winds from five years produced ice extents lower than those from 2007, all given the same thermal forcing. Even though constant thermal forcings dampen the response of the system to the different wind forcings because the air temperature cannot respond to the changing surface conditions, we note that winds alone can produce significant variability in the ice extent from year to year. We therefore conclude that 2007 was not an unusual year in this respect. Winds that pushed the ice from the Pacific side of the basin to the Atlantic side...
were not highly unusual in their impact on the ice extent and would not have produced the ice extent anomaly without prior thinning or anomalous melt.

Analogous experiments (not shown) were also performed with January initial conditions taken from 2007 and both the winds and the thermal forcings taken from each of the past years. The ice thickness is thinner than the control, but consistently thicker than in 2007. However, the ice extent is very strongly forced by the thermal fluxes, so the ice extent more closely follows the control run. These experiments demonstrate the importance of ice–ocean–atmosphere interactions and illustrate that only limited conclusions can be drawn from uncoupled models about the relative importance of thermal forcings.

6. Discussion and conclusions

The total volume of summer sea ice has been on a downward trend since 1987, and the volume in the summer of 2007 was not far below the trend line. While the amount of ice volume melt and ice export in summer 2007 were higher than normal, they were not greatly different from what might be expected based on the trends over the last 20 yr. Thus, the unusual retreat of the sea ice was preconditioned by decades of gradually warming temperatures and the replacement of older ice by younger ice, resulting in a thinner ice pack. Though winds were anomalous during 2007, it appears that they would have had little impact on the sea ice extent without this preconditioning.

The ice has now reached a stage in the thinning process where large reductions in ice extent can be expected for modest increases in the amount of melt (Maslanik et al. 2007). The thinner ice is more susceptible to large reductions in ice extent because (a) more open water is created per meter of vertical melt (the open-water formation efficiency) and (b) thinner ice is weaker and hence responds more readily to wind forcing, allowing the ice to be more easily driven away from the coast or out of the basin.

What we do not directly address in this study are the basic causes of the thinning ice pack. The fundamental cause is likely the warming of the global atmosphere and ocean due to increasing greenhouse gases, though the physical processes linking this warming to the decline in sea ice are not clear at this point. The Arctic atmosphere appears to have warmed not only at the surface, which could be a response to the thinning ice as much as a cause, but at all levels up to at least 300 mb. The Arctic warming to this level is linked to global warming in a study by Graversen et al. (2007), who conclude that much of the present warming above the surface is not due to surface changes but to atmospheric energy transport from southern latitudes.

A number of feedbacks exaggerate the warming trends. The warming of the atmosphere, particularly in the spring, has led to earlier onset of melt (Belchansky et al. 2004). This earlier melt onset changes the albedo of the snow surface in a way that has repercussions throughout the melt season. Perovich et al. (2007) conclude that the total amount of solar energy absorbed by the ice and ocean is strongly related to the date of melt onset, but only weakly related to the total duration of the melt season or the onset of freeze-up. The timing of melt onset is significant because the solar elevation is high in the late spring and a change in the surface albedo and the surface energy balance at this time propagates through the entire melt season, affecting the absorbed solar flux until the sun is again low. The increasing amounts of ice loss during the summer are largely a result of the changing albedo of the surface caused by earlier onset of melt, more thin ice, and more open water (Lindsay and Zhang 2005).

The ice–albedo feedback was particularly strong in 2007. Perovich et al. (2008) found a sixfold increase (relative to the 1990s) in bottom melt at the location of a mass balance buoy in the Beaufort Sea but only normal amounts of surface melt. This was caused by a 500% increase (relative to 1979–2005 average) in the absorbed solar flux due chiefly to more open water and a small anomaly (6%) in downwelling solar radiation.
The anomaly in downwelling solar radiation and potentially increased melt rates were due to persistent high pressure in the Beaufort Sea region that brought unusually clear skies (Kay et al. 2008). However, the anomalous downwelling solar flux was not a key component of the large retreat of ice in 2007 according to a modeling study by Schweiger et al. (2008). They conclude that the anomalous radiative flux was not in the region where the ice retreated most dramatically and numerical experiments without the anomaly produced ice extents similar to those with the anomaly.

The anomalous winds of 2007 contributed to the reduction in ice extent by pushing the ice to one side of the basin, but if the sea ice had been of near-normal thickness at the start of the year, the unprecedented reduction in extent would likely not have occurred. This increase in the advection of ice from the Pacific sector to the Atlantic sector may be amplified by two dynamic feedbacks, one in which thinner (and hence weaker) ice is more easily compacted (Maslanik et al. 2007) and one in which thinner ice responds more readily to wind forcing, which is manifested in higher ice drift speeds (Rampal et al. 2007). The thinner ice is more easily compacted and is flushed out of the basin more quickly. In addition, winds favorable forsequetering multiyear ice within the basin have been rare since the 1980s.

The most notable aspect of the changes in the simulated mean ice thickness and the basin-wide ice thickness distribution is that 2007 is not unusual when seen as part of a 20-yr trend that started in about 1987. Both the area coverage of thin ice at the beginning of the melt season and the total volume of ice lost during the summer have steadily increased. The combined impact of these two trends and the persistent southerly summer winds caused the 2007 ice extent reduction. The simulated ice thickness for December 2007 is at yet another minimum, so we expect the high variability and gradual mean decline in the September ice extent to continue.

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