Azimuthal and Radial Variation of Wind-Generated Surface Waves inside Tropical Cyclones

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(Manuscript received 2 March 2016, in final form 23 May 2016)

ABSTRACT

For wind-generated waves, the wind-wave triplets (reference wind speed, significant wave height, and spectral peak wave period) are intimately connected through the fetch- or duration-limited wave growth functions. The full set of the triplets can be obtained knowing only one of the three, together with the input of fetch (duration) information using the pair of fetch-limited (duration limited) wave growth functions. The air–sea energy and momentum exchanges are functions of the wind-wave triplets, and they can be quantified with the wind-wave growth functions. Previous studies have shown that the wave development inside hurricanes follows essentially the same growth functions established for steady wind forcing conditions. This paper presents the analysis of wind-wave triplets collected inside Hurricane Bonnie 1998 at category 2 stage along 10 transects radiating from the hurricane center. A fetch model is formulated for any location inside the hurricane. Applying the fetch model to the 2D hurricane wind field, the detailed spatial distribution of the wave field and the associated energy and momentum exchanges inside the hurricane are investigated. For the case studied, the energy and momentum exchanges display two local maxima resulting from different weightings of wave age and wind speed. Referenced to the hurricane heading, the exchanges on the right half plane of the hurricane are much stronger than those on the left half plane. Integrated over the hurricane coverage area, the right-to-left ratio is about 3:1 for both energy and momentum exchanges. Computed exchange rates with and without considering wave properties differ significantly.

1. Introduction

Despite the complicated temporal and spatial distributions of the hurricane wind field, many analyses have shown that the generated surface waves follow the same similarity relationship as those produced by steady winds in fetch-limited conditions. For example, Young (1988) presents the analysis of a set of synthetic directional wave spectra simulated with model hurricane wind fields. Using the Joint North Sea Wave Project (JONSWAP) fetch-limited growth function of significant wave height $H_s$ (Hasselmann et al. 1973), he derives the effective fetch corresponding to the hurricane wind speed. The effective fetch is then used to compute the expected peak wave period $T_p$ based on the JONSWAP fetch-limited wave period growth function. The wave periods derived from the numerical model and fetch-limited growth function are in very good agreement.

Subsequently, Young (1998, 2006) report the results from examining more than 20 yr of directional buoy recordings. Restricting the data to the condition that the buoy is within 8 times the radius of maximum wind speed from the hurricane center, he shows that the key parameters defining the directional wave spectra are not distinguishable between the hurricane waves and those observed in ideal steady wind fields. As a consequence of the wave spectral similarity, the wave growth function connecting the dimensionless wave variance and the dimensionless frequency $\eta(\omega_b)$ is the same for both sets
of wave fields generated by hurricane winds and steady winds. The dimensionless parameters are given by \( \eta = \eta_{\text{rms}}^2 U_{10}^{-4} \) and \( \omega_h = \omega_p U_{10}^{-8} \), where the root-mean-square (rms) wave elevation \( \eta_{\text{rms}} \) is related to the significant wave height by \( H_s = 4 \eta_{\text{rms}} \), and the spectral peak angular frequency \( \omega_p \) is \( 2\pi T_p^{-1} \). \( U_{10} \) is the reference neutral wind speed at 10-m elevation, and \( g \) is the gravitational acceleration. The similarity relation connects the three wind and wave variables \( (U_{10}, H_s, T_p) \), which are referred to as the wind-wave triplets in this paper.

The nature of fetch- and duration-limited wave growth inside hurricanes is further elucidated with \( H_s \) and \( T_p \) measured by the airborne scanning radar altimeter (SRA) system and \( U_{10} \) from National Oceanic and Atmospheric Administration (NOAA) Hurricane Research Division (HRD) analysis in hurricane hunter missions. Using the 60 wave spectra of Hurricane Bonnie 1998 reported by Wright et al. (2001) and 12 wave spectra of Hurricane Ivan 2004 reported by Black et al. (2007), Hwang (2016) presents an analysis of the wave development inside hurricanes in terms of the wave age similarity, that is, \( \eta_h(\omega_h) \) discussed in the preceding paragraph as well as fetch- and duration-limited growth functions, that is, \( \eta_h(x_h), \omega_h(x_h), \eta_h(t_h), \) and \( \omega_h(t_h) \). In dimensionless form, the fetch \( x_h \) and duration \( t_h \) are given as \( x_h = x_U g U_{10}^{-2} \) and \( t_h = t_d g U_{10}^{-1} \), respectively. The 60 wave spectra of Hurricane Bonnie 1998 provide sufficient information for resolving the hurricane coverage area into three sectors (right, left, and back), as discussed in Black et al. (2007).

The 60 wave spectra reported by Wright et al. (2001) represent about a quarter of the full suite of the SRA wave measurements collected in that hurricane hunter mission. The full set contains 233 spectra along 10 transects radiating from the hurricane center. Here, we report the analysis of the full dataset that yields a much finer resolution in the azimuthal and radial variation of the surface wave development inside the hurricane (section 2).

The wave growth functions can be used to estimate the total (frequency integrated) rate of energy input or dissipation between air and water through the wave motion (e.g., Hwang and Sletten 2008; Hwang 2009). Similarly, the total rate of air–sea momentum exchange (momentum flux) can be parameterized with the wind-wave triplets (appendix). Section 3 describes the azimuthal and radial variation of the energy and momentum exchanges inside the hurricane computed with the wind-wave growth functions; the close correlation between momentum exchange and ocean surface wind stress is discussed. Section 4 presents a summary.

2. Surface wave development inside hurricane

a. SRA wave observation

The SRA wave measurements inside Hurricane Bonnie 1998 reported here span the time between 2030 UTC 24 August to 0144 UTC 25 August. Detailed discussions of the mission have been presented by Wright et al. (2001) and Moon et al. (2003); the information on the SRA operation and data analysis can be found in Walsh et al. (1985, 1989). Figures 1a to 1c show the wind-wave triplets \( (U_{10}, H_s, T_p) \) plotted with respect to the position relative to the moving hurricane center. The flight tracks and the hurricane eye positions on Earth coordinates are given in Fig. 1 of Wright et al. (2001), which is reproduced in Fig. 1f for convenience. During the 4.7-h data acquisition time, the hurricane was moving in a steady north-northwest (NNW) direction. The advancing velocity estimated from the hurricane center positions is about 4.5 m s\(^{-1}\), 13\(^\circ\)N; in this paper the azimuth angle increases counterclockwise (CCW). Using the hurricane heading as the reference, the dimensionless wave variance and peak frequency are plotted in Figs. 1d and 1e. In the remainder of this paper, the 2D hurricane data are plotted in the same format as Figs. 1d and 1e, with the hurricane heading pointing toward the top of the page, and subscript \( h \) is added to the Cartesian coordinates, that is, \( (x_h, y_h) \), when referencing the hurricane heading. The data show the three-sector structure as discussed in Black et al. (2007) and Hwang (2016). For example, in dimensionless terms, the waves are youngest (large \( \omega_h \)) in the back quarter of the hurricane, the wave age increases systematically (CCW in Northern Hemisphere), and the most mature waves are in the left-hand sector (Fig. 1e). Except for the region close to the eye, the dominant wave phase speed is less than the local wind speed, and they are classified as wind seas. During the period of data acquisition, the radius of the maximum wind speed \( r_m \) is about 74 km; see Table 1 of Moon et al. (2003). As will be shown later (in the discussion of Fig. 7), there is significant swell contribution within about 45 km from the hurricane center, and the waves near the eye region are mixed seas.

Figure 2 presents the wind and wave properties as functions of the radial distance \( r \) from the hurricane center with different colors and symbols to identify the data in four different quarters (Fig. 2f): green triangles, blue squares, black circles, and red diamonds for front (F), left (L), back (B), and right (R), respectively. In terms of the azimuth angle \( \phi \) referenced to the hurricane heading, the ranges for the four quarters are \(-45^\circ \sim 45^\circ, 45^\circ \sim 135^\circ, 135^\circ \sim 225^\circ, \) and \( 225^\circ \sim 315^\circ \). For the \( U_{10}, H_s, \) and \( T_p \) plots (the top row), the data in the same quarter, but along different radial transects, can be recognized by
the identical symbol but with clear offset. When given in dimensionless form (Figs. 2d and 2e in the bottom row), the wind-wave parameters more or less sort into the four quarters, but the identity of an individual radial transect is still recognizable. Obviously, it is desirable to have a design describing the continuous azimuthal and radial variation of the surface wave field. One such design is presented in section 2b.

Figure 3 illustrates the same wind and wave data shown in Fig. 2 but given as a function of $f$ at several radial distances: $R_r = r/r_m = 0.25, 0.50, 0.65, 0.75, 1.10, \text{ and } 2.00$ ($r_m = 74 \text{ km}$). The range sorting bandwidth is $\Delta R_r = \pm 0.05$. Because the range band at $R_r = 1.00$ has wide gaps, the $R_r = 1.10$ band is shown for the wind and waves near the radius of maximum wind speed. The data gaps are usually associated with rainbands or aircraft maneuvering, causing deterioration of data quality.

For the wind field (Fig. 3a), the azimuthal variation can be sorted roughly into two groups: inward and outward of $R_r = 0.75$ ($r_m = 74 \text{ km}, r = 56 \text{ km}$). The outer group ($\Delta$ and $o$) shows smooth, quasi-sinusoidal variation with a broad region of high winds in the right-front sector centered near $\phi = 315^\circ$. The inner group ($+, x, \text{ and } *$) seems to develop higher harmonics, and the azimuthal distribution is more distorted with the peaks moving toward about $\phi = 220^\circ$ to $270^\circ$.

The azimuthal distributions of $H_s$ (Fig. 3b) and $T_p$ (Fig. 3c) are close to sinusoidal. The peak is near $0^\circ$ for $T_p$, but more complicated for $H_s$; for the outer group ($\Delta$ and $o$), the peak locations are similar to those of $U_{10}$, and for the inner group ($+, x, \text{ and } *$), the peaks shift toward the hurricane heading ($0^\circ$). The difference between the inner and outer groups separated by $R_r = 0.75$ are more localized; the magnitudes of $H_s$ and $T_p$ at different $r$ show relatively small variations compared to $U_{10}$, with larger spread of the magnitude in the right quarter between $225^\circ$ and $360^\circ$ for $H_s$ and in the back quarter between $135^\circ$ and $225^\circ$ for $T_p$.

The dimensionless wind-wave parameters of $\eta_h$ (Fig. 3d) and $\omega_h$ (Fig. 3e) also sort roughly into two groups separated by $R_r = 0.75$, mainly reflecting the $U_{10}$ distribution. The waves are youngest (largest $\omega_h$) near $r = r_m$ and become more mature toward both the inner and outer regions. The azimuthal distribution of $\eta_h$ is approximately a mirror image of the $\omega_h$ distribution as...
FIG. 2. As in Figs. 1a–e, but plotted with respect to the radial distance from the hurricane center; flight tracks in four different quarters (L/B/R/F) are shown in different colors and symbols. (f) The four quarters and an inner region (I) discussed in this paper; the radius of the dashed circle is the radius of maximum wind.

FIG. 3. As in Figs. 1a–e, but plotted with respect to the azimuth angle with respect to the hurricane heading at several radial distances from the hurricane center.
a result of the negative power similarity relationship connecting the two parameters; the subject of similarity is discussed next.

b. Fetch-limited growth functions

The fetch-limited wave growth functions are among the most versatile and robust wind-wave similarity relations. Although theoretical analyses generally assume steady-state and homogeneous wind forcing (e.g., Sverdrup and Munk 1947; Hasselmann et al. 1973; Young and van Vledder 1993; Komen et al. 1994; Young 1999; Janssen 2004; Zakharov 2005; Badulin et al. 2005, 2007; Gagnaire-Renou et al. 2011; Zakharov et al. 2015), the applicability of the established wave growth functions are found to encompass a much wider range of conditions, including rapidly accelerating and decelerating wind fields such as mountain gap winds (e.g., García-Nava et al. 2009; Romero and Melville 2010; Ocampo-Torres et al. 2011; Hwang et al. 2011b) and violent forcing of evolving hurricanes (e.g., Young 1988, 1998, 2003, 2006; Young and Vinoth 2013; Hwang 2016). The range of applicable wavelengths in field observations is also quite broad, extending from the commonly encountered deca- and hectometer scales in the references listed above to meter scale and shorter. For example, the wavelengths produced by ∼8.5 m s⁻¹ wind in a sheltered bay during the first 2 h are between 2 and 8 m (Hwang and Wang 2004). Also, Sletten and Hwang (2011) apply the fetch-limited growth functions to the development of decimeter-scale waves generated by a mild breeze (∼3.5 m s⁻¹) to explain the observed difference of approximately 80 to 170 m in the shoreline detection by L- and P-band airborne synthetic aperture radar imagery (Kim et al. 2007): the Bragg resonance wavelengths are 0.19 and 0.55 m, respectively.

The analysis of Hwang (2016) confirms that the waves inside hurricanes (except near the eye region) are in a relatively young stage, and the wave growth can be described by the same functions developed with the steady wind forcing database (e.g., Hwang and Wang 2004; Hwang 2006):

\[
\begin{align*}
\eta_h &= 6.19 \times 10^{-7} x_h^{0.81}, \\
\omega_h &= 11.86 x_h^{-0.24}, \\
\eta_\theta &= 2.94 \times 10^{-3} \omega_\theta^{-3.42}.
\end{align*}
\]

Figure 4 shows the wind-wave similarity relation \(\eta_h(\omega_h)\) for several different types of wind forcing conditions, including a dataset combining several field experiments with quasi-steady winds and near neutral stability conditions [Burling 1959; Hasselmann et al. 1973; Donelan et al. 1985; Dobson et al. 1989; Babanin and Soloviev 1998 (BHDDDB)] and used as the basis for establishing the fetch-limited growth functions by Hwang and Wang (2004): the accelerating and decelerating mountain gap winds in the experiments of García-Nava et al. (2009) (G09) using a moored buoy station and Romero and Melville (2010) (R10) employing the airborne SRA system; the analysis of the combined data is given by Hwang et al. (2011b); and the full set of Hurricane Bonnie 1998 data sorted into the four quarters referenced to the hurricane heading and discussed in this paper (F/L/B/R).

Using the criterion that \(\omega_h \approx 0.8 (c_p \approx 1.25 U_{10})\) corresponds to the fully developed wave condition (Pierson and Moskowitz 1964), most of the hurricane data inside \(r = 30 \text{ km}\) (marked with an x) cannot be considered as local wind generated. The swell contamination is also observed in Young’s (1998, 2006) buoy data; see Fig. 4a in Hwang (2016). In contrast to the SRA data with precise localization, buoy data with \(\omega_h \approx 0.8\) are probably measurements far away from the hurricane center. The swell contamination will be further discussed in section 2c.

The solid and dashed reference lines in Fig. 4 are the second- and first-order, power-law fitted curves (labeled H04) based on the analysis of the quasi-steady BHDDDB data (Hwang and Wang 2004). We also plotted the growth curves of Hasselmann et al. (1973) (H73) and Donelan et al. (1985) (D85). These curves were used in Young’s (1988, 1998, 2006) discussions of hurricane waves. Minor differences of various proposed growth functions have been discussed in great extent (e.g., Donelan et al. 1985; Kahma and Calkoen 1992, 1994; Young 1999; Hwang and Wang 2004; Hwang 2006; Zakharov et al. 2015; and references therein), and they are not repeated here. It is emphasized that the buoy-recorded hurricane datasets reported by Young (1998, 2006) are in very good agreement with the SRA measurements conducted inside hurricanes; see Fig. 4a in Hwang (2016).

Some systematic deviation from the reference curves is detectable for the data groups in the four quarters of the hurricane. In particular, underdeveloped wave variance appear in the left quarter (blue squares) and parts of the back and front quarters (black circles with \(\omega_h < \sim 2\) and magenta triangles with \(\omega_h\) around \(\sim 2\); both groups are from the flight segments that are the farthest from the hurricane center and highlighted with ovals in Figs. 1d and 1e). Overall, the data scatter of the hurricane waves as a whole is comparable to the non-hurricane waves, and they can be described reasonably well by the curves derived from the quasi-steady BHDDDB dataset.
Using the fetch-limited growth functions for the wave variance (or equivalently, significant wave height) and peak wave period, the effective fetch \( x_{\eta\phi} \) and \( x_{\omega\phi} \) can be calculated from the first set of equations in (1) (mks units):

\[
\begin{align*}
    x_{\eta\phi} &= 4.24 \times 10^3 U_{10}^{-2.93} H_s^{2.47}, \\
    x_{\omega\phi} &= 2.29 \times 10^3 U_{10}^{-2.22} T_p^{4.22}.
\end{align*}
\]  

The subscripts \( \eta \) and \( \omega \) indicate that the associated variables are derived from the functions \( \eta_0(x_0) \) and \( \omega_0(x_0) \), respectively. To account for the observed systematic deviation of the hurricane wind waves in different sectors as shown in Fig. 4, the fetches for \( H_s \) and \( T_p \) are allowed to be different.

The SRA wind-wave triplets provide the necessary measurements on the right-hand side of (2) for investigating the effective fetch inside the hurricane. Figures 5 and 6 show \( x_{\eta\phi} \) and \( x_{\omega\phi} \) calculated along the 10 transects. Making the analogy of a circular race track for the wind blowing inside the hurricane, the effective fetch is expected to increase linearly with the distance from the hurricane center. The computed fetch along each transect (left column of Figs. 5 and 6) is expressed as

\[
\begin{align*}
    x_{\eta\phi}(r, \phi) &= a_{\eta\phi}(\phi)r + A_{\eta\phi}(\phi), \\
    x_{\omega\phi}(r, \phi) &= a_{\omega\phi}(\phi)r + A_{\omega\phi}(\phi).
\end{align*}
\]  

With kilometers as the unit of \( r, x_{\eta\phi}, \) and \( x_{\omega\phi} \) in (3), the azimuthal variations of the slopes \((a_{\eta\phi} \text{ and } a_{\omega\phi})\) and intercepts \((A_{\eta\phi} \text{ and } A_{\omega\phi})\) from least squares fitting are shown in the right columns of Figs. 5 and 6 and as lookup tables (LUTs) in Table 1. The formulas may produce negative fetch in some azimuth angles for small \( r \) values, so an additional condition of minimum fetch value is imposed. Several values between 1 and 50 km were tested for the minimum fetch, and they only produced minor differences in the resulting wave computation near the hurricane center, mainly because the number of instances of negative fetches of the preconditioned formulas is small. The results presented below are based on a minimum fetch of 5 km.

Using (3), the effective fetch for any location inside the hurricane can be calculated. Applying to the Bonnie 1998 measurements, the results of the fetch growth of wave variance and wave period are shown in Fig. 7. For comparison, the nonhurricane datasets displayed in Fig. 4 are also plotted in the background. Excluding those data points near the eye region (marked by \( x \) and \( + \) for \( r < 30 \) and \( 30 \leq r < 45 \) km, respectively), the

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**Fig. 4.** The wave growth function in terms of \( \eta_0(\omega_0) \) for the surface waves inside Bonnie 1998. The data are shown with different symbols in four hurricane quarters (L/B/R/F); measurements within the 30-km circle from the hurricane center are marked with an x. On the background with light-colored symbols are the following: BHDDDB, data from several field experiments with quasi-steady wind forcing and neutral stability conditions; G09 and R10, rapidly accelerating and decelerating mountain gap winds; and H04, where the solid and dashed lines are the reference curves from second-order and first-order fitting through the BHDDDB quasi-steady wind forcing data (Hwang and Wang 2004). For comparison, H73 and D85 are the growth functions of Hasselmann et al. (1973) and Donelan et al. (1985), respectively.

One plausible explanation of the systematic difference observed in the \( \eta_0(\omega_0) \) relation (Fig. 4) is that the wind generations in different quarters are not on equal footing. With reference to the azimuthal distributions of \( U_{10}, H_s, \) and \( T_p \) shown in Fig. 3 and focus on the data points at \( R_e = 1.1 \) (red triangles, radial distance near the local maximum wind speed), the \( U_{10} \) ranking for the four quarters is in the order of \( R > F > B > L \). For the cyclonic wind forcing, each quarter receives some boost (in the form of preestablished wave field) from the upwind quarter in the order \( R \Rightarrow F \Rightarrow L \Rightarrow B \Rightarrow R \ldots \). Thus, F is the quarter with the second highest winds and the largest boost from R; L has the lowest winds but good boost from F; and the conditions in both the B and R quarters are much closer to pure local wind generation because of the weaker upstream feeding. The advancing of the hurricane further aids the forward sectors by holding the waves in the generation region longer, whereas in the backward sectors the advancing hurricane decreases the effective wind duration and fetch.
data scatter of the wind waves inside the hurricane is not worse than those generated in nonhurricane conditions, and the same functions established for steady wind forcing conditions are applicable to the wave growth inside the hurricane.

c. Wind-wave triplets and fetch growth functions

The fetch-limited growth functions can be used to estimate the key wave parameters of significant wave height and dominant wave period, given the knowledge

![Graphs showing wind-wave data scatter and fetch growth functions.](image-url)
of wind speed and wind fetch as a function of position inside the hurricane (Hwang 2016):

\[ H_{sw} = 8.10 \times 10^{-4} U_{10}^{1.19} x_{ns}^{0.405} \]
\[ T_{pw} = 9.28 \times 10^{-2} U_{10}^{0.526} x_{ns}^{0.237}. \]  

(4)

The subscript \( w \) is appended to the wave variables in (4) to emphasize that the wind-sea portion is obtained with the fetch-limited wave growth functions. The computed \( H_{sw} \) and \( T_{pw} \) are compared to the SRA in situ measurements of \( H_s \) and \( T_p \) in Fig. 8, showing the ratios \( RHU = H_{sw}(U_{10}, x_{ns})/H_s(SRA) \) and \( RTU = T_{pw}(U_{10}, x_{ns})/T_p(SRA) \) plotted as functions of \( r \) on the left column and as functions of \( \phi \) on the right column. Excluding the region close to the hurricane center, the ratios generally stay within 1.00 \( \pm \) 0.10, indicating wind-sea dominance in most of the hurricane coverage area.

During the period of data acquisition, the radius of maximum wind speed \( r_m \) is about 74 km, as documented in Table 1 of Moon et al. (2003). The result shown in the left column of Fig. 8 indicates that local wind waves dominate from outward of slightly less than \( r_m \) and to at least 2.5\( r_m \) distance from the hurricane center (the maximum range of the SRA data). There are some exceptions: in the outer region of the front quarter for the wave height (the green triangles near \( r = 120 \) to 160 km in Fig. 8a; corresponding to the data points in the upper ovals in Figs. 1d and 1e) and the outer region of the back quarter for the wave period (the black circles near \( r = 165 \) to 180 km in Fig. 8b; corresponding to the data points in the lower ovals in Figs. 1d and 1e). Inside the circle of maximum wind speed, \( r < r_m = 74 \) km, local wind waves remain dominant to about 50 km; farther inward, the swell contribution increases steadily, particularly in the front quarter for wave height (Fig. 8a) and left quarter for wave period (Fig. 8b).

Excluding the region close to the hurricane center, the ratios generally stay within 1.00 \( \pm \) 0.10. Specifically, for \( r > 75 \) km, the average and standard deviation of \( RHU \) and \( RTU \) are 1.00 \( \pm \) 0.089 and 0.99 \( \pm \) 0.069. The corresponding statistics of SRA-measured \( H_s \) and \( T_p \) are 7.77 \( \pm \) 1.85 m and 10.86 \( \pm \) 1.27 s; the fetch-law-computed \( H_{sw} \) and \( T_{pw} \) are 7.73 \( \pm \) 1.77 m and 10.77 \( \pm \) 1.33 s.

Alternatively, using the fetch-limited growth functions, the wind speed can be obtained from \( H_s \) or \( T_p \) accompanied with the fetch input (Hwang 2016):

\[ U_{10} = 397.46 H_s^{0.841} x_{ns}^{-0.341} \]
\[ U_{10} = 91.497 T_p^{1.800} x_{ns}^{-0.450}. \]  

(5)

**Fig. 7.** As in Fig. 4, but in terms of the fetch-limited growth functions of (a) \( \eta(x) \) and (b) \( \omega(x) \).
Figure 9 shows the ratios \( R_{HI} = \frac{U_{10}(H_s, x)}{U_{10}} \) and \( R_{UT} = \frac{U_{10}(T_p, x)}{U_{10}} \) of the reference HRD with the \( U_{10} \) retrieved from \( H_s \) and \( T_p \) combined with fetch by using the wave growth functions. Similar to the results of \( R_{HI} \) and \( R_{TU} \), the ratios \( R_{HI} \) and \( R_{UT} \) deviate from unity inward of \( r < 50 \) km due to the mixed sea condition. For \( r > 75 \) km, it is wind-sea dominance; the average and standard deviation of \( R_{HI} \)

![Figure 8](image1.png)

**FIG. 8.** (top) The ratio between the \( H_{sw} \) computed with \( U_{10} \) and the \( \eta(t \omega) \) growth function and the SRA-measured \( H_s \), plotted against (a) \( r \) and (b) \( \phi \). (bottom) As in the (top), but for \( T_{sw} \), computed with \( U_{10} \) and the \( \omega(t \omega) \) growth function, plotted against (c) \( r \) and (d) \( \phi \).

![Figure 9](image2.png)

**FIG. 9.** As in Fig. 8, but for comparison of the reference HRD \( U_{10} \) with the \( U_{10} \) retrieved from \( H_s \) and \( T_p \) combined with fetch by using the wave growth functions.
and $R_{UT}$ are 1.00 ± 0.083 and 1.03 ± 0.16. The corresponding statistics of HRD $U_{10}$ versus $H_s$ and $T_p$-derived $U_{10}$ are 37.38 ± 6.15 versus 37.46 ± 6.72 and 37.70 ± 6.15 m s$^{-1}$. As noticed before (Hwang 2016), the wind speed inversion using $T_p$ is not as good as the result using $H_s$. This is probably caused by the relatively coarse wavenumber resolution of the SRA measurement; that is, the wavenumber resolution of the SRA wave spectra is limited by the narrow swath (~1200 m).

3. Air–sea exchange

a. Asymmetric distribution

From the energy balance equation, the total (frequency integrated) rate of wind energy input through the surface wave motion is expressed as a combination of the wind-wave triplets (Hwang and Sletten 2008):

$$E_t = \alpha_E \rho \bar{U}_{10}^3; \quad \alpha_E = 0.20 \omega_s^{3.3} \eta_h. \quad (6)$$

A similar expression can be derived for the momentum exchange (see the appendix):

$$M_t = \alpha_M \rho \bar{U}_{10}^{4.3}; \quad \alpha_M = 0.40 \omega_s^{4.3} \eta_h. \quad (7)$$

Using the procedure described in section 2c, the necessary wave information can be calculated from the hurricane wind field, which is the data most likely available among the three wind-wave parameters ($U_{10}$, $H_s$, and $T_p$). Here, we present the results of a case study. Figure 10a shows the HRD wind field at 1830 UTC 24 August 1998, which is the closest time to the SRA measurements (2030 UTC 24 August to 0144 UTC 25 August) with the gridded data available at the archive site. The maximum, 1-min, sustained surface wind speed is about 44 m s$^{-1}$ (category 2) at 95 km northeast (NE) of center.

Using the effective fetch equations in (3) and fetch-limited wave growth functions in (4), the computed $H_{sw}$, $T_{pw}$, $\eta_h$, and $\omega_h$ are illustrated in Figs. 10b–e. The azimuthal and radial variations of the wind-wave triplets and the corresponding dimensionless $\eta_h$ and $\omega_h$ show rather complex patterns. In general terms, the wave height is higher on the right-hand side, the wave period is longer in the front quarter, the young seas (high $\omega_h$) are in the rear quarter, and the older seas (low $\omega_h$) occupy the left half plane.

![Figure 10](image-url)
Figure 11 shows the energy and momentum exchange computations. The exchange coefficients $\alpha_E$ and $\alpha_M$ are given in the left column, and the exchange rates $E_t$ and $M_t$ are in the right column. The most intensive air–sea interaction in terms of the exchange coefficients is taking place in the back quarter and the right rear segment of the hurricane, spanning over the azimuth angles approximately between 150° and 270° (Fig. 11a, 11c). The exchange rates are weighted by the wind speed, cubic for energy and quadratic for momentum; the $E_t$ and $M_t$ spatial patterns show two islands at the right-front and right-back of the hurricane at the radial distance near the wind speed maximum (Figs. 11b, 11d).

Integrating over the circle of 250-km radius (at which distance, the $U_{10}$ is still well above 15 m s$^{-1}$; Fig. 10a) and denoting $A$ as the integration area, the $E_t A$ and $M_t A$ are 3.33 TW and 0.44 TN, of which 2.44 TW and 0.31 TN are on the right half, and 0.89 TW and 0.12 TN are on the left half. If the line along 150° and 330° is used to separate the two half planes, the right- and left-half exchanges are 2.46 TW and 0.33 TN and 0.87 TW and 0.11 TN, respectively. On average, the total exchanges on the right-hand side are about 3 times those on the left-hand side.

As discussed earlier regarding Fig. 8, $H_s$ and $T_p$ obtained from the fetch growth functions are the wind-sea components, and for $r < \sim 50$ km, they are significantly different from the SRA measurements as a result of swell contamination. We emphasize that computations of (6) and (7) use the wind-sea components of $H_s$ and $T_p$. It may not be feasible to separate wind sea and swell from the measured wave spectrum, which may be overwhelmed by swell. Therefore, the computation of energy and momentum exchanges near the eye region is less reliable using in situ observation of $H_s$ and $T_p$. A red, dotted circle with $r = 50$ km is added in each panel of Fig. 11. On the other hand, for the 250-km radius of hurricane coverage, the 50-km circle represents 4% of the coverage area. With relatively low wind speeds, the area contributes only slightly to the overall energy and momentum exchanges. For the present case (computed with the wind-sea components, in principle), $E_t A$ and $M_t A$ integrated over the 50-km circle around the eye are 49.7 GW and 8.71 GN (or 1.49% and 1.98%) of the total over the 250-km circle.

The wave modification on the azimuthal and radial distributions of the momentum and energy exchanges is quite significant. For example, if the air–sea exchanges are
evaluated with the wind speed alone without considering the wave properties, the total \((\rho_u \alpha E) U_{10}^3 A\), \(\rho_u C_{10} U_{10}^2 A\)) integrated over the 250-km circle are 2.84 TW and 0.44 TN, of which 1.87 TW and 0.26 TN are on the right half plane and 0.97 TW and 0.17 TN are on the left half plane; the right to left ratios are about 2:1 to 3:2 from wind speed consideration alone, instead of 3:1 when the wave properties are accounted for in the exchange computation. In the above computation using \(U_{10}\) alone, the drag coefficient \(C_{10}\) is calculated by (8), which is discussed in the next subsection, and the representative energy exchange coefficient is given as \(h_{\#} E_i 5 \times 10^3\) (Hwang and Sletten 2008; Hwang 2009).

Dividing the circular area into eight 45° pie slices, the left column of Fig. 12 shows the smoothed view of the complex radial variations of \(U_{10}\), \(E_t\), \(M_t\), \(h_{\#}\), and \(v_{\#}\) inside a hurricane; all parameters are averaged over 45° azimuth slices. The number in the legend for the left column is in the sequence of the 45° slice referenced to the hurricane heading, increasing CCW; the numbers in the legend for the right column are the radial distance in km from the hurricane center.

**b. Momentum exchange and wind stress**

The momentum exchange coefficient \(\alpha_M\) can be compared to the surface drag coefficient (see the appendix). There are many papers devoted to the study of the drag coefficient. Figure 14 shows several field datasets with emphasis on high wind conditions. The solid line is the fitted curve using the open-ocean data marked “FPJ” (Felizardo and Melville 1995; Powell...
et al. 2003; Jarosz et al. 2007) as discussed in Hwang (2011) and Hwang et al. (2013):

\[ C_{10} = 10^{-5}(-0.16U_{10}^2 + 9.67U_{10} + 80.58). \]  

(8)

Additional drag coefficient data collected inside hurricanes (Powell 2006; Holthuijsen et al. 2012) are added (labeled P06 and H12, respectively) in the figure. The P06 data are sorted into two groups inside and outside the 30-km circle from the hurricane center. The drag coefficients for the inside group are considerably lower than those of the outside group. The H12 data include the average of a large number (1452) of wind profile analyses as well as subgroups sorted into left, right, and rear (back) sectors with respect to the hurricane heading. These drag coefficient data under hurricane conditions demonstrate the large variability but with the general trend consistent with (8).

We processed the \( \alpha_M \) result given in Fig. 11d to show the overall average and the mean values in the left, back, and right sectors in a similar fashion of H12 results (Holthuijsen et al. 2012). Given the large scatter of the experimental data, the computed momentum exchange coefficient based on the fetch-limited wave growth functions seems to show significant agreement with the field observations of the drag coefficient inside hurricanes. Additional discussion between the momentum exchange coefficient and the drag coefficient is given in the appendix.

4. Summary

The robust wind-wave growth functions established with ideal fetch-limited and quasi-steady wind forcing conditions are also applicable to wind-generated waves under considerably more varying conditions, including hurricanes (Young 1988, 1998, 2003, 2006; Young and Vinoth 2013; Hwang 2016) and rapidly accelerating and
decelerating wind fields such as those encountered in mountain gap winds (García-Nava et al. 2009; Romero and Melville 2010; Ocampo-Torres et al. 2011; Hwang et al. 2011b). The robust similarity functions scale with local wind and wave properties \( (U_{10}, H_s, \text{and } T_p) \) for both steady and unsteady or homogeneous and inhomogeneous wind forcing conditions and may suggest that local balance (temporally and spatially) plays a dominant role in the air–sea energy and momentum exchanges.

With the deployment of SRA in hurricane hunter missions, the detailed 2D wavenumber spectra have advanced significantly our understanding of the wave conditions inside hurricanes. In a recent paper (Hwang 2016), the fetch- and duration-limited nature of wave development inside hurricanes was investigated with 60 wave spectra collected during Bonnie 1998 (Wright et al. 2001) and 12 wave spectra collected during Ivan 2004 (Black et al. 2007). These measurements provide sufficient information for sorting the wave development into three azimuthal sectors.

The full suite of the SRA measurements during the particular Bonnie 1998 mission contains 233 wave spectra along 10 transects radiating from the hurricane center. The full dataset offers the opportunity to examine the complex azimuthal and radial variation of the wind-generated waves inside the hurricane with considerably better resolution. Lookup tables (Table 1) are produced for the location-dependent effective fetches of significant wave height and spectral peak wave period \( [(3)] \). Using the fetch model, the wind-wave triplets \( (U_{10}, H_s, \text{and } T_p) \) can be calculated with the fetch-limited growth functions, knowing only one of the three variables \( [(4) \text{ and } (5)] \). The results show the dominance of the wind-sea condition in the broad region of the hurricane interior; for the case studied in this paper, it is approximately from 50 km outward to the maximum distance available in the SRA data (about 180 km from the hurricane center). The fetch-limited growth functions yield good results, generating the full suite of the wind-wave triplets given only one of the three variables (Figs. 8, 9).

The frequency-integrated air–sea energy and momentum exchange through the wave action can be estimated with the parameterization functions \( [(6) \text{ and } (7)] \). The results show significant azimuthal and radial variations (Figs. 11–13). For the case studied here, the air–sea energy and momentum exchanges in the right half plane of the hurricane is about 3 times stronger than those in the left half plane. The degree of asymmetry is considerably stronger than the momentum and energy exchange estimation using the wind speed parameter alone. The momentum exchange coefficient \( \alpha_M \) computed with the wind-sea growth functions can be considered the drag coefficient expressed as \( C_{10} \) or \( C_{\lambda/2} \); the latter expression generally yields less data scatter (Figs. 14, A1).

In summary, making use of the robust, fetch-limited nature of surface waves generated by the hurricane winds, the azimuthal and radial variations of the wind and wave properties and the associated parameters, such as \( E_i \) and \( M_i \) in the interior of the hurricane coverage area, can be studied in great detail; the necessary input for the computation can be as few as only one of the three wind-wave triplets \( (U_{10}, H_s, \text{and } T_p) \) coupled with the fetch or duration model.

Acknowledgments. This work is sponsored by the Office of Naval Research (PH: Doc. N0001416WX00044). We are grateful for the service of HRD wind archive maintained in the HWind legacy data site (http://www.hwind.co/legacy_data/). Datasets used in this analysis are given in the cited references. The processing codes and data segments can also be obtained by contacting the corresponding author.

APPENDIX

Parameterization of Air–Sea Energy and Momentum Fluxes

Hwang and Sletten (2008) present a parameterization equation of the air–sea energy exchange rate through the wave motion \( E_i \), which is computed by

\[
E_i = \frac{dE}{dt} = \int_0^\infty \rho_w \omega s Q_{in} d\omega,
\]

where \( \rho_w \) is the density of water, and \( Q_{in} \) is the wind input source function in the wave energy balance equation. The source function is generally written as

\[
Q_{in} = \gamma_w s \omega \chi(\omega),
\]

where \( \gamma_w \) is the nondimensional wind input coefficient, \( s = \rho_d/\rho_w \) is the ratio of air and water densities, and \( \chi(\omega) \) is the wave spectral density. Carrying out the integration over frequency and denoting the resulting quantity with angular brackets, (A1) becomes

\[
E_i = \rho_w g s \langle \gamma_w \rangle \omega_p \langle \omega \rangle S = s \langle \gamma_w \rangle \omega_p E,
\]

where \( S \) is the wave variance, which relates to the wave energy by \( E = \rho_w g S \).

In the dimensionless form scaled by wind speed, (A3) becomes
Making computations using several published formulas of $g_{in}$ and assuming power function for the elevation spectrum with the spectral slope between $-4$ and $-5$, the ensemble average produces

$$\langle g_{in} \rangle = 0.20 \omega_{b}^{-3}. \quad (A5)$$

The frequency-integrated energy input from wind to the wave field is therefore

$$E_i = \langle g_{in} \rangle \omega u_{b} \eta_{b} = \alpha_{E} \rho_{a} U_{10}^3; \quad (A6)$$

Because the energy for wave growth represents a small portion—less than about 10%, wave age dependent (see Fig. 2 of Hwang and Sletten 2008)—the frequency-integrated energy input is balanced predominantly by the energy dissipation (Phillips 1985), which is contributed mainly by wave breaking; (A6) is a good approximation for the breaking energy dissipation rate of a wave field.

The wave momentum $M$ and wave energy $E$ are closely associated, for a sinusoidal motion with phase speed $c$, $E = M c$, or in spectrum form $\chi_{E}(\omega) = \chi_{M}(\omega) c(\omega)$, where subscripts $E$ and $M$ represent energy and momentum, respectively (e.g., Dean and Dalrymple 1991). The momentum spectrum can be obtained from the wave elevation spectrum by $\chi_{M}(\omega) = \chi_{E}(\omega) \omega_{b}^{-1}$, where deep-water dispersion relation is used ($c = g \omega^{-1}$). We can go through the same procedure above for $E_i$ to produce an equivalent $M_i$ parameterization function. The following describes an alternative approach to find the ratio $R_{ME} = M_i/E_i$ and to make use of the existing $E_i$ parameterization for quantifying $M_i$.

Using a popular and simple wind input growth rate formula (Plant 1982; Phillips 1985)

$$\beta = g_{in} \omega = X_{1} \omega (u_{*}/c)^2, \quad (A7)$$

where $X_1$ has a numerical value close to 0.04, we can write $M_i$ and $E_i$ as follows:

$$E_i = \int_{0}^{\infty} \rho_{a} g X_{1} \omega^{3} \chi(\omega) d\omega, \quad \text{and} \quad (A8)$$

$$M_i = \int_{0}^{\infty} \rho_{a} g X_{1} \omega^{3} \chi(\omega) d\omega. \quad (A9)$$

Because the dominant contribution of the two integrations comes from the high-frequency portion of the wave spectrum, we evaluate $R_{ME}$ with integration from $\omega_{p}$ to $N \omega_{p}$, where $N$ is a large number. Expressing the high-frequency portion of the spectrum as a power function, $\chi(\omega) \sim \omega^{a}$, with $a$ between $-4$ and $-5$; for $a = -4$, the ratio is

$$R_{ME} = (\omega_{p}/g) \ln N. \quad (A10)$$

The value of $N$ is suggested to be between 5 and 10 by Hwang and Sletten (2008), which gives $\ln 5 = 1.6$ and $\ln 10 = 2.3$.

For $a \neq -4$, integrating from $\omega_{p}$ to $\infty$ yields

$$R_{ME} = (\omega_{p}/g)^{a + 3} / (a + 4). \quad (A11)$$
For $a = -5$, $(a+3)(a+4)^{-1} = 2$. Using the value $R_{ME} \approx 2 \omega_p g^{-1}$, the parameterization of the rate of momentum exchange thus becomes

$$M_i = 2(\omega_p/g)E_i,$$  \hspace{1cm} (A12)

which can be written as

$$M_i = \alpha_M \rho_a U_{10}^2, \hspace{1cm} \alpha_M = 0.40 \omega_p^{1.8} \eta_h.$$ \hspace{1cm} (A13)

Figure A1 plots $\alpha_M(\omega_h)$ and $\alpha_M(\omega_h)$ using both first- and second-order fitted growth functions. Because $\eta_h = 2.94 \times 10^{-3} \omega_p^{3.42}$ \hspace{1cm} ([1]) to the first order, $\alpha_E$ is almost constant ($\alpha_E = 5.88 \times 10^{-4} \omega_p^{0.12}$) and $\alpha_M$ varies with $\omega_p$ almost linearly ($\alpha_M = 1.18 \times 10^{-3} \omega_p^{0.88}$).

The momentum exchange coefficient $\alpha_M$ is closely related to the ocean surface drag coefficient. Superimposed on Fig. A1b are the drag coefficient data from five field experiments conducted under wind-sea dominant conditions; together they cover a wide range of the dimensionless wave age. These measurements are labeled DMAJT for Donelan (1979), Merzi and Graf (1985), Ancill et al. and Donelan (1996), Janssen (1997), and Turrey et al. (1996).

Hwang (2004) shows that for wind sea the similarity relation of the ocean surface drag coefficient exists in the form $C_{\alpha_{\omega}}(u_{10}\omega_p/g)$: $C_{\alpha_{\omega}} = 1.22 \times 10^{-2}(u_{10}\omega_p/g)^{0.704}$ \hspace{1cm} (Fig. A1c), where $C_{\alpha_{\omega}}$ is the drag coefficient referenced to the wind speed at the elevation one-half of the peak wavelength, and $u_{10}$ is the wind friction velocity.

The data scatter increases when the drag coefficient is given as $C_{\alpha_{\omega}}(\omega_h) = C_{\alpha_{\omega}}(U_{10}\omega_p/g)$; the correlation deteriorates further when given as $C_{\alpha_{\omega}}(\omega_h) = C_{\alpha_{\omega}}(U_{10}\omega_p/g)$ (Fig. A1b). The least squares fitted curves through the data are $C_{\alpha_{\omega}} = 1.289 \times 10^{-3} \omega_p^{0.852}$ and $C_{\alpha_{\omega}} = 1.632 \times 10^{-3} \omega_p^{0.391}$ \hspace{1cm} (Hwang 2005a). Interestingly, the fitted curve of $C_{\alpha_{\omega}}(\omega_h)$ (red dotted–dashed line) is almost identical to the momentum exchange coefficient computed with the first-order fitted wave growth function $\alpha_M(\omega_h)$ (black dashed line).

More extensive discussions on the dimensionally consistent and inconsistent expressions of the ocean surface drag coefficient for both the wind sea and mixed sea are given in Hwang (2005a, b), Hwang et al. (2011a), and many additional references cited in those studies.

REFERENCES


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